

Problem 17 on p. 249 of our textbook, *Discrete Mathematics etc.*, 4th ed., by Kenneth Rosen, is an application of the *Pigeonhole Principle* to an important problem:

How well can any given irrational number x be approximated by rational numbers m/j whose denominators j are not very big?

These rational numbers are distributed rather unevenly. For example, for a given positive integer n the rational numbers m/j with arbitrary integers m in their numerators and positive integer denominators $j \leq n$ are some as close together as $1/(n-1) - 1/n = 1/((n-1)n)$, and others are as far from their neighbors as $1/n - 0/n = 1/n$. When n is big the gaps between adjacent rational numbers m/j with denominators bounded by n can be very different. All irrational numbers x that fall into a relatively narrow gap are far better approximated by some rational m/j than are the irrational numbers x near the middle of a relatively wide gap. For a given denominator j the best approximation m/j to x has as its numerator the integer m nearest jx . Given instead a bound n upon the denominator j we wish to choose it so that jx will differ as little as possible from its nearest integer m . How small can we make that difference?

This note offers a proof simpler than the text's of a stronger statement than problem 17's :

Given irrational x and positive integer n , there exists at least one positive integer $j \leq n$ for which jx and the integer m nearest jx differ in magnitude by less than $1/(n+1)$.

Here is its proof: Let $f(jx) := jx - \lfloor jx \rfloor$; this is the fractional part of jx , and it can be rational for no integer $j > 0$ lest $x = (f(jx) + \lfloor jx \rfloor)/j$ turn out to be rational. In particular $f(jx) \neq 0$; therefore $0 < f(jx) < 1$. Moreover $f(jx) \neq f(kx)$ if integers j and k are different because otherwise $x = (\lfloor kx \rfloor - \lfloor jx \rfloor)/(k-j)$ would turn out to be rational. Therefore the set

$$\{ 0, f(x), f(2x), f(3x), \dots, f((n-1)x), f(nx), 1 \}$$

consists of $n+2$ distinct numbers y all lying between 0 and 1 inclusive. We shall break this interval $0 \leq y \leq 1$ into $n+1$ disjoint subintervals to serve as pigeonholes for that set. These pigeonholes are $(i-1)/(n+1) \leq y < i/(n+1)$ for $i = 1, 2, 3, \dots, n$, and $n/(n+1) \leq y \leq 1$. In a picture, ...

$$\begin{array}{l} y: \quad \text{---} [\text{---}) [\text{---}) [\text{---}) [\dots) [\text{---}) [\text{---}] \text{---} \\ i: \quad \quad 0 \quad 1 \quad 2 \quad 3 \quad \dots \quad n-1 \quad n \quad n+1 \end{array}$$

The last pigeonhole includes both its endpoints; other pigeonholes include only their left-hand endpoints. Each pigeonhole's width is $1/(n+1)$. Since the set has more numbers than there are pigeonholes to hold them, at least one pigeonhole contains at least two numbers from the set. Therefore four integers j_1, j_2, m_1, m_2 must exist satisfying both $0 \leq j_1 < j_2 \leq n$ and $|(j_2x - m_2) - (j_1x - m_1)| < 1/(n+1)$. Let $0 < j := j_2 - j_1 \leq n$ so that $|jx - (m_2 - m_1)| < 1/(n+1)$. End of proof.

The bound $1/(n+1)$ cannot be reduced because when x is an irrational number extremely close to $1/(n+1)$ either $1x$ or nx differs from its nearest integer by barely less than $1/(n+1)$.

Thus for every positive integer n there is at least one positive integer $j \leq n$ for which the integer m closest to jx satisfies $|x - m/j| < 1/((n+1)j)$, which can be rather smaller than $1/(2n)$ but maybe not if x lies near the middle of a relatively wide gap, as mentioned above. How strictly do we wish to bound the denominators j ? If we let j get slightly bigger than n can x be approximated perhaps much more closely? This question motivates what follows.

We have just deduced that among positive integers $j \leq n$ at least one satisfies $|jx - m| < 1/(n+1)$ for $m = \llbracket jx \rrbracket := (\text{the integer nearest } jx)$. Consequently $|jx - \llbracket jx \rrbracket| < 1/(j+1)$ for such an integer j . How many positive integers j can satisfy this last inequality?

For every irrational number x there are infinitely many integers j that satisfy $|jx - \llbracket jx \rrbracket| < 1/(j+1)$.

Proof by contradiction: Suppose there were only finitely many such integers j . Then integer N could be chosen so big that $1/(N+1) < |jx - \llbracket jx \rrbracket|$ for all those integers j . But we deduced above that there exists at least one positive integer $J \leq N$ satisfying $|Jx - \llbracket Jx \rrbracket| < 1/(N+1)$. This is paradoxical. On the one hand $|Jx - \llbracket Jx \rrbracket| < 1/(N+1) < |jx - \llbracket jx \rrbracket|$ for all those finitely many integers j , so J could not be one of them; and yet $|Jx - \llbracket Jx \rrbracket| < 1/(N+1) \leq 1/(J+1)$, which is what characterized them. Therefore there cannot be only finitely many of them. End of proof.

Another way to state what has just been proved is ...

For every irrational number x there are infinitely many pairs (m, j) of integers that satisfy $|x - m/j| < 1/((j+1)j)$.

In each such pair $m = \llbracket jx \rrbracket$. These rational approximations m/j so unusually close to x can all be constructed from truncations of its non-terminating continued fraction. For example,

$$\pi = 3 + 1/(7 + 1/(15 + 1/(1 + 1/(292 + 1/(1 + 1/(1 + 1/(1 + 1/(2 + 1/(1 + 1/(3 + 1/(1 + 1/(14 + \dots)))))))))) = 3.14159\ 26535\ 89793\ 23846\ \dots$$

Now $3 + 1/7 = 22/7 = 3.1428\dots$ differs from π by $0.00126\dots < 1/56$. A better approximation $3 + 1/(7 + 1/15) = 333/106 = 3.141509\dots$ differs from π by $0.000083\dots < 1/(107 \cdot 106)$ barely. And $3 + 1/(7 + 1/(15 + 1/1)) = 355/113 = 3.1415929\dots$ differs from π by $0.000000266\dots$ which is far tinier than $1/(114 \cdot 113) = 0.0000776\dots$. But π differs from the next truncated fraction $3 + 1/(7 + 1/(15 + 1/(1 + 1/292))) = 103993/33102 = 3.14159265301\dots$ by $5.8\dots/10^{10}$, which is not much smaller than $1/(33103 \cdot 33102) = 9.1\dots/10^{10}$. Can you see why some of these fractions m/j are much better than the bound $1/((j+1)j)$ and others not much better?

In 1891 A. Hurwitz proved that for each irrational x infinitely many pairs (m, j) of integers satisfy $|x - m/j| < 1/(j^2\sqrt{5})$; but there are some irrational numbers y for which at most finitely many pairs satisfy $|y - m/j| < 1/(j^{2+\beta}\sqrt{5+\mu})$ no matter how small the positive increments β and μ may be; an example of such a y is

$$y = (1 + \sqrt{5})/2 = 1 + 1/(1 + 1/(1 + 1/(1 + 1/(1 + 1/(1 + \dots))))$$

In 1955 H.F. Roth proved that if an irrational z satisfies $|z - m/j| < 1/j^p$ for any fixed exponent $p > 2$ and for infinitely many integers m and $j > 0$ then z must be a *Transcendental* number; this means that z cannot be the root of a polynomial equation with all coefficients integers.

To learn more about this subject see books like I. Niven's *Diophantine Approximations* (1963, Wiley) or J.W.S. Cassel's *Intro. to Diophantine Approximation* (1957, Cambridge U.P.), or books on *Continued Fractions* like A.Ya. Khinchin's (1964, Univ. of Chicago).