

## Side effects are not sufficient to authenticate software

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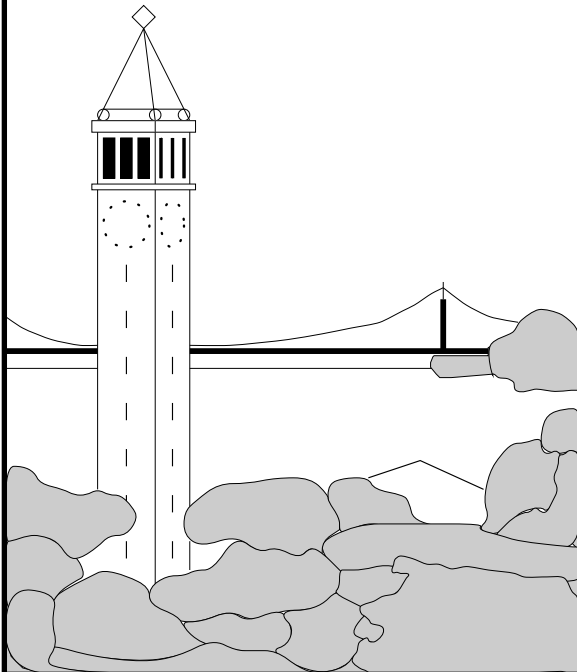
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## Abstract

Kennell and Jamieson [KJ03] recently introduced the Genuinity system for authenticating trusted software on a remote machine without using trusted hardware. Genuinity relies on machine-specific computations, incorporating side effects that cannot be simulated quickly. The system is vulnerable to a novel attack, which we call a *substitution attack*. We implement a successful attack on Genuinity, and further argue this class of schemes are not only impractical but unlikely to succeed without trusted hardware.

## 1 Introduction

A long-standing problem in computer security is remote software authentication. The goal of this authentication is to ensure that the machine is running the correct version of uncorrupted software. In 2003, Kennell and Jamieson [KJ03] claimed to have found a software-only solution that depended on sending a challenge problem to a machine. Their approach requires the machine to compute a checksum based on memory and system values and to send back the checksum quickly. Kennell and Jamieson claimed that this approach would work well in practice, and they have written software called *Genuinity* that implements their ideas. Despite multiple requests Kennell and Jamieson declined to allow their software to be evaluated by us.

In this paper, we argue that

- Kennell and Jamieson fail to make their case because they do not properly consider powerful attacks that can be performed by unauthorized “imposter” software;
- Genuinity and Genuinity-like software is vulnerable to specific attacks (which we have implemented, simulated, and made public);
- Genuinity cannot easily be repaired and any software-only solution to software authentication faces numerous challenges, making success unlikely;
- proposed applications of Genuinity for Sun Network File System authentication and AOL Instant Messenger client authentication will not work; and
- even in best-case special purpose applications (such as networked “game boxes” like the Playstation 2 or the Xbox) the Genuinity approach fails.

To appreciate the impact of Kennell and Jamieson’s claims, it is useful to remember the variety of approaches used in the past to authenticate trusted software. The idea dates back at least to the 1970s and led in one direction to the Orange Book model [DoD85] (and ultimately the Common Criteria Evaluation and Validation Scheme [NIS04]). In this approach, machines often run in physically secure environments to

ensure an uncorrupted *trusted computing base*. In other contemporary directions, security engineers are exploring trusted hardware such as a secure coprocessor [SPWA99, YT95]. The Trusted Computing Group (formerly the Trusted Computing Platform Alliance) [Gro01] and Microsoft’s “Palladium” Next Generation Security Computing Base [Mic] are now considering trusted hardware for commercial deployment. The idea is that trusted code runs on a secure processor that protects critical cryptographic keys and isolates security-critical operations. One motivating application is digital rights management systems [Int]. Such systems would allow an end user’s computer to play digital content but not to copy it, for example. These efforts have attracted wide attention and controversy within the computer security community; whether or not they can work is debatable. Both Common Criteria and trusted hardware efforts require elaborate systems and physical protection of hardware. A common thread is that they are expensive and there is not yet a consensus in the computer security community that they can effectively ensure security.

If the claims of Kennell and Jamieson were true, this picture would radically change. The designers of Genuinity claim that an authority could verify that a particular trusted operating system kernel is running on a particular hardware architecture, without the use of trusted hardware or even any prior contact with the client. In their nomenclature, their system verifies the *genuinity* of a remote machine. They have implemented their ideas in a software package called *Genuinity*. In Kennell and Jamieson’s model, a service provider, the *authority*, can establish the genuinity of a remote machine, the *entity*, and then the authority can safely provide services to that machine. Genuinity uses hardware specific side effects to calculate the checksum. The entity computes a checksum over the trusted kernel, combining the data values of the code with architecture-specific *side effects* of the computation itself, such as the TLB miss count, cache tags, and performance counter values. Kennell and Jamieson restrict themselves to considering only uniprocessors with fixed, predetermined hardware characteristics, and further assume that users can not change hardware configurations. Unfortunately, as this paper demonstrates, even with Kennell and Jamieson’s assumptions of fixed-configuration, single-processor machines, Genuinity is vulnerable to a relatively easily implemented attack.

To demonstrate our points, our paper present two classes of attacks—one class on the Genuinity implementation as presented in the original paper [KJ03], and more general attacks on the entire class of primitives proposed by Kennell and Jamieson. We wanted to illustrate these attacks against a working version of Genuinity, but Kennell and Jamieson declined to provide us with

access to their source code, despite repeated queries. We therefore have attempted to simulate the main features of Genuinity as best we can based on the description in the original paper.

The designers of Genuinity consider two applications:

**NFS: Sun's Network File System** NFS is a well known distributed file system allowing entities (clients) to mount remote filesystems from an authority (an NFS file server). Unfortunately, NFSv3, the most widely deployed version, has no real user authentication protocol, allowing malicious users to impersonate other users. As a result, NFS ultimately depends on entities to run trusted software that authenticates the identities of the end users. Genuinity's designers propose using Genuinity as a system for allowing the authority to ensure that appropriate client software is running on each entity. The Genuinity test verifies a trusted kernel. However, a trusted kernel is not sufficient to prevent adversaries from attacking NFS: the weakness is in the protocol, not any particular implementation. We describe the NFS problem in more depth in Section 6.5.1.

**AIM: AOL Instant Messenger** AIM is a text messaging system that allows two entities (AIM clients) to communicate after authenticating to an authority (an AIM central server). AIM has faced challenges because engineers have reverse engineered AIM's protocol and have built unauthorized entities which the authority cannot distinguish from authorized entities. Kennell and Jamieson propose the use of Genuinity to authenticate that only approved client software is running on *entities*, thus preventing communication from unauthorized rogue AIM client software. As we discuss in Section 6.5.2 below, Genuinity will not work in these applications either.

In addition to these two applications, we consider a third application not discussed by Kennell and Jamieson:

**Game box authentication** Popular set-top game boxes such as Sony's Playstation 2 or Microsoft's Xbox are actually computers that support networking. They allow different users to play against each other. However, a widespread community of users attempts to subvert game box security (e.g., [Hua03]), potentially allowing cheating in online gaming. One might consider treating the game boxes as entities and the central servers as authorities and allowing Genuinity to authenticate the software running on the game boxes. This is arguably a best-case scenario for Genuinity: vendors manufacture game boxes in a very limited number of configurations and attempt to control all software

configurations, giving a homogeneous set of configurations. However, even in this case, Genuinity fails, as we discuss in Section 7.2 below.

In short, we argue below that Genuinity fails to provide security guarantees, has unrealistic requirements, and high maintenance costs. More generally, our criticisms go to the heart of a wide spectrum of potential software-only approaches for providing authentication of trusted software in distributed systems. These criticisms have important consequences not only for Genuinity, but for a wide variety of applications from digital rights management to trusted operating system deployment.

Below, Section 2 summarizes the structure of Genuinity based on Kennell and Jamieson's original paper. Section 3 outlines specific attacks on Genuinity. Section 4 describes a specific *substitution attack* that can be used to successfully attack Genuinity and a specific implementation of that attack that we have executed. Section 5 details denial of service attacks against the current implementation of Genuinity. Section 6 describes a number of detailed problems with the Genuinity system and its proposed applications. Finally, Section 7 concludes by broadening our discussion to present general problems with software-only authentication of remote software.

## 2 A description of Genuinity

The Genuinity scheme has two parts: a checksum primitive, and a network key agreement protocol. The checksum primitive is designed so that no machine running a different kernel or different hardware than stated can compute a checksum as quickly as a legitimate entity can. The network protocol leverages the primitive into a key agreement that resists man-in-the-middle attacks.

Genuinity's security goal is that no machine can compute the same checksum as the entity in the allotted time without using the same software and hardware. If we substitute our data for the trusted data while computing the same checksum in the allowed time, we break the scheme.

As the authors of the original paper note, the checksum value can in principle be computed on any hardware platform by simulating the target hardware and software. The security of the scheme consequently rests on how fast the simulation can be performed: if there is a sufficient gap between the speed of the legitimate computation and a simulated one, then we can distinguish one from the other. Kennell and Jamieson incorporate side effects of the checksum computation itself into the checksum, including effects on the memory hierarchy. They claim that such effects are difficult to simulate efficiently. In Section 3, however, we present an attack that computes the correct checksum using malicious code quickly enough to fool the authority. A key

trick is not to emulate all the hardware itself, but simply to emulate the effects of slightly different software.

Genuinity makes the following assumptions:

1. The entity is a single-processor machine. A multi-processor machine with a malicious processor could snoop the key after the key agreement protocol finishes.
2. The authority knows the hardware and software configuration of the entity. Since the checksum depends on the configuration, the authority must know the configuration to verify that the checksum is correct.
3. There is a lower bound on the processor speed that the authority can verify. For extremely slow processors, the claim that no simulator is fast enough is untrue.
4. The Genuinity test runs at boot time so the authority can specify the initial memory map to compute the checksum, and so the dynamic state of the kernel is entirely known.

Genuinity also makes the implicit assumption that all instructions used in computing the checksum are simulatable; otherwise, the authority could not simulate the test to verify that the checksum result is correct. As we discuss in Section 4.1.1, the precise-simulation requirement is quite stringent on newer processors.

In rest of this section we detail the Genuinity primitive, a checksum computation that the authority uses to verify the code and the hardware of the entity simultaneously. Following that, we review the higher level network key agreement protocol that uses the checksum primitive to verify an entity remotely.

## 2.1 The Genuinity checksum primitive

The checksum computation is the foundation of the Genuinity scheme. The goal of this primitive is that no machine with an untrusted kernel or different hardware than claimed will be able to produce a correct checksum quickly enough.

The details of the test are specified in the paper [KJ03] for a Pentium machine. First, the entity maps the kernel image into virtual memory using a mapping supplied by the authority, where each page of physical memory is mapped into multiple pages of virtual memory. This makes precomputation more difficult. Next, the authority sends a pseudorandom sequence of addresses in the form of a linear-feedback shift register. The entity then constructs the checksum by adding the one-byte data values at these virtual addresses. The original paper does not indicate how many iterations are performed during the course of the test. Between additions, the entity incorporates one of the following values into the checksum (the original paper under-specifies algorithmic details;

see Table 2 for assumptions):

1. Whether a particular Instruction or Data TLB entry exists, and if so, its mapping. The original paper does not make clear which potential entries are queried (in addition, according to the Intel reference page [Int03], using the special test registers needed to access the TLB and cache data can lead to unpredictable results afterwards);
2. Instruction or data cache tags (again, the original paper does not indicate which cache entries to access);
3. A performance counter which measures the number of branch instructions encountered;
4. A performance counter which measures the number of instructions executed.

These processor-specific data sources are summarized in Table 1.

The authority must also compute the checksum. Since Kennell and Jamieson assume there is no fast simulator, the authority must compute the checksum natively or simulated it in advance. If the entity calculates the same checksum as the authority and returns it to the authority quickly enough, the entity is considered genuine.

The maximum allowable time is determined by the time it takes an “ideal simulator” to complete the task. An ideal simulator is one that receives the checksum code in advance, and can optimize the simulation code to run fast on the native hardware for that particular case. In the example given, Kennell and Jamieson report that the 133MHz Pentium legitimate entity took 7.93 seconds to complete the test, whereas the idealized simulator running on a 2.4GHz Pentium 4 took 10.72 seconds (35% longer than native execution); the latter figure was used as the cutoff time for a response. The unaided (non-ideal) simulator required 18.53 seconds (134% longer). Our attack below (Section 3) inserts malicious code, computes the checksum correctly, and falls within the cutoff of 35% (Section 4.1.1).

Since the operations of integer addition and exclusive-or operate in different fields, the operation is nonlinear, and therefore *ex post facto* fixing up of the checksum is difficult. Any modification must be done on the fly, as the checksum is being computed.

## 2.2 The Genuinity key agreement protocol

The Genuinity checksum primitive is incorporated into a network key agreement protocol. At the end of a successful completion of the protocol, the authority will know that

1. The entity is running verified software on verified hardware, and
2. The entity is the one who passed the test if the key agreement succeeds.

Table 1: **Processor-specific data included in the checksum.** In addition to checksumming over kernel image byte values, the checksum incorporates these pieces of processor specific data. The function used to incorporate the data is unspecified in the original paper, so our attack preserves references to this data exactly.

Data source	Description
Instruction TLB	ITLB miss count, physical page number
Data TLB	DTLB miss count, physical page number
Instruction cache	Cache line contents (which line is unspecified)
Data cache	Cache line contents (which line is unspecified)
Performance counter 1	Number of branches taken
Performance counter 2	Number of instructions executed

The authority embeds its public key into the verified space of the Genuinity test to prevent man-in-the-middle attacks.

$E \rightarrow A$  The entity requests a challenge.

$A \rightarrow E$  The authority accepts the request, and sends the client a memory mapping to use during computation of the checksum. The virtual-to-physical page mappings are randomized, with many mappings pointing to the checksum code page. In particular, 2661 out of the 4096 total mappings pointed to the physical code page. The code contains many jumps to itself via alternate page mappings rather than local, relative jumps. These biases toward the code page are designed to make modification of the code more difficult.

$E \rightarrow A$  The entity notifies the authority of acceptance and installs the supplied memory mapping.

$A \rightarrow E$  The authority

1. sends the challenge (public key for the response and code for the checksum, both signed by the authority's key), and
2. starts the timer.

$E \rightarrow A$  The entity calculates the checksum using the initial memory map and the code that the authority sent. The entity encrypts the checksum and a nonce with the authority's public key and sends them to the authority.

$A \rightarrow E$  The authority stops the timer and checks if the checksum is correct. It sends either a qualification or rejection message to the entity.

$E \rightarrow A$  The entity uses periodic samples from the hardware cycle counter to generate a symmetric session key. The entity encrypts the session key and a nonce with the authority's public key and sends them to the authority. The session key is never transmitted over the network.

### 3 Specific attacks against Genuinity

**Attack overview** We describe a specific attack on the Genuinity checksum primitive for the x86 architecture.

We focus on x86 because it is the only one for which the algorithm is specified in the original paper.

We were unable to obtain a copy of the code used in the original Genuinity paper. Therefore, our attacks refer to the published description of the algorithm; wherever we have had to make assumptions, we have documented them (see Table 2).

The premise of Genuinity is that if an entity passes the test, then that entity is running an approved operating system kernel on approved hardware. If we can insert a small amount of malicious code while still passing the test, then we can gain complete control of the system without being detected by the authority. In particular, once our modified checksum code succeeds, we have subverted the trusted exit path, which normally continues execution of the kernel. Instead, we may load any other kernel we wish, or send the session key to a third party.

## 4 Breaking Genuinity: substitution attacks

In this section, we describe two substitution attacks that work against the current implementation of Genuinity. The goal of a substitution attack is to modify the checksum code without modifying the checksum result. The first attack appends malicious code at the bottom of the checksum page. The second attack does not rely on extra space at the bottom of the checksum page.

### 4.1 The single page substitution attack

In the *single page substitution attack*, we append malicious checksum code on the same physical page as the original code; once it has computed the correct checksum, it can modify the machine's memory at will. Although the malicious code cannot initially be very large in order for the attack to work, we need only substitute enough to start loading arbitrary code.

This attack assumes there is extra space on the same page of physical memory as the checksum code page. We believe this is a reasonable assumption given Genuinity's description in the original paper; our own skele-

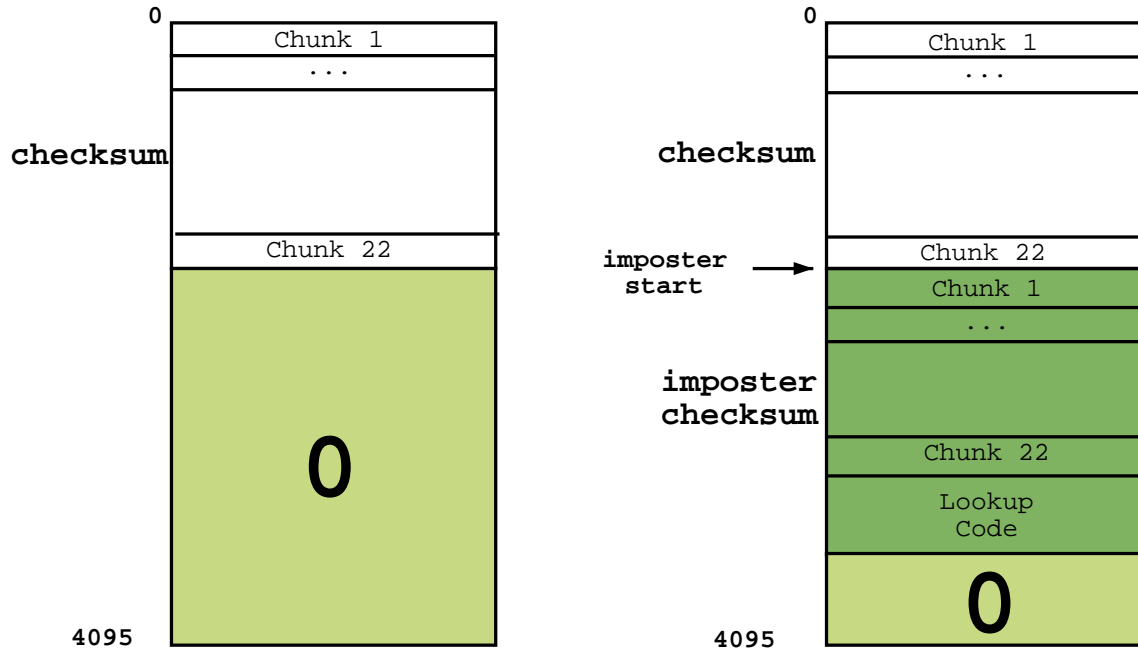


Figure 1: **The original checksum code page, and the malicious checksum code page.** The checksum code is divided into 22 code chunks. The imposter checksum code page replicates the original code entirely, then adds of imposter lookup code. The imposter lookup code checks each memory reference. If the address is in the imposter region (between `imposter_start` and the end of the page), the lookup code returns 0 as the byte value. For all other memory references, the imposter lookup code returns the same value as the original lookup code.

ton implementation of that algorithm consumed less than two kilobytes of memory of the four kilobyte page. Figure 1 illustrates the code page layout.

Table 1 describes processor-specific information that Genuinity uses to compute the checksum. The original paper does not specify how the data is incorporated into the checksum, but our attack is independent of the operation.

The checksum code is divided into 22 code *chunks*, called *nodes* in the original paper. 16 of these chunks, the memory chunks, choose a pseudorandom address in memory and add the value of the byte at that address to the checksum. The other 6 chunks incorporate the processor-specific data sources (TLB miss count, cache tags, etc.) described in Table 1. How the data is incorporated is not specified, so we preserve references to these sources exactly.

According to the original Genuinity paper, the checksum code fits into a single page in memory. Our implementation of the checksum code takes about 2KB, leaving about 2KB free with a page size of 4KB. The kernel used in the original Genuinity implementation is 2MB, but the virtual memory space over which the checksum is computed is 16MB since there are many-to-one mappings from virtual to physical pages. Since each page is 4KB, there are 4K virtual pages, but only 512 physical

pages. Of the virtual pages, 65% (2661) of these map to the checksum code page, and about 3 virtual pages map to each of the other pages. For the sake of analysis, we assume the empty space on the checksum code page is in the high memory of the page and is zero-filled. We discuss alternatives to this in Section 4.3. Figure 1 is our abstraction of the checksum code page.

The pseudocode representing the memory chunk is

```
memory_node:
    addr = next_LFSR()
    sum += memory[addr]
```

We rewrite the checksum code page maliciously. We can leave the original checksum code intact, and add imposter checksum code in the zero-filled space (Figure 1). To fool the authority into thinking we are running the original code, we need to know whether or not the pseudorandom address maps to the checksum code page. If the address does map to the checksum code page, the imposter checksum code must return the byte value from the original code page.

```
imposter_memory_node:
    addr = next_LFSR()
    if (is_codepage(addr) &&
        offset(addr) > imposter_start)
```

Table 2: **Assumptions made about the Genuinity algorithm in the absence of specification in the original paper.** Despite repeated requests of the original authors, we were unable to obtain a copy of the code for the Genuinity test. Our assumptions are used to fill in gaps in the published description.

Value/Behavior	Assumption
Layout of checksum code	Code at top of page, rest zero-filled
Iterations of compute chunks	16M iterations for each of 22 chunks
Operation to incorporate processor-specific data	exclusive-or
Frequency of incorporation of processor-specific data	6x for each iteration through 22 chunks
Which TLB entry or cache entry to examine	Unspecified but unimportant because we preserve all these values

```

// Preserve data cache, TLB
temp = memory[addr]
// Add the original byte value
sum += 0
else
sum += memory[addr]

```

The difficulty lies in `is_codepage`: ideally a bit vector would represent the virtual memory space, where  $\text{bit}_i = 1$  if virtual page  $i$  maps to the checksum code page. However, since we cannot make any memory references or use any variables without changing the data cache (Table 1), we must encode this vector in instructions. The bit vector requires 4K bits, or 128 32-bit words, to represent the page table. The vector is encoded by a sequence of 128 code chunks, one for each word. Each chunk loads one word of the vector into a register. We use the page number of the address to calculate the correct word of the bit vector, and jump to the corresponding code chunk. The chunk loads corresponding immediate word of the vector into a register, and we test the correct bit to see if the address is in the codepage.

```

is_codepage:
// $r0 = virtual page number
$r0 = addr >> 12
// $r1 = bit index within the word
$r1 = $r0 & 31
// $r0 = which word to jump to
$r0 = $r0 >> 5
// Jump to the corresponding chunk
jump ($r0*chunk_size) + chunk_base
chunk_base:
// Chunk 1
$r0 = immediate word1
goto end
// Chunk 2
$r0 = immediate word2
goto end
...
end:
/* Test bit $r1 of $r0 */

```

```
is_codepage = ($r0 & (1 << $r1))
```

Note that only two registers are used. Kennell and Jamieson designed the Genuinity algorithm not to access any data so as not to pollute the cache. It must therefore reserve two or three registers for temporary values in calculations. Our modifications do not need any additional registers for temporaries, and so are largely independent of the specifics of the Genuinity algorithm.

We have guaranteed that all memory reads will return the values for the original codepage—all that remains is to show that we can preserve the other invariants from Table 1.

1. Instruction TLB. Since the imposter checksum code resides on the same physical page as the original code, and we have not changed any page table entries, there are no changes to the ITLB. The miss count and contents are unaffected.
2. Data TLB. The imposter checksum code performs exactly the same memory loads as the original code, so there are no changes to the DTLB.
3. Instruction cache. We preserve all cache entries. Cache lines corresponding to the original code never get loaded, so for accesses to them we substitute in the correct physical page number. This number is unambiguous, since there is only one instruction code page (containing both the imposter code and the original code).
4. Data cache. The imposter checksum code performs exactly the same memory loads as the original code, so there are no changes to the data cache.
5. Branch counter. On x86, there is an instruction to disable performance counters, including the branch counter. We can simply disable it before taking a branch that is not present in the original code, and re-enable it afterwards.
6. Instruction counter. As with the branch counter, it is possible to disable the instruction counter. Since we execute the same or more instructions per node, by disabling and re-enabling the counter at the right time, we can ensure that it holds the correct value

for the original checksum code.

### 4.1.1 Prototype implementation

We implemented a rough prototype of our attack as a Linux loadable kernel module on a 1.5GHz Pentium 4 machine running the 2.4.20-28.9 Linux kernel. We did not have ready access to a Pentium machine, the processor used in the original paper. Using a kernel module allowed us to avoid rebooting and to disable interrupts as well as perform other privileged instructions needed to implement the Genuinity algorithm. Unfortunately, this approach made it impossible to remap the entire memory space; we performed our test in a reserved block of memory without remapping. Our implementation was in C with large blocks of inline assembly code to perform machine-specific instructions and to implement the `is_codepage` lookup function. Our implementation iterated 3000000 times over 16 memory reads interleaved with the processor-specific code chunks.

We learned a number of lessons in reproducing the Genuinity test. First, the special instructions used in the original test to access the instruction and data caches and the TLB directly are not supported on Intel processors after the Pentium. To the best of our knowledge, there are no available mechanisms to gain access to these structures in more recent Intel processors. In addition, the instruction counter did not return consistent results between trials. Intel does not guarantee the precision or reproducibility of performance counters; they are intended to be used as a guide for optimization. We therefore focused on two empirically repeatable counters that approximated those from the original Genuinity description: one that measured ITLB misses and one that measured the number of conditional branch instructions.

We successfully implemented our attack; we were able to compute the same checksum using the imposter code as when using the unmodified checksum code. The initial version of our attack code simply disabled the performance counters before running any added code, then re-enabled them before continuing. Unfortunately, the multipurpose instructions required to do this are serializing (preventing instruction-level parallelism) on the x86 and cause a significant slowdown; we stress that this is an artifact of the design of the instruction set architecture. On other architectures that provide dedicated instructions for this purpose, performance may be much better. In response, we modified our attack code to calculate the number of additional branches encountered and the number of additional ITLB misses generated by the attack and adjusted the counters appropriately.

The performance of the attack code, while not definitive in the absence of the original Genuinity code, was encouraging. We ran each test with and without inlining three times; the standard deviations in both cases were

less than 0.6%.

With inlining turned off in the C compiler, the imposter code took 35% longer than the legitimate code (6.38s vs. 4.71s). This is the same as the 35% slowdown allowed by Genuinity as determined by the ideal simulator.

We also ran tests within inlining turned on. Due to suboptimal inlining by our C compiler, the best performance was obtained with no inlining. However, we found that inlining all but the bit vector lookup table of the imposter code could lead to a significant speedup. Inlining this portion in isolation gave an 18% speedup. Adding in the time to execute the lookup table yielded a net 42% slowdown over the fully inlined legitimate code. While this is not within the 35% boundary, in Section 4.2 we discuss using a higher clock speed machine to reduce the effective slowdown.

## 4.2 Improving attack performance

Suppose an adversary has an attack that computes the checksum while inserting malicious code, but the computation time does not fall inside the cutoff. The easiest way to improve the checksum computing performance is to increase clock speed. None of the side effects measures timing directly, because it is too difficult to get exactly repeatable results. Therefore, if all the CPU parameters except for clock speed are fixed, an adversary will compute the identical checksum value. This is easy to do, since typically CPUs in the same line are released at different clock speeds already. Another method would be to use a higher-performance main memory system, since main memory reads are the largest component of the overall time. This modification would not be reflected in the checksum value either. It is reasonable to expect that by claiming to have a 2 GHz Pentium 4 while actually having a 3 GHz machine—a 50% increase in clock speed—with an identical memory system, a considerable amount of additional code could be executed within the required time.

## 4.3 Countermeasures against substitution attacks

One can already see a kind of arms race developing: test writers might add new elements to the checksum, while adversaries develop additional circumventions. While it is possible to change the algorithm continually, it is likely that hardware constraints will limit the scope of the test in terms of available side effects; all an attacker must do is break the scheme on some hardware. While we believe that the attackers' ability to have the "last move" will always give them the advantage, we now consider some countermeasures and examine why they are unlikely to be significantly more difficult to accommodate than those we have already explored.



To prevent the single page substitution attack, Genuinity could fill the checksum code page with random bits.

Genuinity could also use different performance counter events or change the set used during the test. However, since the authority precomputes the checksum result, Genuinity must only use predictable counters in a completely deterministic way; we can compute the effects of our malicious code on such counters and fix them on the fly. For example, when the imposter checksum code starts executing instructions that do not appear in the original code, it disables the instruction counters, and re-enables them after the extra instructions. Another possible solution which we did not implement is to calculate the difference in the number of instructions executed by the imposter code and the original code, and add this difference to the counter. We can treat other counters similarly.

At least two other improvements are suggested in the paper: self-modifying code and inspection of other internal CPU state related to instruction decoding. Since our attack code is a superset of the legitimate checksum code, and since we run on the same hardware (modulo clock speed) that we claim to have, neither of these seems insurmountable. Clearly, self-modifying code would require more sophisticated on-the-fly rewriting of the attack code, but by simply using a slightly faster machine (with the same TLB and cache parameters) this is easily overcome: the attack code is quite modular and easy to insert. As for inspection of instruction decoding, since the original code is a subset of our code, the internal state for the original instructions should be the same.

#### 4.4 Response to countermeasures: the two page substitution attack

In Section 4.3, we describe some countermeasures Genuinity could take to prevent the single page substitution attack. We pick the first of these, filling the code page with random bits, and sketch a *two page substitution attack* that defeats this countermeasure.

Suppose Genuinity fills the unused code page with random bits, so the code page is not compressible. Then the single page substitution attack does not work and the imposter code must reside on a separate page.

We modify our attack somewhat to accommodate this change. The first step is to identify an easily-compressible page of code. Naturally, which particular page is most easily compressible will depend on the particular build. Simple inspection of a recent Linux kernel revealed that not only was the entire kernel compressible by a factor of 3 (the original `vmlinux` kernel vs. the compressed `vmlinuz` file), there were multiple 4K contiguous regions containing either all zeroes or almost

all zeroes. Let us assume for the remainder of the discussion that the page is all zeroes; it would take only minor modifications to handle some non-zero values. In addition, since our hijacked page is referenced very infrequently (approximately one data read out of every thousand) that even if it took a little time to “uncompress” the data, this would likely not increase the execution time significantly.

The key step is to “hijack” the page and use it to store our imposter checksum code. The only memory region this step requires modifying is the hijacked page. This page, formerly zero-filled, now contains imposter checksum code.

The imposter code requires several fixups to preserve the invariants in Table 1.

The pseudocode looks like this:

```
imposter_memory_node:
  addr = next_LFSR()
  if page_number is hijacked_page
    // Preserve data cache
    temp = memory[addr]
    // Add the original byte value
    sum += 0
  else
    sum += memory[addr]
```

Let us review the checklist of invariants:

1. Instruction TLB. Instructions only come from only one physical page. To preserve references to the physical page number, we substitute the physical address of the original code page. To preserve the miss count, we can run the original checksum code in advance and observe the TLB miss count whenever it is incorporated into the checksum. Eventually, this miss count should stabilize. Recall that the checksum code is divided into 22 code chunks, each of which refer to up to 2 virtual addresses. Since the instruction TLB on the Pentium is fully associative and contains 48 entries, all 44 of these virtual addresses fit into the ITLB. We estimate that the TLB should stabilize quickly, so the observation delay should not add significantly to the total time between receiving the challenge from the authority and sending our response. After observing the pattern of miss counts, the imposter checksum code can use these wherever the TLB miss count should be incorporated into the checksum.  
In our implementation of the single page substitution attack, the ITLB miss count stabilizes after a single iteration through 22 code chunks, so this fixup is easy to accomplish.
2. Data TLB. The imposter checksum code performs exactly the same pattern of memory loads as the original code, so there are no changes to the DTLB.

3. Instruction cache. We simply fill the cache line with the contents of the original code page prior to executing the code to incorporate the cache data into the checksum. To do this, we need to encode the original checksum code in instructions, just as we did for the bit vector in the single page attack (Section 4.1). We unfortunately cannot read data directly from the original code page without altering the data cache.
4. Data cache. There is no change to the data cache, since the imposter code performs the same memory loads as the original code.
5. Branch counter, instruction counter. These are the same as in the original attack.

## 5 Breaking the key agreement protocol: denial of service attacks

At the key agreement protocol level, two denial of service attacks are possible. The first is an attack against the entity. Since there is no shared key between the authority and the entity (the entity only has the authority's public key), anyone could simply submit fake Genuinity test results for an entity, thereby causing the authority to reject that entity and force a retest. A retest is particularly painful, since the Genuinity test must be run on boot. Since the Genuinity test is designed to take as long as possible, this DoS attack requires minimal effort on the part of the attacker, since the attacker could wait as long as the amount of time a genuine entity would take to complete the test between sending DoS packets. It is possible that Genuinity could fix this problem by changing the key agreement protocol, but this attack works against the current implementation.

The second denial of service attack, analyzed in more depth in Section 6.2, is against the authority. Genuinity assumes that an adversary does not have a fast simulator for computing checksums, and so neither does the authority. The authority must precompute checksums, since the authority can compute them no more quickly than a legitimate entity. The original paper claims that the authority needs only enough checksums to satisfy the initial burst of requests. This is true only in the absence of malicious adversaries. It costs two messages for an adversary to request a challenge and checksum. The adversary can then throw away the challenge and repeat indefinitely. Further, the adversary can request a challenge for any type of processor the authority supports. The adversary can choose a platform for which the authority cannot compute the checksum natively. To make matters worse, the authority cannot reuse the challenges without compromising the security of the scheme, and might have to deny legitimate requests.

## 5.1 Countermeasures against DoS attacks

To avoid the denial of service attack against the client, Genuinity could assume that the client already has the public key of the authority.

The second denial of service attack is more difficult to prevent. The authority could rate limit the number of challenges it receives, but this solution does not scale for widely-deployed, frequently used clients such as AIM.

## 6 Practical problems with implementing the Genuinity test

We have presented a specific attack on the checksum primitive, and an attack at the network key agreement level. Genuinity could attempt to fix these attacks with countermeasures. However, even with countermeasures to prevent attacks on the primitive or protocol, Genuinity has myriad practical problems.

### 6.1 Difficulty of precisely simulating performance counters

Based on our experience in implementing Genuinity, we feel that it is likely to become increasingly difficult, if not impossible, to use many performance counters for a genuinity test. Not only are many performance counter values unrepeatably, even with interrupts disabled, they are the product of a very complex microarchitecture doing prefetching, branch prediction, and speculative execution. Any simulator—including the one used by the authority—would have to do a very low-level simulation in order to predict the values of performance counters with any certainty, and indeed many are not certain even on the real hardware! We do not believe that such simulators are likely to be available, let alone efficient, and may be virtually impossible; if the value of a performance counter is off by even one out of millions of samples, the results will be incorrect. This phenomenon is not surprising, since the purpose of the counters is to aid in debugging and optimization, where such small differences are not significant. The only counters that may be used for Genuinity are those that are coarser and perfectly repeatable: precisely the ones on which the effects of attack code may be easily computed in order to compensate for any difference. Finally, differences in counter architecture between processor families can seriously hamper the effectiveness of the test. Much of the strength of Genuinity in the original paper came from its invariants of cache and TLB information, much of which are no longer available for use.

### 6.2 Lack of asymmetry

Asymmetry is often a desirable trait in cryptographic primitives and other security mechanisms. We want decryption to be inexpensive, even if it costs more to en-

crypt. We want proof verification for proof-carrying code [Nec97] to be lightweight, even if generating proofs is difficult. Client puzzles [DS01] are used by servers to prevent denial of service attacks by leveraging asymmetry: clients must carry out a difficult computation that is easy for the server to check.

Genuinity, by design, is not asymmetric: it costs the authority as much, and likely more (because simulation is necessary), to compute the correct checksum for a test as it does for the client to compute it. This carries with it two problems. First, it exposes the authority to denial of service attacks, since the authority may be forced to perform a large amount of computation in response, ironically, to a short and easily-computed series of messages from a client. Second, it makes it no more expensive for a well-organized impostor to calculate correct checksums *en masse* than for legitimate clients or the authority itself. We shall explore this latter possibility further in Section 7.2.

### 6.3 Unsuitability for access control

The authors of the original paper propose to use Genuinity to implement certain types of access control. A common form of access control ensures that a certain user has certain access rights to a set of resources. Genuinity does not solve this problem: it does not have any provision for authenticating any particular user. At best, it can verify a client operating system and delegate the task to the client machine. However, we already have solutions to the user authentication problem that do not require a trusted client operating system: use a shared secret, typically a password, or use a public-key approach. Another kind of access control, used to maintain a proprietary interest, ensures that a particular application is being used to access a service. For example, a company may wish to ensure that only its client software, rather than an open-source clone, is being used on its instant-messaging network. In this case, the trusted kernel would presumably allow loading of the approved client software, but would also have to know which other applications *not* to load in order to prevent loading of a clone. The alternative is to restrict the set of programs that may be run to an allowed set, but it is unlikely that any one service vendor will get to choose this set for all its customers' machines.

### 6.4 Large Trusted Computing Base

When designing secure systems, we strive to keep the *trusted computing base* (TCB)—the portion of the system that must be kept secure—as small as possible. For example, protocols should be designed such that if one side cheats, the result is correct or the cheating detectable by the other side. Unfortunately, the entire client machine, including its operating system, must be trusted

in order for Genuinity to protect a service provider that does not perform other authentication. If there is a local root exploit in the kernel that allows the user to gain root privilege, the user can recover the session key, impersonate another user, or otherwise access the service in an insecure way. Operating system kernels—and all setuid-root applications—are not likely to be bug-free in the near future. (A related discussion may be found in Section 6.5.1.)

## 6.5 Applications

Although two applications, NFS and instant messaging, are proposed by Kennell and Jamieson, we argue that neither would work well with the Genuinity test proposed, because of two main flaws: first, the cost of implementing the scheme is high in a heterogeneous environment, and second, the inconvenience to the user is too high in a widely distributed, intermittently-connected network.

### 6.5.1 NFS

The first example given in the original Genuinity paper is that an NFS server would like to serve only trusted clients. In the example, Alice the administrator wants to make sure that Mallory does not corrupt Bob's data by misconfiguring an NFS client. The true origin of the problem is the lack of authentication by the NFSv3 server itself; it relies entirely on each client's authentication, and transitively, on the reliability of the client kernels and configuration files. A good solution to this problem would fix the protocol, by using NFSv4, an NFS proxy, an authenticating file system, or a system like Kerberos. NFSv4, which has provisions for user authentication, obviates the need for Genuinity; the trusted clients merely served as reliable user authenticators.

Unfortunately, the Genuinity test does not really solve the problem. Why? The Genuinity test cannot distinguish two machines that are physically identical and run the same kernel. As any system administrator knows, there are myriad possible configurations and misconfigurations that have nothing to do with the kernel or processor. In this case, Mallory could either subvert Bob's NFS client or buy an identical machine, install the same kernel, and add himself as a user with Bob's user id. Since the user id is the only thing NFS uses to authenticate filesystem operations over the network once the partition has been mounted, Mallory can impersonate Bob completely. This requires a change to system configuration files (i.e., `/etc/passwd`), not the kernel. The bug is in the NFS protocol, not the kernel.

The Genuinity test is not designed to address the user-authentication problem. The Genuinity test does nothing to verify the identity of a user specifically, and the scope of its testing—verifying the operating system kernel—is

not enough preclude malicious user behavior. Just because a machine is running a specific kernel on a specific processor does not mean its user will not misbehave. Further, even though the Genuinity test allows the entity to establish a session key with the authority, this key does no good unless applications actually use it. Even if rewriting applications were trivially easy (for example, IP applications could run transparently over IPSec), it does not make sense to go through so much work—running a Genuinity test at boot time and disallowing kernel and driver updates—for so little assurance about the identity of the entity.

### 6.5.2 AIM

The second example mentioned in the original Genuinity paper is that the AOL Instant Messenger service would like to serve only AIM clients, not clones. The Genuinity test requires the entity (AIM client) to be in constant contact with the authority. The interval of contact must be less than that required to, say, perform a suspend-to-disk operation in order to recover the session key. On a machine with a small amount of RAM, that interval might be on the order of seconds. On wide-area networks, interruptions in point-to-point service on this scale are not uncommon for a variety of reasons [LTWW93]. It does not seem plausible to ask a user to reboot her machine in order to use AIM after a temporary network glitch.

### 6.5.3 Set-top game boxes

Although the two applications discussed in the original paper are unlikely to be best served by Genuinity, a more plausible application is preventing cheating in multiplayer console games. In this scenario, Sony (maker of the Playstation) or Microsoft (maker of the Xbox) would use Genuinity to verify that the game software running on a client was authentic and not a version modified to allow cheating. This is a good scenario for the authority, since it needs to deal with only one type of hardware, specifically one that it designed. Even in the absence of our substitution attack (Section 4.1), Genuinity is vulnerable to larger scale proxy attacks (Section 7.2).

## 7 General attacks on Genuinity-like schemes

We have described two types of attacks against this implementation of Genuinity: one type against the checksum primitive, and one type against the key agreement protocol. In this section we describe general attacks against any scheme like Genuinity, where

1. The authority has no prior information other than the hardware and software of the entity, and

2. The entity does not have tamper-proof or tamper-resistant hardware.

### 7.1 Key recovery using commonly used hardware

Clearly, the Genuinity primitive is not of much use if the negotiated session key is compromised after the test has completed. Since the key is not stored in special tamper-proof hardware, it is vulnerable to recovery by several methods. Many of these, which are cheap and practical, are noted by Kennell and Jamieson, but this does not mitigate the possibility of attack by those routes. Multiprocessor machines or any bus-mastering I/O card may be used to read the key off the system bus. This attack is significant because multiprocessor machines are cheap and easily available. Although the Genuinity primitive takes pains to keep the key on the processor, Intel x86 machines have a small number of nameable general-purpose registers and it is unlikely that one could be dedicated to the key. It is not clear where the key would be stored while executing user programs that did not avoid use of a reserved register. It is very inexpensive to design an I/O card that simply watches the system bus for the key to be transferred to main memory.

### 7.2 Proxy attacks: an economic argument

As we have seen, by design the authority has no particular computational advantage over a client or anyone else when it comes to computing correct checksums. Couple this with the fact that key recovery is easy in the presence of even slightly specialized hardware or multiprocessors, and it becomes clear that large-scale abuse is possible. Let us take the example of the game console service provider, which we may fairly say is a best case for Genuinity—the hardware and software are both controlled by the authority and users do not have as easy access to the hardware. In order to prevent cheating, the authority must ensure that only authorized binaries are executed. The authority must make a considerable investment in hardware to compute checksums from millions of users. However, this investment must cost sufficiently little that profit margins on a \$50 or \$60 game are not eroded; let us say conservatively that it costs no more than \$0.50 per user per month. Now there is the opportunity for an adversary, say in a country without strict enforcement of cyberlaws, to set up a “cheating service.” For \$2 per month, a user can receive a CD with a cheat-enabled version of any game and a software update that, when a Genuinity test is invoked, redirects the messages to a special cheat server. The cheat server can either use specialized hardware to do fast emulation, or can run the software on the actual hardware with a small hack for key recovery. It then forwards back all the correct messages and, ultimately, the session key. The authority will

be fooled, since network latency is explicitly considered to be unimportant on the time scale of the test.

## **8 Conclusion**

Genuinity is a system for verifying hardware and software of a remote desktop client without trusted hardware. More recently, the SWATT system [SPvDK04] of Seshadri et al. has attempted to perform a Genuinity-like software-only attestation on embedded devices with limited architectures. It relies on hardware-specific approaches for each platform and requires physical contact with the device. Security, particularly in the face of radio-based proxy attacks, has not yet been established in practice even for this limited case.

We presented an attack that breaks the Genuinity system using only software techniques. We could not obtain the original Genuinity code, so we made a best effort approximation of Genuinity in our attacks. Our substitution attacks and DoS attacks defeat Genuinity in its current form. Genuinity could deter the attacks with countermeasures, but this suggests an arms race. There is no reason to assume Genuinity can win it. Kennell and Jamieson have failed to demonstrate that their system is practical, even for the applications in the original paper. These criticisms are not specific to Genuinity but apply to any system that uses side effect information to authenticate software. Therefore, we strongly believe that trusted hardware is necessary for practical, secure remote client authentication.

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## A Addendum

Shortly before the conference at which this paper was presented, Kennell and Jamieson published a rebuttal to the attacks described in this paper [KJ04]. This addendum is our response to their rebuttal. In the rebuttal, Kennell and Jamieson claim that our attacks are invalid or broken and that Genuinity is indeed practical for real-world applications. Unfortunately, many of their criticisms are based on pure speculation and hypothesized, unpublished extensions to their original system. Text from Kennell and Jamieson is indented below.

### Section 3

[Shankar, Chew, and Tygar] neglected to follow development guidelines in construction of a genuinity test.

The “development guidelines” of the genuinity test are underspecified in the original paper; also, the algorithm itself, independent of the development process, is underspecified. We asked for source code three times to clear up ambiguities with no results, but did not receive it. As we state in the main paper, we have made reasonable assumptions.

[Shankar, Chew, and Tygar] attempted to apply specific nuances of an example testcase that was generated for a specific microprocessor [the Pentium] to a general class of microprocessors.

There was only one example testcase in the original paper, so we concentrated on analyzing that. The important thing is that because the test is somewhat particular to each CPU, we cannot assume even that the same *approach* will work without proving it for each case. If we rely on techniques such as access to particular LRU bits, we need a different test for each CPU variant. One CPU variant for which there is not an unbreakable test would compromise the system.

The authors acknowledge that our described example was generated for a non-MMX Pentium processor. However, since they did not have ready access to such a system on which to evaluate their attacks, they used a Pentium-4 a very different type of microprocessor to evaluate such a test. In so doing, they discounted the architectural artifacts that were different without taking advantage of the newer features that would have made the test more resilient to attack.

Without specific implementations or descriptions of genuinity on other processors, using “newer features that would have made the test more resilient to attack” is only speculation. We analyzed their scheme as described in the published paper without source and without the full specification. Furthermore, we used the Pentium 4 as a faster version of the Pentium. If the scheme worked on the Pentium, it should work on the Pentium 4.

### Section 3.1

Although the quoted time expansion, alone, may still fall within the Authority’s deadline, it does not include the time necessary to extract the testcase from the network, analyze the testcase, find the appropriate place(s) to insert the attack code, repack the testcase in such a manner so as to forge the Authority’s signature (discussed previously in Section 2), and re-send the test to the Entity. Even if the attack was performed in situ on the target Entity, the analysis required to determine the multiple points to re-instrument the code would require a long period of time. Because every genuinity test consists of a unique arrangement of code, these steps are always necessary to initiate a substitution test. These delays were not considered by the authors.

This section assumes a man-in-the-middle attack against the Entity, which we never claimed. In fact, we say in our paper that using the public key in the challenge prevents this.

A clock speed increase might give, say, 50% more time on the entire test with no effort. The claim that instrumenting the checksum code “would likely take a long period of time” is speculation, not analysis at this point. To prove this the authors could release the original Genuinity code and allow other people try to instrument it. We believe that based on success of dynamic instrumentation tools such as Pin and Dtrace, it is possible to instrument quickly; 50% of the test time is several seconds, which on a modern CPU is ample time.

The fact that the genuinity test illustrated in our example had only 22 nodes, only one of which interrogated the caches, was simply an artifact of the random generation process of the Authority. Testcases are readily generated with fewer or many more nodes. A test may also have multiple cache interrogation nodes. Generally, it is desirable to generate tests in such a manner that they completely fill the pages that they reside in.

Doing so complicates the necessary analysis to find insertion points for the imposter code. It also makes it more difficult for an attacker to determine how the imposter code can repair the damage created by its own presence.

The number of nodes is not mentioned in the original paper as a security parameter. We went by the published description. Further, this is an “arms race” situation: we can add more nodes and make it more difficult, but it is not a fundamental improvement. There is no doubt that the Genuinity test could be made more complex, but until it is published, its merits cannot be judged.

Both the signed copy of the test sent over the network and the in-kernel public key can be exposed to the memory checksum, resulting in a further broadening of necessary attack code exclusions. For this reason alone, it is doubtful that a remote substitution attack is possible due to the limited memory constraints of the test environment.

This is incorrect. Keeping more data in the kernel memory to be checksummed does not make the attack harder. We would not modify the original data. We simply would not necessarily *use* the signed copy of the code.

The ability for an attacker to augment or diminish the core genuinity test would violate our stated principle that there must be some evidence that the code being tested was actually being run. Because this attack was implemented on a Pentium-4, the appropriate meta-information would have been different than the ones described in our example. However, the authors chose to limit the utilized meta-information sources to only the ITLB miss count and branch count, thereby allowing an imposter to be inserted and run anywhere on the memory page used for the test. The code that the authors attacked did not constitute a complete genuinity test.

This point begs the question: apparently Genuinity pre-supposes that the code being tested is actually being run, but that is also what Genuinity is trying to prove.

Had this Pentium-specific example been run and attacked on a Pentium, the instruction cache, by virtue of the fact that it is a complex indicator of what is being run, would have still exposed the presence of introduced attack code.

The tech report does not specify what data is used in computing the checksum. The exact text from the original paper is that “one node accessed the tag and replacement information for the data cache and instruction cache cells.” Nowhere does it mention LRU bits. (Later CPUs do not have the ability to interrogate this bit, by the way, so Genuinity as an approach certainly cannot rest its practicality on those bits.) In the absence of more details, we preserved the entire cache contents.

The testability register argument is an artifact of the Pentium and is not relevant to later CPUs. The Genuinity developers would need to demonstrate its usefulness on subsequent models for any practical deployment. In addition, it is neither a mistake nor misinterpretation on our part. We went by the published description of the system, not the set of possible extensions or modifications.

### **Section 3.1.1**

The authors incorrectly state that the Pentium has a 48-entry, fully-associative ITLB. We are not aware of any x86 microprocessor with such an ITLB configuration. The Pentium has a 32-entry, 4-way setassociative ITLB [1].

Yes, this was an error on our part, but does not alter the fundamental nature of our attack. In addition, many CPUs, such as the MIPS series, have fully software-managed TLBs.

The authors assume that it will be possible to run the test in advance to determine how and when its ITLB miss count will stabilize. This assumes that 22- nodes of a genuinity test (plus 22 imposter nodes) will be used with a 48-entry ITLB. This implies that, in addition to all of the delays involved with analyzing and modifying the uniquely-generated test, this attack also requires a first run to characterize the ITLB fill pattern. Even using a much faster execution host, this will certainly miss the Authority's deadline. In reality a 22-node testcase would not be appropriate for a 48-entry, fully-associative ITLB. There must always be

more nodes in the genuinity test than the associativity of the ITLB in order to avoid reaching a steady-state condition. If not, the miss count is worthless as an execution meta-information source. Simulation of the ITLB (including full 4-way pseudo-LRU evaluation) is necessary for a two-page substitution attack.

Note that in the two-page attack (Section 4.4), if we change the page table to point to the attack code page instead of the real code page the virtual-instruction-page access pattern of the attack code is identical to the original (of course there is some extra code in some of the nodes). So the only real issue is substitution for the page table data itself. That is handled using the regular substitution technique. We did not use this approach in our paper because it is more complex, but in light of these concerns, we offer it now.

The authors assume that the testability registers can be used to insert values into the icache in order to mimic the natural effects. Artificial insertion of values into the icache of a running system would be likely to eventually replace a line that was currently being executed. This could cause the flow of execution to be changed in a manner that would undo the imposter's intent. It might also cause the processor to halt because of illegal instructions if it fetched a new cache line while that line was being updated. Furthermore, this approach also does not obviate the need for the same icache replacement simulation as would be required for the single-page version. There must be some stored state to indicate what configuration the icache should be in under natural circumstances.

Upon further reflection, we do not actually need to stuff the cache with the “correct” entries – we can incorporate saved data directly as in the one-page attack.

As the complexity of a proposed substitution attack increases, so does the need for simulation. Although the problem of simulation latency may be mitigated by using a faster processor implementation, it does not address how or where to store the additional simulated state. For instance, full simulation of a single Pentium cache set requires the storage of two valid bits, one LRU bit and two 20-bit address tags. Although the additional required state could be reduced by symbolically encoding all possible line states for the set, it would still require storage and manipulation of a few bits. Even more bits would be required for simulation of a mechanism such as a TLB set because of the higher associativity.

Storage of the values in memory would lead to either corruption of (or full simulation of) the DTLB which has already been identified as a difficult problem. The x86 architecture has a very constrained register set, so finding space to store and manipulate values there requires a careful, efficient encoding. Even for an architecture with more registers, a mechanism to detect such an attack would be easily incorporated into a genuinity test by simply initializing all extra registers, using them for temporary storage of intermediate values, and occasionally incorporating them into the memory checksum.

We note that even normal genuinity tests use a hidden bit of storage (for instance, in one of the x86 debug registers) to indicate whether the running test should be used only to generate results for a test without subsequently jumping into secure operation. It is possible to preserve some such storage without allowing its illicit use to proliferate.

The authors claim that the problem of augmenting their attacks to be able to work against additional measures such as self-modifying code and dynamically-varying performance counters does not seem insurmountable. However, these attacks would also require additional state, much more aggressive analysis, and further instrumentation of code unrelated to the imposter. Simulation becomes the only mechanism likely to succeed.

Finally, although the authors show how to cleverly implement imposter code that avoids the use of memory accesses, additional branches are introduced into the flow of execution. Such branches will have an impact on the branch predictor and this will have a subsequent impact on other processor subsystems due to the entanglement of meta-information discussed in the next section.

Again, some of the objections are Pentium-specific, and it is not clear that they would apply in the absence of good meta-information (which we discuss later). There is nothing about a “hidden bit of storage” in the original paper. There is no justification for the ensuing claim that “it is possible to preserve some such storage without allowing its illicit use to proliferate”. There is also the problem of additional memory on video cards, sound cards, network cards, etc. being used to store data, making substitution easy. By using uncached reads and writes (generally supported for I/O devices), one can avoid changing the meta-info. Unless the Authority is willing to precompute test results for every possible client hardware configuration—and perform hardware-specific reads and writes—this out-of-band attack is unpreventable.



## A.1 Obtaining meta-information is possible

The authors of the attacks make several claims that the meta-information sources found on the Pentium, as well as other processors, do not produce deterministic values and are therefore unacceptable for incorporation into a genuinity test. Intuitively, this is a difficult notion to accept since the internal state of a microprocessor is finite. At some level, its entire operation must be deterministic. While we readily grant that certain operations of the processor may vary according to unpredictable delays in execution (e.g. dynamic memory refresh contention), as long as processor decisions are not based on these values, operation will remain predictable. A case in point is our use of a timestamp counter to generate a random, or at least unpredictable, value due to timing variations introduced by the memory subsystem. As long as no decisions are made based on this value, it should not affect the operation of the processor.

Ostensibly, internal race-conditions that exist within a complex speculative processor are similarly deterministic. Given two identical processors with equivalent state, running the same code, with the same memory contents, one would have every expectation that they will produce the same values. Often there is some difficulty in forcing a particular processor into a deterministic state. This situation requires some investigation in order to find the correct manner of doing so. For instance, for the Pentium, simply invalidating the caches and TLBs does not necessarily force all of their LRU information into the same state each time. However, some post-processing instruction sequences can be executed reasonably quickly that will produce a definite start state.

Another difficulty in using these meta-information sources lies in the possibility of predicting their values using a simulator. Certainly, the simulator must match the full functionality of the processor, but this is tedious, time consuming, and, very often, difficult because of undocumented corner cases and processor implementation bugs. Because some subsystems affect others, the necessary complexity of the simulator often grows to an unmanageable level. For instance, the branch predictor of a processor indirectly affects the ITLB since mispredicted branches cause the wrong line (from the wrong page of memory) to be fetched. Even though the ITLB miss count may be preserved, low-level access to the ITLB may still show the effects. In the case of the Pentium, it is easy to initialize the branch predictor to a certain state. However, incorporating branch prediction functionality into a simulator proved to be harder than it was worth. In order to be able to legitimately compare an example of such a test to a simulator, we instead used a genuinity test for which branch prediction was disabled. For most purposes, in order to avoid the need to create precise, high-performance simulators, we advocate using native calculation of the testcase results.

Finally, we must also consider trends in future microprocessors in order to anticipate different sources of meta-information. Although it is possible to envision a microprocessor for which no execution meta-information is made available, in reality it will always be obtainable. For instance, a mispredicted branch will always take longer than a correctly predicted branch. Furthermore, as architectural complexity increases, there is a growing need to use internal information sources simply as an indication of whether or not a processor is functioning correctly. This was the case even with the Pentium and was the motivation for creation of the testability registers. There are many undisclosed and undocumented sources of meta-information hidden in other microprocessors as well.

There is no evidence that modern processors have enough predictable counters or test registers to make Genuinity more secure. In fact, when writing our simulation, we did everything we could think of to clear the CPU state and still could not get repeatable results, on something as straightforward as instruction count. Kennell and Jamieson concede that Authority would need the real hardware to get the test results, which presents problems (see below). Moreover, meta-information will always be available, but maybe not precise, repeatable meta-information. In fact, many performance counters in later Pentiums are specifically described as not repeatable. There is an ad hoc argument for the determinism of CPUs, but if they were fully deterministic, how do we account for the variation in cycle count? CPUs are trending towards decoupling parts of the chip, which makes central gathering of statistics difficult. Collecting intermediate values in a synchronous fashion is likely to be prohibitively expensive, and manufacturers have no incentive to do so.

### Section 3.3.1

The proposed DoS attack against the Entity involves an attacker that waits for an Entity to request a challenge from an Authority. While the Entity computes the result, the attacker sends invalid responses to the Authority disguised to look as though they were sent by the Entity. The goal is to get the Authority to send

refusals to the real Entity in order to prevent it from making forward progress in establishing a relationship with the Authority. However, such an attack is easily detected by the Authority since multiple responses from the same Entity indicate the presence of an adversary. The Authority must record the time that each response packet is received. However, it can accumulate the responses and simply defer evaluation until some reasonable time has elapsed. Each response can be evaluated to determine if any one of them is correct and received before the appropriate deadline. The Authority sends a qualification packet to the Entity (and, likely, the adversary as well) that carries no information other than an indication that the Authority is ready to negotiate a key exchange. Only the Entity that has passed the genuinity test will be able to respond to the Authority correctly. Subsequent attempts by the attacker to send invalid data for the key exchange will also be detected.

In attempting to fix the DoS attack we proposed, Kennell and Jamieson have introduced another one. Now the Authority must maintain an arbitrary amount of state for at least the length of time needed to compute a checksum, on the order of tens of seconds. This problem could be solved with cryptography to bind a given challenge to its response.

## A.2 DoS attacks against the Authority are hard

The proposed DoS attack against the Authority rests on the assumption that computation of the correct checksum value for a particular uniquely-generated genuinity test must always be performed by the Authority using a simulator. However, we did describe (in Section 5.2 of [3]) a way of precomputing testcase results natively using systems under the direction of the Authority that are already known to be genuine. Without the availability of a simulator there is naturally some challenge in getting the Authority's testcase generator bootstrapped for each of the supported architectures. We can accomplish this by forcing the Authority to trust a known-good system that is physically secure in order to generate initial testcase results. Once other Entities are known by the Authority to be genuine, they can each be instructed not only to compute testcase results but to generate the testcases as well, allowing the Authority to off-load much of its work. While testcases can be constructed during regular operation of the Entity, the evaluation of that test-case must be done with interrupts temporarily turned off. From the perspective of a user, this would appear to be a short pause. It is best to avoid doing this to non-idle Entities with interactive users. Evaluation of testcases on either idle Entities or non-idle Entities with non-interactive use would not cause a perceptible problem. Groups of known-genuine Entities can thereby generate new testcases much faster than a single Entity can use them.

A number of policies can be constructed to prevent the Authority from depleting its supply of testcases for a particular architecture. First, it is reasonable to expect that an Entity that fails a genuinity test be denied additional attempts for a progressively longer period of time. Indeed, at some point, multiple failures from a given IP address (or group of IP addresses) are more likely an indicator of either an attempted attack or a general failure of the system. Second, it would be reasonable for an Authority to maintain jurisdiction over zones of IP addresses from which it might expect requests for genuinity test challenges rather than serving as a global Authority.

The tech report says the Authority will use *other* systems to compute the test. In effect, then, those other systems are part of the Authority. They cannot do useful work while computing a test result. The next paragraph is just a weak set of suggestions for preventing general DoS attacks, which is still very much considered an open problem. In fact, with network address translation (NAT), one malicious user could block a number of other users on the same small set of IPs. That is denial of service. Even worse, a malicious user behind a router with no egress filtering enabled (which is most routers) could simply spoof arbitrary IP addresses. Furthermore, separating legitimate from illegitimate requests is an open problem.

There are therefore two cases: a large-area Authority, which is subject to DoS; and a small-area one, for which having many machines generate test results is unreasonably expensive.

## A.3 Genuine entities can act as reliable NFS clients

Our example involves Alice, a scrupulous system administrator, who tends to the needs of a number of adversarial client users. Among them are Bob, a hard-working NFS user, and Mallory, a thief. Bob would like to use a collection of remote computer systems (which Alice does not maintain) for the purpose of performing a large, distributed computation. Bob requires that these systems have access to Alice's NFS

server. Mallory would like to subvert one or more of the NFS clients in order to gain access to Bob's data. For the sake of example, we might even assume that the machines are physically accessible to Mallory.

Alice begins by setting up an Authority system that will create and dispense genuinity tests. The remote Entities, running without the use of their local disks (as we describe in Section 5 of [3]), will not be subject to either the configuration of their local administrator, nor are they expected to be modifiable by Mallory. Each Entity requests, evaluates and passes a genuinity test. They remain under the administrative control of the Authority (and, transitively, Alice). Thereafter, each Entity negotiates with the Authority to perform a key exchange after which they can communicate securely with each other. In particular, they also negotiate IPsec keys for transparent encrypted and authenticated encapsulation of network packets. If we assume the Authority is the NFSv3 server, the Entities can then be trusted to mount its NFS exports. The Entities are known to be trustworthy, the server is assumed to be trustworthy, and the network transport is secure. Some additional negotiations are required to allow the Authority to enable a peer NFS server to use IPsec encapsulation between itself and the Entities which the Authority has found to be genuine.

Bob is allowed to remotely log in to the systems which are running under the administrative control of Alice's Authority. This may be done by either manually creating local accounts or using a network authentication mechanism such as LDAP. Mallory might even be allowed to log in as well, either remotely or on the console. The usual Unix file permission mechanism applies to the genuine Entities as well as it does for any known physically secure system. In order for Mallory to subvert a known genuine Entity, it would be necessary to physically attack the system via its memory bus. We discuss weaknesses such as this in Section 4.3 of [3].

To further clarify the situation, we correct some of the authors' misunderstandings. First, neither Bob nor Mallory act as administrators of the remote Entities and cannot misconfigure the systems. They are all under the administrative control of Alice's Authority. This means that the Authority will instruct the Entity as to what filesystems to mount at boot, what peripherals it should use and what daemons it will run. We also reasonably assume that Alice knows what she's doing. Second, using NFSv4 instead of NFSv3 does nothing to augment (or diminish) the security of the system. The negotiated IPsec encapsulation ensures that the file system transport is secure as well as any distributed user authentication system that Alice puts in place.

The authors correctly point out that our system was not designed to address user authentication. Genuinity of computer systems is an orthogonal issue with respect to authentication in the same sense that secure network routing is orthogonal to user authentication. However, it is possible for one to leverage the other to provide augmented services.

The authors also astutely note that our system does not ensure globally-unique identification of systems. Generally, this is unnecessary, so long as the Authority has some reliable means of interacting with a known-genuine Entity. For instance, a genuinity test and its subsequent negotiations should be capable of transiting a firewall.

Finally, we note that NFSv4, although it is a desirable extension to the NFS suite, would not serve as a singular solution to the particular problem we posed. If Alice exported an NFSv4 share to a remote system for which Bob had remote access and for which Mallory had root access, all that Mallory would be required to do is wait for Bob to log in, change user to Bob, and read and modify anything. Even for modern credential systems that we are aware of, user processes are generally equivalent in capability in order to allow systems like cron to function without requiring a password for filesystem access. In any case, if Mallory is able to gain root access, the system's credential policies could be easily modified as well.

While legitimate clients might not have disks, certainly an attacker would. Genuinity could not tell remotely if that were the case. It is also unreasonable to say that Mallory might have physical access but not root access. If Mallory does not have root access, what is the threat model? If there is a machine configured by Alice talking to a server configured by Alice with no physical attacks possible, why even run the Genuinity test?

The NFSv4 criticism is a red herring, and the details of NFSv4 are not important; what is important is that it is best to use a legitimate authenticating file system. The point is that NFS is secure here if and only if Genuinity is secure and the client machines can not be controlled by an attacker. That is trivially true, but not particularly helpful in the real world.

## A.4 Other network applications are possible

One application that we did not suggest that the authors of the attacks did was the situation of a set-top box used for brokered or distributed gaming. Since we show that substitution attacks and several other forms of attack are unlikely to be achievable, this scenario presents an ideal opportunity for the use of a genuinity test. The reasons for this are as follows: ”

The full specifications of the hardware are known in detail, thereby allowing the development of a genuinity test that uses as many execution metainformation sources as possible. ”

The owner of such a system could select which Authority would be of greatest use, enabling the development of a market structure whereby game providers (or other service providers) could compete for clients. ”

Because the system would not rely on internal hardware-based trusted secrets, it could still be used for general purpose tasks when the original service provider eventually drops support. Meanwhile, other systems that rely on an internal hardware-enforced trusted computing base (such as the Xbox) are doomed to extinction once their support ends since there will be no one to sign software that will run on them.

The authors propose various attacks against such hardware involving several forms of direct interrogation of hardware to discover a negotiated key. We also mentioned this in our description of attacks as being the most likely means of breaking in to a known-genuine Entity. The authors suggest that an attack of this nature would be easily mounted by use of an ordinary bus-mastering I/O card. However, it is unlikely that a secret would be stored in a location mapped into available I/O space. A memory bus attack is a more viable approach. Nevertheless, such an attack would be complicated because the hardware needed to snoop the memory bus is not readily available, hard to build, and difficult to use. Furthermore, the location of the key could be obfuscated by the system, and active techniques could be employed to avoid leaking secret cache values to the external memory bus.

One illustrated attack on a memory bus [Hua02] involved a system that used trusted hardware to hold a secret key. Once the complexity of building the memory snooping system was overcome, this attack was somewhat simpler than an attack on a general purpose system because the bus transactions involving the key were easily identified. After the secret key was discovered by snooping the memory bus on one system, it (and all other systems like it) could be modified and exploited relatively easily since they all used the same secret key.

By contrast, since our method does not involve static keys in hardware, compromise of one system does not imply a compromise of all systems. Furthermore, the only way to leverage one compromised system to exploit others would be to set up an infrastructure as the authors suggest with their economic attack. A primary recommendation of the work presented in [2] was that all chip-to-chip busses of the system should be dynamically encrypted. In a set-top box scenario, such a mechanism could actually be implemented without risk of backwards-incompatibility with other systems, and this threat, as well as the remaining possible hardware attacks we illustrated in Section 4.3 of [3], are eliminated. Note that memory bus encryption can and should be done without the need for static stored secrets in the hardware in order to avoid the problems of vendor lock-in and obsolescence pointed out above.

If Genuinity will not work economically for game machines, it is unlikely to do so for other apps. The answer is that if you did that, you could do this right. Genuinity is a terribly complicated solution if we consider trusted hardware. The IBM trusted platform module (TPM) now costs \$1, probably less than the monthly cost of running all those (real-hardware) Genuinity tests. We know how to bootstrap from it to a secure kernel in a lightweight fashion already (see Sailer et al. [SZJvD04]).

## A.5 Software-only systems are not the subject of genuinity tests

The authors claim that we described our system to fill a need in authenticating software systems. Indeed, the title of their paper seems to promulgate this misunderstanding. In particular, they claim that we proposed our system to be used for authenticating AOL Instant Messenger (AIM) clients. We did refer to AIM as an example of a failed form of software-only attestation. We did not and do not claim that a genuinity test can serve as a discriminator of software alone. Although a known-genuine system (hardware and software) could be used to ensure that an arbitrary user did not invoke an illegitimate form of software, this is not the type of problem we are attempting to solve.

We thought that the point of using Genuinity is to verify software, which happens to be impossible without using hardware. Substitute the word “kernel” for “AIM” and our argument still holds (or, say AIM is built-in to the kernel) – any user of a wireless network has experienced multi-second glitches in the network, which would cause a Genuinity retest. The point is that network service interruptions are on the scale of the time it takes to suspend a computer and steal the key. This in itself is a DoS attack. If an attacker can saturate a router for several seconds, he could cause the reboot of a large number of machines.

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