

# ***An Introduction to Satisfiability Modulo Theories***

Clark Barrett and Sanjit Seshia

## Example of Lazy SMT

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$$\emptyset \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$$

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$$1 \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$$

$$\implies (\text{UnitProp})$$

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$$\emptyset \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \implies (\text{UnitProp})$$

$$1 \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \implies (\text{Decide})$$

$$1 \bar{2}^d \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$$

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$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
$1$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$		

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$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$		

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$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(Backjump)
$1 \bar{2}^d 4$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$		

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$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(Backjump)
$1 \bar{2}^d 4$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(UnitProp)
$1 \bar{2}^d 4 \bar{3}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$		



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$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(Backjump)
$1 \bar{2}^d 4$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(UnitProp)
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$1 \bar{2}^d 4 \bar{3}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3$	$\implies$	(Backjump)
$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3$		

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$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3$	$\implies$	(UnitProp)
$1 2 3 \bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3$		

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$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(Backjump)
$1 \bar{2}^d 4$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(UnitProp)
$1 \bar{2}^d 4 \bar{3}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4$	$\implies$	(Theory Learn)
$1 \bar{2}^d 4 \bar{3}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3$	$\implies$	(Backjump)
$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3$	$\implies$	(UnitProp)
$1 2 3 \bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3$	$\implies$	(Theory Learn)
$1 2 3 \bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2 \vee 4, \bar{1} \vee 2 \vee \bar{4} \vee 3, \bar{1} \vee \bar{2} \vee \bar{3} \vee 4$	$\implies$	(Fail)

*fail*

## Example with Minimized Learned Clauses

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$$\emptyset \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \quad \Longrightarrow \quad (\text{UnitProp})$$

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$$\begin{array}{ll} \emptyset \parallel & 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \quad \implies \quad (\text{UnitProp}) \\ 1 \parallel & 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \quad \implies \quad (\text{Decide}) \\ 1 \bar{2}^d \parallel & 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \end{array}$$



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$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
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$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$		

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$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Backjump)
$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$		

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$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Backjump)
$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(UnitProp)
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$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
$1$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d \bar{4}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Backjump)
$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(UnitProp)
$1 2 3 \bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Theory Learn)
$1 2 3 \bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2, \bar{1} \vee \bar{3} \vee 4$	$\implies$	(Fail)

*fail*

## Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$$\emptyset \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$$

## Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$$\begin{array}{l} \emptyset \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \\ 1 \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \end{array} \quad \Longrightarrow \quad (\text{UnitProp})$$



# Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$		$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
$1$		$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$		$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$		

# Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$		

# Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
$1$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Backjump)
$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$		

# Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
$1$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Backjump)
$1 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(UnitProp)
$1 2 3 \bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$		

# Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Backjump)
1 2	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(UnitProp)
1 2 3 $\bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Theory Learn)
1 2 3 $\bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2, \bar{1} \vee \bar{3} \vee 4$		

# Example with Early Conflict Detection

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Decide)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Learn)
$1 \bar{2}^d$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Backjump)
1 2	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(UnitProp)
1 2 3 $\bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2$	$\implies$	(Theory Learn)
1 2 3 $\bar{4}$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}, \bar{1} \vee 2, \bar{1} \vee \bar{3} \vee 4$	$\implies$	(Fail)
<i>fail</i>				

## Example with Theory Propagation

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$$\emptyset \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$$

## Example with Theory Propagation

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$$\begin{array}{l} \emptyset \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \\ 1 \parallel 1, \bar{2} \vee 3, \bar{4} \vee \bar{3} \end{array} \implies (\text{UnitProp})$$



# Example with Theory Propagation

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
$1$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Propagate)
$1\ 2$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$		

# Example with Theory Propagation

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Propagate)
1 2	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1 2 3	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$		

# Example with Theory Propagation

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Propagate)
1 2	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1 2 3	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Propagate)
1 2 3 4	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$		

# Example with Theory Propagation

$$\underbrace{g(a) = c}_1 \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{2}} \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_{\bar{4}} \vee \underbrace{g(a) \neq d}_{\bar{3}}$$

$\emptyset$	$\parallel$	$1, \bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1	$\parallel$	1, $\bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Propagate)
1 2	$\parallel$	1, $\bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(UnitProp)
1 2 3	$\parallel$	1, $\bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Theory Propagate)
1 2 3 4	$\parallel$	1, $\bar{2} \vee 3, \bar{4} \vee \bar{3}$	$\implies$	(Fail)

*fail*