

## Homework 5

Out: 17 Feb. Due: 24 Feb.

Submit your solutions in pdf format on Gradescope by **5pm on Friday, February 24**. Solutions may be written either in  $\text{\LaTeX}$  (with either machine-drawn or hand-drawn diagrams) or **legibly** by hand. (The  $\text{\LaTeX}$  source for this homework is provided in case you want to use it as a template.) Please be sure to begin the solution for each problem on a new page, and to tag each of your solutions to the correct problem! Per course policy, no late solutions will be accepted. Take time to write **clear** and **concise** answers; confused and long-winded solutions may be penalized. You are encouraged to form small groups (two to four people) to work through the homework, but you **must** write up all your solutions on your own. Depending on grading resources, we reserve the right to grade a random subset of the problems and check off the rest; so you are advised to attempt all the problems.

1. (a) In a company of  $n$  people, what is the probability that exactly  $k$  of them have a birthday on Christmas Day? (Your answer should contain a binomial coefficient, and should be given as a function of  $n$  and  $k$ . Ignore the detail of leap years.)
  - (b) Now suppose  $n = 400$ . Compute the above probabilities (accurate to four decimal places) for  $k = 0, 1, 2, 3$ .
  - (c) Now use the Poisson approximation to estimate the probabilities in part (b), again to four decimal places. How good is the approximation?

2. Suppose  $m$  balls are thrown at random into  $n$  bins. In class we proved that, for any non-negative function  $f$  of the bin loads,

$$E[f(X_1, \dots, X_n)] \leq e\sqrt{m}E[f(Y_1, \dots, Y_n)],$$

where the  $X_i$  are the actual bin loads and the  $Y_i$  are independent Poisson loads each with parameter  $m/n$ . (See also MU, Theorem 5.7.)

Suppose now that the function  $f$  is *monotonically increasing* in the sense that  $E[f(X_1, \dots, X_n)]$  does not decrease when the number of balls  $m$  is increased. We will improve the above statement to

$$E[f(X_1, \dots, X_n)] \leq 2E[f(Y_1, \dots, Y_n)]. \tag{*}$$

(By a symmetrical argument, the same holds when  $f$  is monotonically decreasing.)

- (a) When  $f$  is monotonically increasing, show that

$$E[f(Y_1, \dots, Y_n)] \geq E[f(X_1, \dots, X_n)] \Pr[\sum_i Y_i \geq m].$$

[NOTE: Recall that the original bound above relied on the weaker fact that  $E[f(Y_1, \dots, Y_n)] \geq E[f(X_1, \dots, X_n)] \Pr[\sum_i Y_i = m]$ .]

- (b) For any Poisson r.v.  $Z$  with parameter  $\lambda \geq 1$ , show that  $\Pr[Z \geq \lambda] \geq 1/2$ . You may assume for simplicity that  $\lambda$  is an integer. [HINT: Show that  $\Pr[Z = \lambda + i] \geq \Pr[Z = \lambda - i - 1]$  for each  $0 \leq i \leq \lambda - 1$ .]
- (c) Deduce the desired bound (\*) from parts (a) and (b).

**[Turn over for problems 3 & 4]**

3. Suppose  $m = n \ln n + cn$  balls are thrown into  $n$  bins, where  $c$  is a constant (and may be positive or negative). Let  $\mathcal{E}$  denote the event that no bin is empty.

(a) Show that, under the Poisson approximation, the probability of event  $\mathcal{E}$  is  $\left(1 - \frac{e^{-c}}{n}\right)^n \sim e^{-e^{-c}}$ .

(b) Deduce that, when  $c < 0$ , the actual probability of event  $\mathcal{E}$  is asymptotically at most  $2e^{-e^{-c}}$ , and when  $c > 0$  the probability of event  $\bar{\mathcal{E}}$  is asymptotically at most  $2(1 - e^{-e^{-c}})$ . [HINT: Use the result of the previous problem.]

(c) What does part (b) imply about the coupon collecting problem? Be as precise as you can.

4. Mitzenmacher & Upfal, Ex. 4.26. [NOTES: In part (a), show a lower bound of  $\max\{c, d\}$ , which is  $\Omega(c+d)$ . In part (b), you should assume that  $\alpha$  is chosen sufficiently large (as in part (c)). In part (d), your algorithm should succeed with probability  $1 - O(1/N)$ ; also, the "length" of a schedule is just the total time taken to route all  $N$  packets. For this part, carefully explain how to replace each time step in the unconstrained schedule by  $O(\log(Nd))$  time steps in the real schedule.]