

# COMMUNICATION AND LANGUAGE

## CHAPTER 22

# Outline

- ◇ Communication
- ◇ Grammar
- ◇ Syntactic analysis
- ◇ Problems

# Communication

“Classical” view (pre-1953):

language consists of sentences that are true/false (cf. logic)

“Modern” view (post-1953):

language is a form of action

Wittgenstein (1953) **Philosophical Investigations**

Austin (1962) **How to Do Things with Words**

Searle (1969) **Speech Acts**

Why utter?

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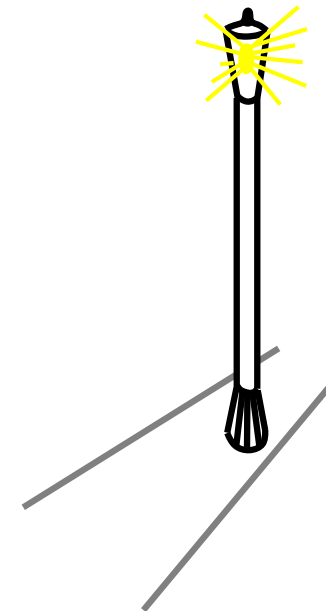
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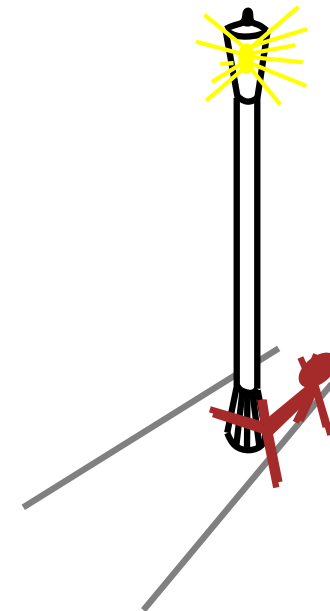
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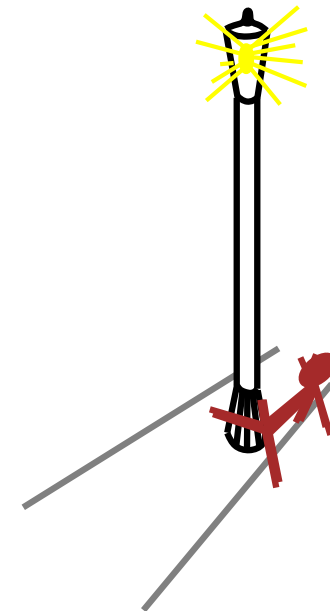
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Why utter?

**To change the actions of other agents**



# Speech acts

SITUATION

**Speaker** → **Utterance** → **Hearer**

Speech acts achieve the speaker's goals:

<b>Inform</b>	"There's a pit in front of you"
<b>Query</b>	"Can you see the gold?"
<b>Command</b>	"Pick it up"
<b>Promise</b>	"I'll share the gold with you"
<b>Acknowledge</b>	"OK"

Speech act planning requires knowledge of

- Situation
- Semantic and syntactic conventions
- Hearer's goals, knowledge base, and rationality

## Stages in communication (informing)

<b>Intention</b>	S wants to inform H that $P$
<b>Generation</b>	S selects words $W$ to express $P$ in context $C$
<b>Synthesis</b>	S utters words $W$
<b>Perception</b>	H perceives $W'$ in context $C'$
<b>Analysis</b>	H infers possible meanings $P_1, \dots, P_n$
<b>Disambiguation</b>	H infers intended meaning $P_i$
<b>Incorporation</b>	H incorporates $P_i$ into KB

How could this go wrong?



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How could this go wrong?

- Insincerity (S doesn't believe  $P$ )
- Speech wreck ignition failure
- Ambiguous utterance
- Differing understanding of current context ( $C \neq C'$ )

# Grammar

Vervet monkeys, antelopes, etc. use isolated symbols for sentences  
⇒ restricted set of communicable propositions, no generative capacity  
(Chomsky (1957): **Syntactic Structures**)

Grammar specifies the compositional structure of complex messages  
e.g., speech (linear), text (linear), music (two-dimensional)

A formal language is a set of strings of terminal symbols

Each string in the language can be analyzed/generated by the grammar

The grammar is a set of rewrite rules, e.g.,

$$S \rightarrow NP VP$$
$$Article \rightarrow the \mid a \mid an \mid \dots$$

Here  $S$  is the sentence symbol,  $NP$ ,  $VP$ , and  $Article$  are nonterminals

# Grammar types

Regular: *nonterminal*  $\rightarrow$  *terminal*[*nonterminal*]

$$S \rightarrow aS$$

$$S \rightarrow \Lambda$$

Context-free: *nonterminal*  $\rightarrow$  *anything*

$$S \rightarrow aSb$$

Context-sensitive: more nonterminals on right-hand side

$$ASB \rightarrow AAaBB$$

Recursively enumerable: no constraints

Natural languages probably context-free, parsable in real time!

## Wumpus lexicon

- Noun* → *stench* | *breeze* | *glitter* | *nothing*  
| *wumpus* | *pit* | *pits* | *gold* | *east* | ...
- Verb* → *is* | *see* | *smell* | *shoot* | *feel* | *stinks*  
| *go* | *grab* | *carry* | *kill* | *turn* | ...
- Adjective* → *right* | *left* | *east* | *south* | *back* | *smelly* | ...
- Adverb* → *here* | *there* | *nearby* | *ahead*  
| *right* | *left* | *east* | *south* | *back* | ...
- Pronoun* → *me* | *you* | *I* | *it* | ...
- Name* → *John* | *Mary* | *Boston* | *UCB* | *PAJC* | ...
- Article* → *the* | *a* | *an* | ...
- Preposition* → *to* | *in* | *on* | *near* | ...
- Conjunction* → *and* | *or* | *but* | ...

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Closed classes are small, bounded, change very slowly

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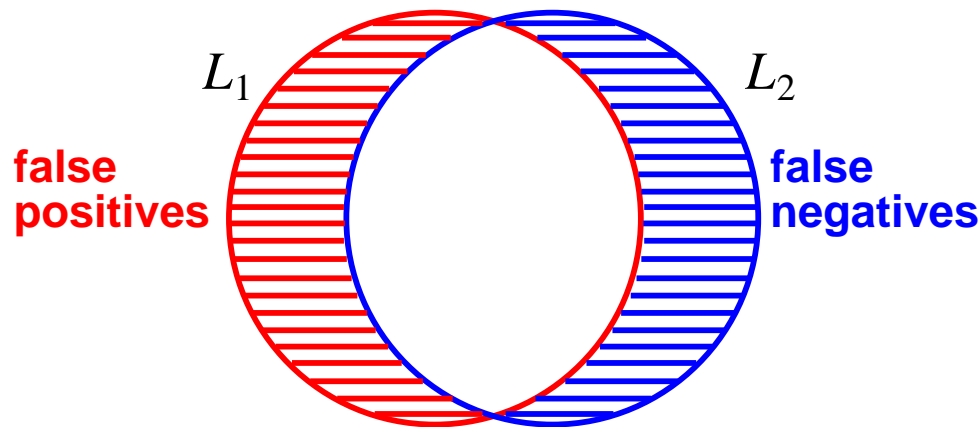


# Wumpus grammar

<i>S</i> → <i>NP VP</i>	I + feel a breeze
<i>S Conjunction S</i>	I feel a breeze + and + I smell a wumpus
<i>NP</i> → <i>Pronoun</i>	I
<i>Noun</i>	pits
<i>Article Noun</i>	the + wumpus
<i>Digit Digit</i>	3 4
<i>NP PP</i>	the wumpus + to the east
<i>NP RelClause</i>	the wumpus + that is smelly
<i>VP</i> → <i>Verb</i>	stinks
<i>VP NP</i>	feel + a breeze
<i>VP Adjective</i>	is + smelly
<i>VP PP</i>	turn + to the east
<i>VP Adverb</i>	go + ahead
<i>PP</i> → <i>Preposition NP</i>	to + the east
<i>RelClause</i> → <b><i>that</i></b> <i>VP</i>	that + is smelly

# Grammaticality judgements

Formal language  $L_1$  may differ from natural language  $L_2$



Adjusting  $L_1$  to agree with  $L_2$  is a learning problem!

- \* the gold grab the wumpus
- \* I smell the wumpus the gold
- I give the wumpus the gold
- \* I donate the wumpus the gold

Intersubjective agreement somewhat reliable, independent of semantics!  
Real grammars 10–500 pages, insufficient even for “proper” English

# Parse trees

Exhibit the grammatical structure of a sentence

**I**                    **shoot**                    **the**                    **wumpus**

# Parse trees

Exhibit the grammatical structure of a sentence

**Pronoun**

I

**Verb**

shoot

**Article**

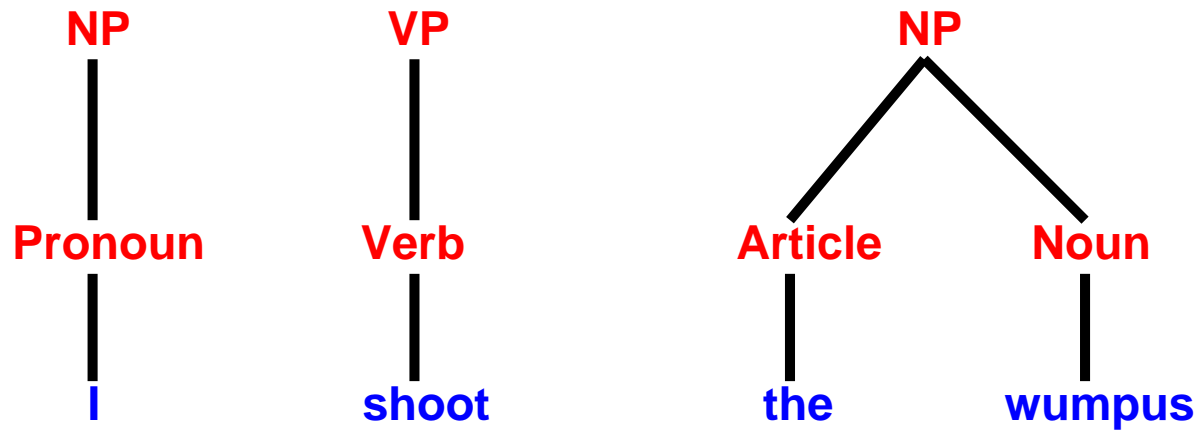
the

**Noun**

wumpus

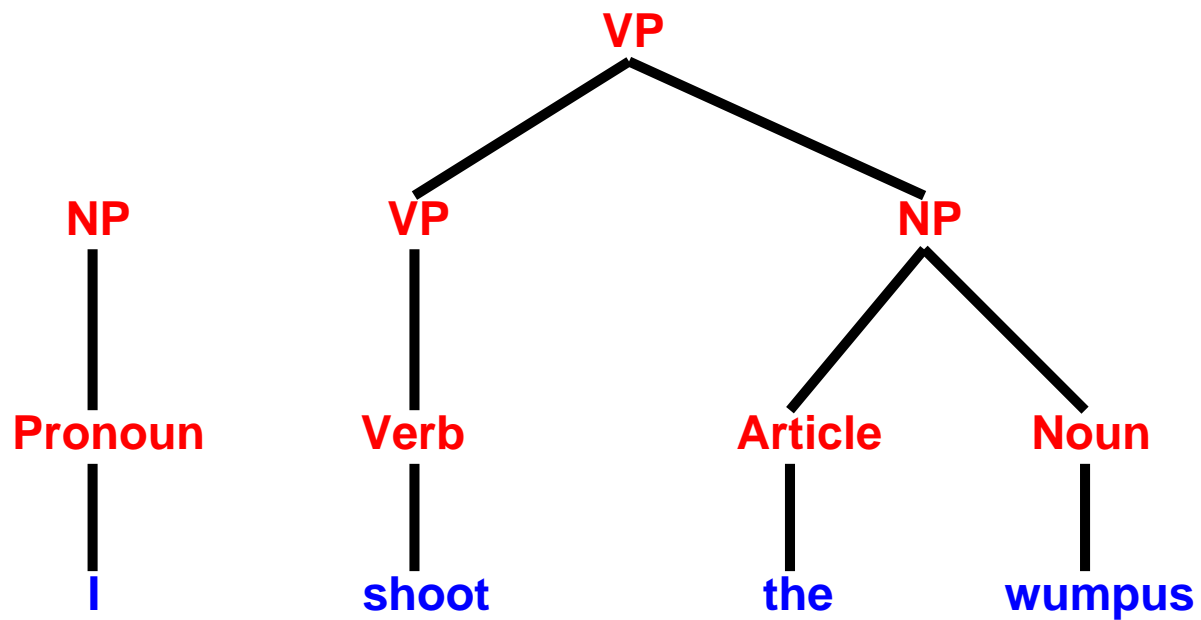
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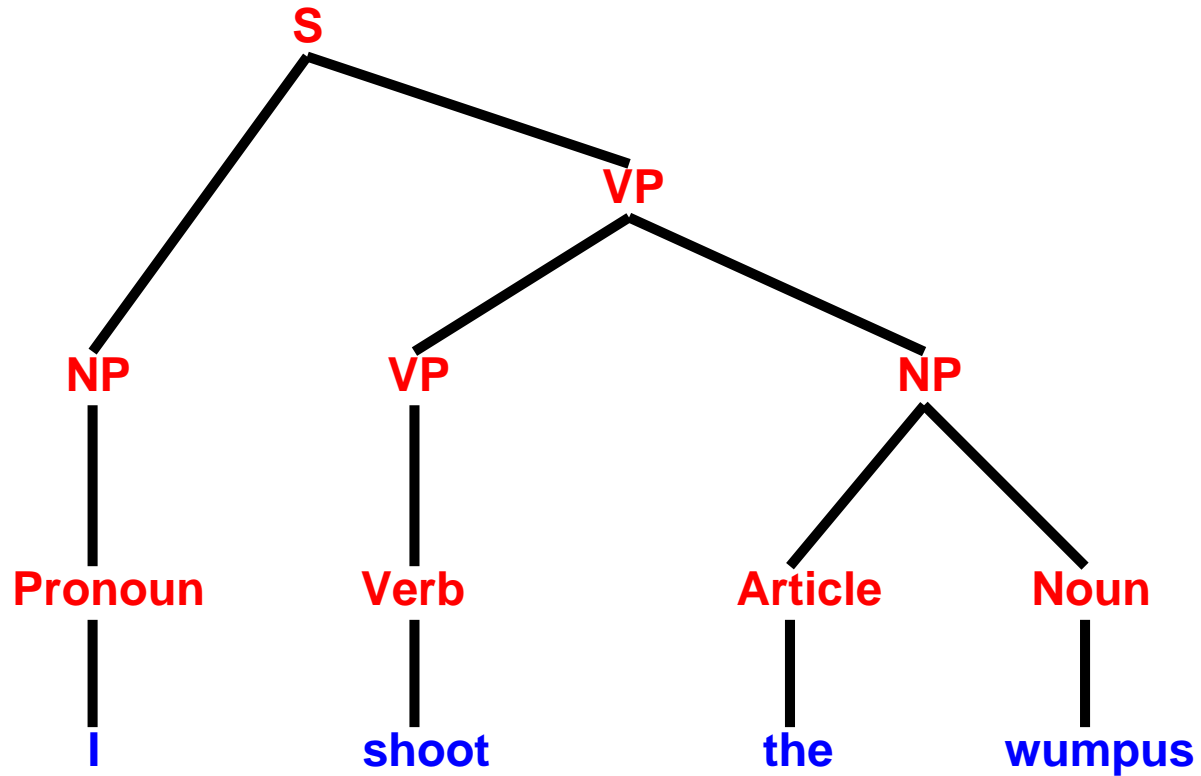
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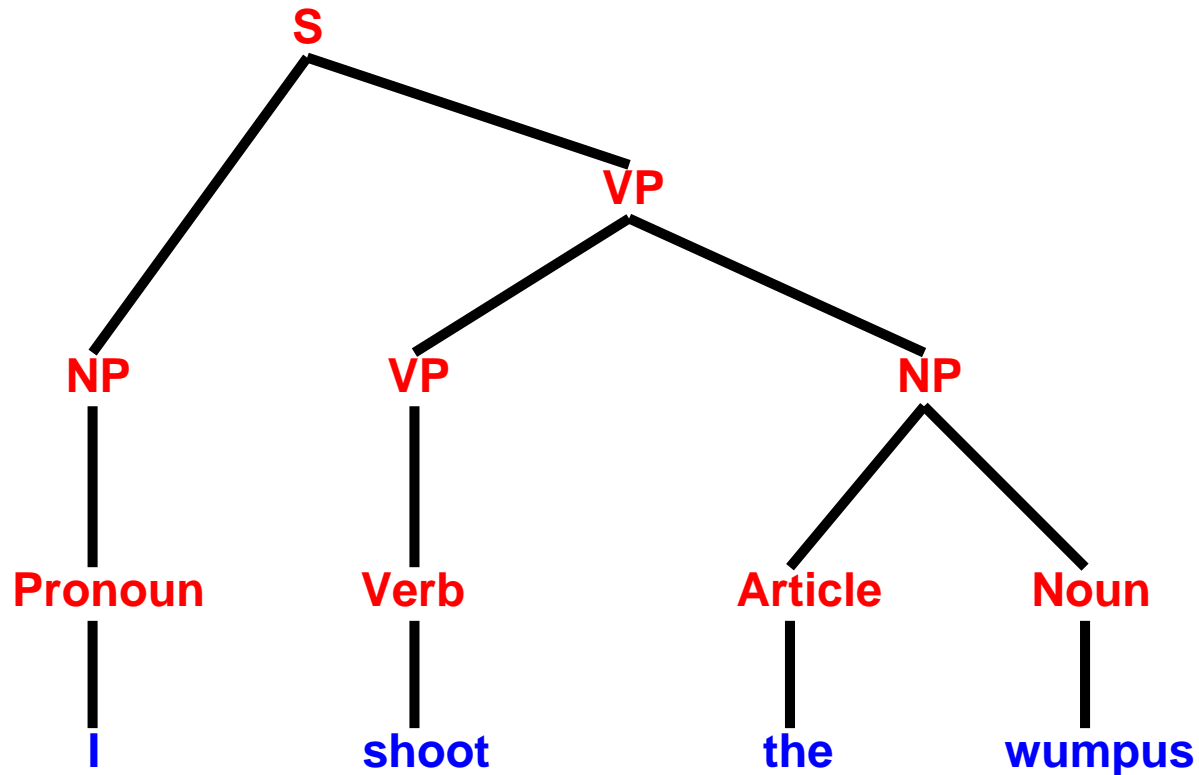
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Efficient CFG algorithms (e.g., chart parsing, Section 22.3)  $O(n^3)$



## Syntax in NLP

Most view syntactic structure as an essential step towards meaning;

“Mary hit John”  $\neq$  “John hit Mary”

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Nonetheless, ungrammatical sentence may be understood:

“Georgie give Georgie breakfast to dinosaur!! Need teeth brush!!!”

Not all grammatical sentences are easy to understand:

“Wouldn't the sentence 'I want to put a hyphen between the words Fish and And and And and Chips in my Fish-And-Chips sign' have been clearer if quotation marks had been placed before Fish, and between Fish and and, and and and And, and And and and, and and and And, and And and and, and and and Chips, as well as after Chips?”

# Logical grammars

BNF notation for grammars too restrictive:

- difficult to add “side conditions” (number agreement, etc.)
- difficult to connect syntax to semantics

Idea: express grammar rules as logic

$X \rightarrow YZ$  becomes  $Y(s_1) \wedge Z(s_2) \Rightarrow X(\text{Append}(s_1, s_2))$

$X \rightarrow \mathbf{word}$  becomes  $X([\mathbf{word}])$

$X \rightarrow Y \mid Z$  becomes  $Y(s) \Rightarrow X(s) \quad Z(s) \Rightarrow X(s)$

Here,  $X(s)$  means that string  $s$  **can be interpreted** as an  $X$

## Logical grammars contd.

Now it's easy to augment the rules

the car that I saw

\* the car who I saw

the chimp who I saw

\* the cockroach who I saw

$$NP(s_1) \wedge EatsBreakfast(Ref(s_1)) \wedge VP(s_2) \\ \Rightarrow NP(Append(s_1, ["who"], s_2))$$

John eats

\* John eat

Penguins eat

$$NP(s_1) \wedge Number(s_1, n) \wedge VP(s_2) \wedge Number(s_2, n) \\ \Rightarrow S(Append(s_1, s_2))$$

## Logical grammars contd.

Parsing is reduced to logical inference:

$$\text{ASK}(KB, S(["I" "am" "a" "wumpus"]))$$

(Can add extra arguments to return the parse structure, semantics)

Generation simply requires a query with uninstantiated variables:

$$\text{ASK}(KB, S(x))$$

If we add arguments to nonterminals to construct sentence semantics, NLP generation can be done from a given logical sentence:

$$\text{ASK}(KB, S(x, At(Robot, [1, 1])))$$

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$$\begin{aligned} &\text{ASK}(KB, S(x, \text{At}(\text{Robot}, [1, 1]))) \\ &\text{Yes, } \{x = \text{"The robot is at [1,1]"}\} \end{aligned}$$

# Real language

Real human languages provide many problems for NLP:

- ◇ ambiguity
- ◇ anaphora
- ◇ indexicality
- ◇ vagueness
- ◇ discourse structure
- ◇ metonymy
- ◇ metaphor
- ◇ noncompositionality



# Ambiguity

Squad helps dog bite victim

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Helicopter powered by human flies

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Helicopter powered by human flies

Eighth Army push bottles up Germans

# Ambiguity

Squad helps dog bite victim

Helicopter powered by human flies

Eighth Army push bottles up Germans

I ate spaghetti with meatballs

# Ambiguity

Squad helps dog bite victim

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I ate spaghetti with meatballs  
salad

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Squad helps dog bite victim

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# Ambiguity

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  salad  
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  a fork  
  a friend

Ambiguity can be lexical (polysemy), syntactic, semantic, referential

# Anaphora

Using pronouns to refer back to entities already introduced in the text

After Mary proposed to John, **they** found a preacher and got married.

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Mary saw a ring through the window and asked John for **it**

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Using pronouns to refer back to entities already introduced in the text

After Mary proposed to John, **they** found a preacher and got married.

For the honeymoon, **they** went to Hawaii

Mary saw a ring through the window and asked John for **it**

Mary threw a rock at the window and broke **it**

# Indexicality

Indexical sentences refer to utterance situation (place, time, S/H, etc.)

**I am** over **here**

Why did **you** do **that**?

# Metonymy

Using one noun phrase to stand for another

I've read **Shakespeare**

**Chrysler** announced record profits

The **ham sandwich** on Table 4 wants another beer

# Metaphor

“Non-literal” usage of words and phrases, often systematic:

I’ve tried killing the process but it won’t die. Its parent keeps it alive.



# Noncompositionality

Meaning of  $Word_1 Word_2$  composed from meanings of  $Word_1$ ,  $Word_2$  ?

basketball shoes

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