

Fine-Grained Derandomization: From Problem-Centric to Resource-Centric Complexity

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Abstract

We show that popular hardness conjectures about problems from the field of fine-grained complexity theory imply structural results for resource-based complexity classes. Namely, we show that if either k-Orthogonal Vectors or k-CLIQUE requires $n^{\epsilon k}$ time, for some constant $\epsilon > 1/2$, to *count* (note that these conjectures are significantly weaker than the usual ones made on these problems) on randomized machines for all but finitely many input lengths, then we have the following derandomizations:

- BPP can be decided in polynomial time using only n^{α} random bits on average over any efficient input distribution, for any constant $\alpha > 0$
- BPP can be decided in polynomial time with *no randomness* on average *over the uniform distribution*

This answers an open question of Ball et al. (STOC '17) in the positive of whether derandomization can be achieved from conjectures from fine-grained complexity theory. More strongly, these derandomizations improve over all previous ones achieved from *worst-case uniform* assumptions by succeeding on all but finitely many input lengths, as is wanted for asymptotics. Previously, derandomizations from worst-case uniform assumptions were only know to succeed on infinitely many input lengths. It is specifically the structure and moderate hardness of the k-Orthogonal Vectors and k-CLIQUE problems that makes removing this restriction possible.

Via this uniform derandomization, we connect the problem-centric and resource-centric views of complexity theory by showing that exact hardness assumptions about specific problems like k-CLIQUE imply quantitative and qualitative relationships between randomized and deterministic time. This can be either viewed as a barrier to proving some of the main conjectures of fine-grained complexity theory lest we achieve a major breakthrough in unconditional derandomization or, optimistically, as route to attain such derandomizations by working on very concrete and weak conjectures about specific problems

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1 Introduction

Computational complexity can be viewed through two main perspectives: problem-centric or resource-centric. Problem-centric complexity theory asks what resources are required to solve *specific problems*, while resource-centric complexity deals with the relative power of different computational models given different resource budgets such as time, memory, non-determinism, randomness, etc. (see [GI16] for a discussion). Through complete problems, these two perspectives often coincide, so that a resource-centric view acts as a fine proxy for answering questions about the complexity of specific problems. The rapidly progressing field of fine-grained complexity theory, however, brings attention back to the problem-centric viewpoint, raising fine distinctions even between problems complete for the same complexity class, and making connections between problems at very different levels of complexity. To what extent are these two approaches linked, i.e., to what extent can inferences about the fine-grained complexities of specific problems be made from general assumptions about complexity classes, and vice versa?

Here, we examine such links between the fine-grained complexity of specific problems such as the k-Orthogonal Vectors and k-CLIQUE problems and general results about derandomization of algorithms. Derandomization has been a very fruitful study in complexity theory, with many fascinating connections between lower bounds, showing that problems require large amounts of resources to solve, and upper bounds, showing that classes of probabilistic algorithms can be 'derandomized' by simulating them deterministically in a non-trivial fashion. In particular, the hardness-to-randomness framework shows that in many cases, the existence of any "hard" problem can be used to derandomize classes of algorithms. We reconsider this framework from the finegrained, problem-centric perspective. We show that replacing a generic hard problem with specific hardness conjectures from fine-grained complexity leads to quantitatively and qualitatively stronger derandomization results than one gets from the analogous assumption about a generic problem. In particular, we show that starting from these assumptions, we can simulate any polynomialtime probabilistic algorithm (on any samplable distribution on inputs with a very small fraction of errors) by a polynomial time probabilist algorithm that uses only n^{α} random coins, for any $\alpha > 0$. This type of derandomization previously either assumed the existence of cryptographic One-Way Functions or *exponential non-uniform* hardness of Boolean functions.

Thus, the problem-centric conjectures of fine-grained complexity cannot live in isolation from classical resource-centric consequences about the power of randomness. Viewed another way, our results can be seen as a barrier to proving some of the key hardness assumptions used by fine-grained complexity theory. That is, despite recent progress towards proving hardness for k-Orthogonal Vectors, one of fine-grained complexity's key problems, in restricted models of computation [KW17], doing so for general randomized algorithms would immediately prove *all* problems in BPP are easy on average (over, say, uniformly chosen inputs).

Previous derandomization results in the uniform setting ([IW01, GW02, TV07]) used two properties of the hard problem: random self-reducibility and downward self-reducibility. To obtain our results, we need problems that have stronger, "fine-grained" versions of both (or can be reduced to problems that do). In particular, we need problems where not only can instances of size n be reduced to smaller instances of the same problem, but that these instances are much smaller, of size n^{ϵ} for $\epsilon < 1$, and that the reduction is "fine-grained", in that any improvement in the time to solve the smaller instances yields a similar improvement in the time to solve the larger ones.

1.1 Our Results

We obtain two main theorems about the power of BPP from uniform worst-case assumptions about well-studied problems from the field of fine-grained complexity theory. Namely, we consider the k-Orthogonal Vectors (k-OV) and the k-CLIQUE problems, defined and motivated in Section 2.1, and show that (even weaker versions of) popular conjectures on their hardness give two flavors of average-case derandomization that improve over the classical *uniform* derandomizations.

All previous derandomizations from *uniform* assumptions on worst-case hardness only succeed on *infinitely many input lengths*. Our work is the first to use worst-case uniform assumptions to derandomize BPP *for all but finitely many input lengths*, as is wanted for asymptotics. The only other worst-case uniform assumptions known to imply such results are those so strong as to imply cryptographic assumptions or circuit lower bounds, fitting closer to the cryptographic or nonuniform derandomization literature. In contrast, our *uniform derandomizations* are from extremely weak worst-case uniform conjectures on simple, natural, combinatorial problems. Informally, we prove the following.

Informal Theorem 1. If k-OV or k-CLIQUE requires $n^{\epsilon k}$ time, for some constant $\epsilon > 1/2$, to **count** on randomized machines in the worst-case for all but finitely many input lengths, then BPP can be decided in polynomial time **using only** n^{α} random bits on average over any efficient input distribution, for any constant $\alpha > 0$.

Randomness can be removed entirely by simply brute-forcing all random bits and taking the majority of the outputs to give the following more familiar full derandomization.

Corollary. If k-OV or k-CLIQUE requires $n^{\epsilon k}$ time, for some constant $\epsilon > 1/2$, to **count** on randomized machines in the worst-case for all but finitely many input lengths, then BPP can be decided with **no randomness** in sub-exponential time on average over **any** efficient input distribution.

This conclusion is strictly stronger than the classic uniform derandomizations of [IW01, TV07]. The weakest uniform assumption previously known to imply such a conclusion was from those already strong enough to imply the cryptographic assumption of the existence of One-Way Functions that are hard to invert for polynomial time adversaries [BM84, GKL93, GL89, HILL99, Yao82] or those implying *non-uniform* circuit lower bounds [BFNW93].

Our second main theorem, using techniques from [KvMS12], shows how to remove all randomness *within polynomial time* if the distribution over inputs is uniform. The only stronger derandomization from uniform assumptions were, again, from those already strong enough to imply circuit lower bounds or the cryptographic assumption of the existence of One-Way Permutations that require *exponential* time to invert [BM84, GL89, Yao82].

Informal Theorem 2. If k-OV or k-CLIQUE require $n^{\epsilon k}$ time, for some constant $\epsilon > 1/2$, to count on randomized machines in the worst-case for all but finitely many input lengths, then BPP can be decided in polynomial time with **no randomness** on average over **the uniform distribution**.

These results should be viewed through three main points: First, that we conceptually tie problem-centric conjectures to resource-centric consequences, thus partly reconnecting the two perspectives of complexity theory that separate in the fine-grained world. Secondly, we add to the general derandomization literature by achieving quantitatively and qualitatively stronger derandomization from weak uniform assumptions. Lastly, our results can be seen, pessimistically, as demonstrating a barrier to proving even weak versions of some of fine-grained complexity theory's main conjectures lest we achieve a breakthrough in unconditional derandomization or, optimistically, as providing a path to achieve such general resource-centric results by instead considering extremely weak conjectures on very concrete, simple, and structured combinatorial problems.

1.2 Related Work

We now discuss previous connections between problem-centric and resource-centric complexity and previous derandomization results.

Connections Between Problem-Centric and Resource-Centric Complexity. Most connections from problem-centric to resource-centric complexity show that faster algorithms for OV or related problems give circuit lower bounds. For instance, improvements in EDIT-DISTANCE algorithms imply circuit lower bounds [AHWW15] and solving OV faster (and thus CNF-SAT [Wil05]) implies circuit lower bounds [JMV15]. These are all non-uniform results, however, whereas in this paper we are concerned with *machines* and their resource-bounds as opposed to circuits. On the uniform side, [GIKW17] recently showed that the exact complexity of *k*-Orthogonal Vectors is closely related to the complexity of uniform AC^0 , although a connection between more powerful machine models and fine-grained assumptions was still not known until now. Further, most of these results follow from OV being *easy*. Our work shows instead that there are interesting resource-centric consequences if our fine-grained problems are *hard*.

Uniform Derandomization Framework. The uniform derandomization framework was introduced in [IW01], a breakthrough paper that showed the first derandomization from a uniform assumption ($EXP \neq BPP$) in the *low-end* setting: a weak assumption gives a *slow* (subexponentialtime) deterministic simulation of BPP. This is in contrast to our simulation which retains small amounts of randomness but is *fast* (this is a strictly stronger result as it recovers the [IW01] derandomization as a corollary).

We build on [TV07], which simplifies the proof of [IW01] to prove that PSPACE \neq BPP implies a non-trivial deterministic simulation of BPP. The technique of [TV07] carefully arithmetizes the PSPACE-complete problem TQBF and uses this as a hard function in the generator of [IW01]. Our proof substitutes a carefully-arithmetized k-OV problem from [BRSV17]. Numerous other works study derandomization from uniform assumptions ([Kab01, Lu01, IKW02, GST03, SU09]), but these all focus on assumptions and consequences about *nondeterministic* classes.

All worst-case uniform derandomizations, including [TV07] and [IW01], seem to only be able to achieve simulations of BPP that succeed for infinitely many input lengths because of how their proofs use downward self-reductions. Our is the first work to achieve simulations on all but finitely many input lengths, because the k-OV and k-CLIQUE-inspired problems have very parallelizable downward self reductions so that we can reduce to a single much smaller input length rather than recurse through a chain of incrementally smaller input lengths in our downward self-reduction.

Heuristics by Extracting Randomness From the Input. A separate line of work began when [GW02] introduced the idea of using the *input itself* as a source of randomness to heuristically simulate randomized algorithms over uniformly-distributed inputs. While their assumptions contain oracles and are mostly non-uniform and average-case, they construct an algebraic problem inside P whose worst-case uniform hardness can be used in the framework of [IW01] to get an *infinitelyoften* simulation of BPP in polynomial time. Our work differs in that we achieve an *almosteverywhere* simulation, that our assumptions are based on canonical fine-grained problems, and that our assumptions aren't against machines with SAT-oracles. Further, the downward self-reduction of their problem requires an expansion by minors of the determinant and so they cannot also obtain an *almost-everywhere* heuristic using our techniques without placing the determinant in NC¹ (as our modification to [IW01] exploits *embarrassingly parallel* downward self reductions). The work of [KvMS12], generalizing [Sha11], removes the SAT-oracles needed in the assumptions of [GW02] by showing that the Nisan-Wigderson generator (see [NW94]) remains secure against *non-uniform* adversaries even if the seed is revealed to potential distinguishers. In Section 3.2.2 we will show their arguments can be made *uniform* so we can derandomize from uniform assumptions. Seed-revealing Nisan-Wigderson generators are used in [KvMS12] to obtain polynomial-time heuristics for randomized algorithms, where the uniformly distributed input is used as a seed to the generator. The derandomizations in [KvMS12] are achieved from *non-uniform* assumptions of polynomial *average-case* hardness. From *worst-case uniform* assumptions we achieve the same derandomizations.

2 Preliminaries

Here we give the relevant background from fine-grained complexity theory and motivate our assumptions, present standard tools from derandomization, and give definitions of the peculiarities that arise specifically in derandomization from uniform assumptions such as average-case tractability and infinitely-often qualifiers.

All complexity measures of fine-grained problems will refer to time on a randomized word RAM with $O(\log(n))$ -bit word length, as is standard for the fine-grained literature [Wil15, BRSV17]. Specifically, we will consider two-sided bounded error as in [BRSV17].

2.1 Fine-Grained Hardness

The problem-centric field of fine-grained complexity theory has had impressive success in showing the fixed polynomial time ("fine-grained") hardness of many practical problems by assuming the fine-grained hardness of four "key" well-studied problems, as discussed in [BRSV17]. We obtain our results under hardness conjectures about two of these four key problems: the k-Orthogonal Vectors (k-OV) problem and the k-CLIQUE problem. Evidence continues to accumulate that these problems are actually hard. Thus, not only is the connection between problem-centric and resourcecentric complexity of independent interest, but the strong derandomizations of this paper are now supported by plausible conjectures about concrete problems.

k-CLIQUE. Denote the matrix multiplication constant by ω . The fastest known algorithm for deciding if a graph has a k-CLIQUE (given its adjacency matrix) runs in time $O(n^{\omega k/3})$, and was discovered in 1985 [NP85] for k a multiple of three (for other k different ideas are needed [EG04]). It is conjectured that no algorithm can improve the exponent to a better constant. The parameterized version of the famous NP-hard MAX-CLIQUE problem [Kar72], k-CLIQUE is one of the most heavily studied problems in theoretical computer science and is the canonical intractable (W[1]-complete) problem in parameterized complexity (see [ABW15] for a review of the copious evidence of k-CLIQUE's hardness and consequences of its algorithm's exponent being improved). Recent work has shown that conjecturing k-CLIQUE to require $n^{\omega k/3-o(1)}$ time, for k a multiple of three, leads to interesting hardness results for other important problems such as parsing languages and RNA folding [ABW15, BGL17, BDT16, BT17], and it is known that refuting this conjecture deterministically would give a faster exact algorithm for MAX-CUT [Wil05]. Our results hold under a much weaker version of the conjecture:

Definition 2.1 (Weak k-CLIQUE Conjecture). There exists an absolute constant $\epsilon_0 > 1/2$ such that, for all $k \in \mathbb{N}$ a multiple of three, any randomized algorithm that *counts* the number of k-CLIQUE's in an n node graph requires $n^{\epsilon_0 k}$ time.

Note that this conjecture gives leeway for the exponent of the k-CLIQUE algorithm to be improved so long as it doesn't get down to k/2; even finding a linear time algorithm for Boolean matrix multipliaction ($\omega = 2$) would not contradict this conjecture! Further, even if it is possible to *decide* the k-CLIQUE problem that quickly, this conjecture still holds unless it is possible to *count all of* the k-CLIQUE's in that time. (With a more careful analysis of our techniques to focus on k-CLIQUE we can actually use the even weaker conjecture of $\epsilon_0 > \omega/(\omega + 3)$, as argued in Appendix A).

k-Orthogonal Vectors. Although the k-CLIQUE problem is certainly at least as important as the k-OV problem, for concreteness we will use the k-OV problem to demonstrate our techniques throughout the paper. Proofs based on hardness of k-CLIQUE follow identically.

Definition 2.2 (k-Orthogonal Vectors Problem, k-OV_{n,d}). For an integer $k \ge 2$, the k-OV_{n,d} problem on vectors of dimension d is to determine, given k sets (U_1, \ldots, U_k) of n vectors from $\{0, 1\}^d$ each, whether there exist $u_i \in U_i$ for each i such that over \mathbb{Z} ,

$$\sum_{\ell \in [d]} u_{1\ell} \cdots u_{k\ell} = 0$$

If left unspecified, d is to be taken to be $d(n) = \lceil \log^2 n \rceil$.

Definition 2.3 (k-Orthogonal Vectors Conjecture, k-OVC). For any $d = \omega(\log n)$, for all $k \ge 2$, any randomized algorithm for the k-OV_{n,d} problem requires $n^{k-o(1)}$ time.

For k = 2 the Orthogonal Vectors conjecture for deterministic algorithms has been extensively studied and is supported by the *Strong Exponential Time Hypothesis* (SETH) [Wil05], which states that there is no $\epsilon > 0$ such that *t*-SAT can be solved in time $\tilde{O}(2^{n(1-\epsilon)})$ for all values of *t*. The natural generalization to *k*-OV is studied in [BRSV17, GIKW17] and its deterministic hardness is also supported by SETH. While SETH has been controversial, the deterministic *k*-OV conjecture seems to be a much weaker assumption and is independently believable and supported: it has been shown that it holds unless *all* first-order graph properties become easy to decide [GIKW17] and the 2-OV conjecture has recently been proven unconditionally when the model of computation is restricted to branching programs [KW17]. This conjecture has also been used to support the hardness of many practical and well-studied fine-grained problems [AWW14, BI15, BK15]. As with *k*-CLIQUE, our main results will hold using a much weaker version of the randomized *k*-OV *conjecture* introduced below.

Definition 2.4 (Weak k-Orthogonal Vectors Conjecture). For any $d = \omega(\log n)$, there exists an absolute constant $\epsilon_0 > 1/2$ such that, for all $k \ge 2$, any randomized algorithm *counting* the number of k-OV_{n,d} solutions requires $n^{\epsilon_0 k}$ time.

Remark 2.5. For all of these conjectures we will also consider the strengthened versions that assume that all algorithms running in time less than what is required will fail on all but finitely many input lengths, as opposed to only on infinitely many input lengths. For most natural problems, an 'almost-everywhere' assumption like this seems natural. That is, we don't expect that the problem becomes easy for, say, even input sizes and hard on odd input sizes or other degenerate cases like this, but instead believe that the hardness comes from the structure of the problem and will simply grow (as opposed to oscillate) asymptotically.

For the purposes of derandomization, for a given k, we will use a family of polynomials introduced in [BRSV17], $\left\{f_{n,d,p}^k: \mathbb{F}_p^{knd} \to \mathbb{F}_p\right\}_{n,d,p \in \mathbb{N}}$, such that the variables are grouped into sets of size nd in the form of a matrix $U_i \in \mathbb{F}_p^{n \times d}$ where the n rows $u_i \in U_i$ are each collections of d variables:

$$f_{n,d,p}^{k}(U_{1},\ldots,U_{k}) = \sum_{u_{1}\in U_{1},\ldots,u_{k}\in U_{k}}\prod_{\ell\in[d]}\left(1-u_{1\ell}\cdots u_{k\ell}\right)$$

The worst-case hardness of evaluating these polynomials was related to the worst-case hardness of k-OV_n in [BRSV17].

Lemma 2.6. Let p be the smallest prime number larger than n^k and $d = \lfloor \log^2(n) \rfloor$. If $f_{n,d,p}^k$ can be computed in $O(n^{k/2+c})$ time for some c > 0, then k-OV_n can be counted in time $\widetilde{O}(n^{k/2+c})$

Derandomization from uniform assumptions typically requires two other properties of the assumed hard problem: random self-reducibility and downward self-reducibility. We recall from [BRSV17] that $f_{n,d,p}^k$ satisfies both of these properties. We give a polynomial for k-CLIQUE and show that it also has the necessary properties in Appendix A.

Random Self-Reducible. $f_{n,d,p}^k$ is random self-reducible by the following classical lemma [Lip89, FF91] (see [BRSV17] for a proof). Note that degree $\log^2 n$ adds negligibly to the random selfreduction time.

Lemma 2.7 (Random Self-Reducibility of Polynomials). If $f : \mathbb{F}_P^N \to \mathbb{F}_P$ is a degree 9 < D < P/12polynomial, then there exists a randomized algorithm that takes a circuit \widehat{C} 3/4-approximating f and produces a circuit C exactly computing f, such that the algorithm succeeds with high probability and runs in time $poly(N, D, \log P, |C|)$.

Downward Self-Reducible. We will show that $f_{n,d,p}^k$ is downward self-reducible in the sense that, if we we have a way to produce an oracle for $f_{\sqrt{n},d,p}^{k}$, we can quickly compute $f_{n,d,p}^{k}$ with it. Compare this to downward self-reducibility going from input size n to n-1 in previous uniform derandomizations. We exploit our more dramatic shrinkage and parallelism to later give an almosteverywhere derandomization, instead of an infinitely-often one.

Lemma 2.8. If there exists an algorithm A that, on input 1^n , outputs a circuit C computing $f_{\sqrt{n},d,p}^k$, then there exists an algorithm that computes $f_{n,d,p}^k$ in time $O(n^{k/2}|C| + \mathsf{TIME}(A))$.

Proof. Using A, we print a circuit C computing $f_{\sqrt{n},d,p}^k$ in time TIME(A). To solve an instance of $f_{n,d,p}^k$, we break up its input as follows.

Intuitively, we break each U_i into \sqrt{n} chunks of \sqrt{n} rows each which partitions the sum of $f_{n,d,p}^k$ into \sqrt{n}^k sub-summands. More formally, for $j \in [\sqrt{n}]$ let $U_i^j \in \mathbb{F}^{\sqrt{n} \times d}$ be the submatrix of U_i consisting of just the $((j-1)\sqrt{n}+1)^{th}$, $((j-1)\sqrt{n}+2)^{th}$, \dots , $((j-1)\sqrt{n}+\sqrt{n})^{th}$ rows. Now we can feed $U_1^{j_1}, U_2^{j_2}, \dots, U_k^{j_k}$ as input to C for all $j_1, j_2, \dots, j_k \in [\sqrt{n}]$ and sum the results

that C gives. This will give the correct answer by inspection and makes $\sqrt{n^k}$ calls to C.

2.2Derandomization

We now define pseudorandom generators (PRGs) in terms of their distinguishers.

Definition 2.9 (Distinguishers). A test $T : \{0,1\}^{m^{\ell}} \to \{0,1\}$ is an ϵ -distinguisher against $G : \{0,1\}^m \to \{0,1\}^{m^{\ell}}$, denoted $T \in \mathsf{DIS}(G,\epsilon)$, if:

$$\left| \Pr_{r \sim \mathcal{U}_{m^{\ell}}}[T(r)] - \Pr_{z \sim \mathcal{U}_{m}}[T(G(z))] \right| > \epsilon$$

We also will consider the seemingly weaker object of distinguishers that succeed if they are also given the seed to the PRG. These were studied in [TV07] to relate uniform derandomization to average-case hardness and in [KvMS12] to derandomize over the uniform distribution by using the random input itself as the seed to the PRG.

Definition 2.10 (Seed-Aware Distinguishers). A test $T : \{0,1\}^m \times \{0,1\}^{m^{\ell}} \to \{0,1\}$ is an ϵ -seed-aware distinguisher against G, denoted $T \in \mathsf{DIS}(G, \epsilon)$, if:

$$\left|\Pr_{\boldsymbol{x}\sim\mathcal{U}_m,\boldsymbol{r}\sim\mathcal{U}_{m\ell}}[T(\boldsymbol{x},\boldsymbol{r})] - \Pr_{\boldsymbol{x}\sim\mathcal{U}_m}[T(\boldsymbol{x},G(\boldsymbol{x}))]\right| > \epsilon$$

Standard hardness-to-randomness arguments typically derandomize using generators that are based on some 'hard' function by contrapositive: if derandomization fails, then a distinguisher for the generator can be produced. Further, from a distinguisher, we can create a small circuit for the supposedly hard function that the generator was based on. For our purposes, we require an algorithmic version of this argument for derandomization from *uniform* hardness assumptions. More specifically, we will use the following lemma which was originally proved for distinguishers [TV07, IW01] but Lemma 2.9 of [KvMS12] proves that it also holds for seed-aware distinguishers (while the proof of [KvMS12] is non-uniform, it is easy to see that it can be made constructive, in the same way that [IW01] gave a constructive version of [NW94]). Thus, $DIS(G, \epsilon)$ in the lemma below can be thought to refer to either regular or seed-aware distinguishers (which justifies overloading this notation).

Lemma 2.11 (Algorithmic Distinguishers to Predictors ([TV07, IW01])). For every random selfreducible f, there exists a function G with stretch m bits to m^{ℓ} bits and a constant c such that

- G(z) can be computed in time $(|z|^{\ell})^c$, given oracle access to f on inputs of length at most |z|
- There exists a polynomial-time randomized algorithm A that, with high probability, given as input circuit $D \in \mathsf{DIS}(G, \epsilon)$ for ϵ at least inverse polynomial and an oracle for f, prints a circuit computing f exactly.

2.3 Uniform Derandomization

Previous techniques for derandomizations from worst-case uniform assumptions seemed to have inherent caveats: the derandomization only succeeds on average and, even then, only for infinitely many input lengths. Our results *will remove the infinitely-often caveat* and so, in this section, we pay careful attention to infinitely-often simulation. First, we give the definitions of average-case tractability that arise in uniform derandomization.

Average-Case Tractability. We give standard definitions of average-case tractability (for an extensive survey of these notions, see [BT06a]).

Definition 2.12 (t(n)-Samplable Ensemble). An ensemble $\mu = {\mu_n}$ is t(n)-samplable if there is a randomized algorithm A that, on input a number n, outputs a string in ${0, 1}^*$ and:

- A runs in time at most t(n) on input n, regardless of its internal coin tosses
- for every n and for every $x \in \{0,1\}^*$, $\Pr[A(n) = x] = \mu_n(x)$

With this notion of samplable ensemble we can now consider *heuristic algorithms* that perform well on some language $\mathcal{L} : \{0,1\}^* \to \{0,1\}$ over some μ . The pair (\mathcal{L},μ) is a *distributional problem*.

Definition 2.13 (Heuristics for Distributional Problems). For $t : \mathbb{N} \to \mathbb{N}$, $\delta : \mathbb{N} \to \mathbb{R}^+$, we say $(\mathcal{L}, \mu) \in \text{Heur}_{\delta(n)} \mathsf{DTIME}[t(n)]$ if there is a time t(n) deterministic algorithm A such that, for all but finitely many n:

$$\Pr_{x \sim \mu_n} [A(x) \neq \mathcal{L}(x)] \le \delta(n)$$

For a class of languages \mathcal{C} we say $(\mathcal{C}, \mu) \subseteq \operatorname{Heur}_{\delta(n)} \mathsf{DTIME}[t(n)]$ if $(\mathcal{L}, \mu) \in \operatorname{Heur}_{\delta(n)} \mathsf{DTIME}[t(n)]$ for all $\mathcal{L} \in \mathcal{C}$.

As in [BT06a], Heur_{δ}P is defined as the union over all polynomials p of Heur_{δ}DTIME(p(n))and HeurP is the intersection over all inverse polynomial $\delta(n)$ of Heur_{δ}P. HeurSUBEXP is defined similarly where SUBEXP = $\cap_{\epsilon>0}$ DTIME [$2^{n^{\epsilon}}$].

In other words, HeurP is the class of distributional problems that can be solved in deterministic polynomial time for any inverse polynomial error. Thus, while saying $(\mathcal{L}, \mu) \in$ HeurP is not a *worst-case* guarantee on \mathcal{L} being easy, HeurP still captures a very strong real-world notion of tractability: \mathcal{L} can be easily decided up to *any* inverse polynomial probability of error over input distribution μ . It is then interesting to see over which input distributions a language can be made tractable over.

Finally, to discuss the *randomness-reduced* simulations we construct, we define BPTIME with a limited number of random coins in the natural way.

Definition 2.14 (Randomized Heuristics with Bounded Coins). For $t : \mathbb{N} \to \mathbb{N}$, $\delta : \mathbb{N} \to \mathbb{R}^+$, and coin bound $r : \mathbb{N} \to \mathbb{N}$ we say $(\mathcal{L}, \mu) \in \text{Heur}_{\delta(n)} \mathsf{BPTIME}_{[r(n)]}[t(n)]$ if there is randomized algorithm A running in time t(n) and flipping r(n) coins such that, for all but finitely many n:

$$\Pr_{x \sim \mu_n} \left[\Pr_{r \sim \mathcal{U}_{r(n)}} [A(x, r) \neq \mathcal{L}(x)] > 1/3 \right] \le \delta(n)$$

For example, HeurBPP_[r(n)] denotes the class of distributional problems that, for every inverse polynomial error, have a polynomial time randomized algorithm using only r(n) random coins.

Infinitely-Often Simulation. As opposed to an algorithm that decides a language (possibly on average) "for all but finitely many *n*" as in Definition 2.13, an infinitely-often (io-) qualifier can be added to any complexity class to specify that an algorithm need only succeed on infinitely many input lengths within the time and error bounds. Thus, to derandomize BPP into io-HeurP over the uniform distribution is to say that every language in BPP can be simulated on average in polynomial time by an algorithm that is only guaranteed to succeed for infinitely many input lengths. *There is no guarantee on what those input lengths are or how large the gaps could be between them.* This is obviously a very undesirable notion of 'tractability'.

Non-uniform hardness to randomness trade-offs can derandomize almost-everywhere (the desired notion of tractability for asymptotics) by assuming almost-everywhere hardness: that no algorithm works for all sufficiently large input lengths. That is, the 'infinitely-often' qualifier on the consequent can be flipped across the implication to be an 'almost-everywhere' qualifier on the assumption and vice-versa. Thus, the unrealistic 'infinitely-often' notion of tractability can be dropped by slightly strengthening the assumption to the (as argued in Remark 2.5) realistic 'almost-everywhere' hardness. For non-uniform derandomizations this is possible. Starting with [IW01] and the techniques it introduced, all *uniform* derandomizations have been infinitely-often derandomizations *without* being able to flip the io- qualifier to an 'almost-everywhere' assumption. Our work is the first that is able to do this in the uniform derandomization framework, thus removing the 'infinitely-often' qualifier from our derandomizations.

3 Fine-Grained Derandomization

We will prove our main derandomization results (Theorems 3.4 and 3.9) here. Under either the (weak) k-OV or k-CLIQUE conjectures, we derandomize BPP on average, where 'on average' will have two different flavors. Although all techniques apply to k-CLIQUE, for concreteness we will use k-OV throughout this section.

We show in Section 3.1 that if we base pseudorandom generators on $f_{n,d,p}^k$, then an algorithm printing distinguishers for this PRG can be used to count k-OV solutions quickly. We will then show in Section 3.2 how to attain these distinguisher-printing algorithms if derandomization *doesn't* work on average (for both flavors of on average). Thus, a failed derandomization using these PRGs refutes the k-OV conjecture (similarly for k-CLIQUE).

More specifically, in Section 3.2.1 we will show that the amount of randomness needed can be shrunk in polynomial time to only n^{α} random bits for any constant $\alpha > 0$ and still succeed in deciding the language on average over any efficient distribution on inputs (which implies a fully deterministic sub-exponential derandomization over all efficient distributions). The second flavor of derandomization will be shown in Section 3.2.2, that we can fully derandomize in polynomial time on average over the uniform distribution. Namely, we will show that if either flavor of these derandomizations fail, we will have an algorithm that prints distinguishers for infinitely many input lengths.

3.1 Counting *k*-OV from Distinguishers

In this section we show that any algorithm producing a distinguisher for $G^{f_{m,d,p}^k}$ (the generator guaranteed to exist from Lemma 3.1, using the hard function $f_{m,d,p}^k$) can be used to quickly count k-OV solutions.

First, Lemma 3.1 follows immediately by combining the distinguisher to predictor algorithm of Lemma 2.11 with the fact that $f_{m,d,p}^k$ is random self-reducible as in Lemma 2.7.

Lemma 3.1. There is a randomized algorithm $A^{f_{m,d,p}^k}$ that takes any circuit D that is a distinguisher for $G^{f_{m,d,p}^k}$ and produces a circuit C exactly computing $f_{m,d,p}^k$, such that A succeeds with high probability and runs in time $poly(m, d, \log p, |D|)$

As usual, having an oracle to $f_{m,d,p}^k$, the assumed hard function, is not desirable and our algorithms dependence on it will need to be removed. We stray from the techniques of [IW01] and worst-case uniform derandomization in general, however, as we use the fact that our problems are from the fine-grained world, and thus polynomial-time computable, to simply answer our oracle queries by brute force. This difference is in part how we can remove the 'infinitely-often' qualifier that plagues all previous worst-case uniform derandomizations.

As $f_{m,d,p}^{\bar{k}}$ is computable in time $O(m^k \operatorname{poly}(d, \log p))$, we get the following theorem without an oracle by running the algorithm guaranteed in Lemma 3.1 with each oracle call answered by the naïve brute force computation of $f_{m,d,p}^{\bar{k}}$.

Lemma 3.2. There is a randomized algorithm B that takes any circuit D that is a distinguisher for $G^{f_{m,d,p}^k}$ and produces a circuit C of size $poly(m, d, \log p, |D|)$ exactly computing $f_{m,d,p}^k$. B succeeds with high probability and runs in time $O(m^k poly(m, d, \log p, |D|))$.

Now we show that, if we have an algorithm producing a distinguisher, then we have an algorithm counting k-OV. (In Sections 3.2.1 and 3.2.2 we will show how to attain such uniform distinguisher-printing algorithms if either of our types of derandomization fail.)

Theorem 3.3. Let p be the smallest prime number larger than n^k and $d = \lceil \log^2(n) \rceil$. If there is an algorithm A that, on input 1^n , outputs a distinguisher D of poly(n) size for $G^{f_{\sqrt{n},d,p}^k}$, then there exists a randomized algorithm counting k-OV_n that runs in time $O(n^{k/2+c} + \mathsf{TIME}(A))$, where conly depends on |D|.

Proof. Using A, we print a distinguisher circuit D for $G^{f_{\sqrt{n},d,p}^k}$. Then, by Lemma 3.2, we know there exists a randomized algorithm running in time $O(n^{k/2} \text{poly}(\sqrt{n}, d, \log p, |D|)) = O(n^{k/2+c_1})$ that yields a circuit exactly computing $f_{\sqrt{n},d,p}^k$ of size only $\text{poly}(\sqrt{n}, d, \log p, |D|) = O(n^{c_2})$, where c_1 and c_2 only depend on |D|. Thus, by Lemma 2.8, there exists an algorithm computing $f_{n,d,p}^k$ in time $O(n^{k/2+c_2} + (n^{k/2+c_1} + \mathsf{TIME}(A))) = O(n^{k/2+c} + \mathsf{TIME}(A))$ for $c = \max\{c_1, c_2\}$. Finally, this gives us an algorithm running in time $\widetilde{O}(n^{k/2+c} + \mathsf{TIME}(A))$ to count k-OV_n by Lemma 2.6.

3.2 Printing Distinguishers from Failed Derandomization

We now show that, if either of two (shown in 3.2.1 and 3.2.2 respectively) types of derandomization fail, we can uniformly print the distinguishers needed in Section 3.1 and thus count k-OV solutions.

3.2.1 Randomness-Reduced Heuristics Over Any Efficient Distribution

Our first main result in derandomizing BPP is to reduce the amount of randomness required to arbitrarily small quantities, over any efficient distribution of inputs. This simulation trades time for reduced randomness under fine-grained hardness assumptions.

Theorem 3.4. If the weak k-OV conjecture holds almost everywhere, then, for all polynomially samplable ensembles μ and for all constants $\alpha > 0$,

$$(\mathsf{BPP},\mu) \subseteq \mathrm{Heur}\mathsf{BPP}_{[n^{\alpha}]}$$

Thus, for any efficient distribution over inputs that nature might be drawing from and for any inverse polynomial error rate we specify, we can simulate BPP using only n^{α} random bits for any constant $\alpha > 0$ we want. By brute-forcing over all random bits and taking majority answer over this randomness-reduced computation we can always trivially create a fully deterministic simulation to achieve the following more traditional-looking derandomization result.

Corollary 3.5. If the weak k-OV conjecture holds almost everywhere, then, for all polynomially samplable ensembles μ ,

$(\mathsf{BPP},\mu) \subseteq \operatorname{HeurSUBEXP}$

Remark 3.6. While we are able to remove the infinitely-often qualifier from our derandomization, note that for each efficient distribution of inputs and each inverse polynomial error rate we are guaranteed to have a derandomizing algorithm, whereas [IW01] is able to achieve a **single** derandomizing algorithm that works for each efficient distribution. Nonetheless, our result is a strictly stronger derandomization as it implies, for instance, $\mathsf{EXP} \neq \mathsf{BPP}$ (shown in Section B) which is the assumption used to achieve the derandomization of [IW01]. In contrast to typical full derandomizations which brute-force all seeds to a pseudorandom generator and take majority answer, we now show that choosing a *single random seed* and using the generator's output as our randomness yields randomness-reduced simulations so long as the generator is efficient enough. Typically, the generator is not fast enough for this application; 'quick' complexity-theoretic PRGs are usually given exponential time in their seed length as they construct pseudorandom strings via queries to problems that have *exponential* hardness.

Definition 3.7 (Randomness-Reduced Simulations). Let $A : \{0,1\}^N \times \{0,1\}^{N^{\ell}} \to \{0,1\}$ be a randomized algorithm that uses N^{ℓ} random bits and let $G : \{0,1\}^{N^{\alpha}} \to \{0,1\}^{N^{\ell}}$ be a function. Then for constant $\alpha > 0$, define the randomness-reduced simulation to be a randomized algorithm $B : \{0,1\}^N \times \{0,1\}^{N^{\alpha}} \to \{0,1\}$ using only N^{α} random bits as B(x,r) = A(x,G(r)).

We now show that if B does not work as a randomness-reduced heuristic, we can uniformly print a distinguisher for the function G.

Lemma 3.8 (Failed Randomness-Reduction to Distinguishers). Let A, B, and G be as in Definition 3.7 such that for language $\mathcal{L} : \{0,1\}^N \to \{0,1\}$,

$$\Pr_{r \sim \mathcal{U}_{N^{\ell}}} \left[A(x, r) \neq \mathcal{L}(x) \right] \le 1/10$$

That is, that A as a good randomized algorithm deciding \mathcal{L} for all $x \in \{0,1\}^N$. Yet, also assume that, for μ samplable in time N^{a_1} and $\delta(n) = 1/N^{a_2}$, it holds that

$$\Pr_{x \sim \mu_N} \left[\Pr_{r \sim \mathcal{U}_N \alpha} [B(x, r) \neq \mathcal{L}(x)] > 1/3 \right] \ge \delta(N)$$

That is, B as a (randomness-reduced) randomized algorithm does not decide \mathcal{L} on average over μ . Then $1^N \mapsto \mathsf{DIS}(G, 1/5)$ is in randomized time $N^c \mathsf{TIME}(G)$ for c depending on a_1 and a_2 .

Proof. Assume the antecedents of Lemma 3.8. This means that, with probability at least $\delta(N)$, choosing x from μ will result in an x such that

$$\Pr_{r \sim \mathcal{U}_{N^{\alpha}}}[B(x, r) \neq \mathcal{L}(x)] > 1/3$$

If we find an $x' \in \{0,1\}^N$ that induces this "bad" performance of B on \mathcal{L} , the test T(r) = A(x', r) will be in $\mathsf{DIS}(G, 1/5)$. That is, since x' makes B perform poorly while A still performs well on all x's and since B(x', z) = A(x', G(z)), the distinguishing gap of T is

$$\Pr_{r \sim \mathcal{U}_{N^{\ell}}}[T(r)] - \Pr_{z \sim \mathcal{U}_{N^{\alpha}}}[T(G(z))] = \left| \Pr_{r \sim \mathcal{U}_{N^{\ell}}}[A(x', r)] - \Pr_{z \sim \mathcal{U}_{N^{\alpha}}}[B(x', G(z))] \right| > |1/10 - 1/3| > 1/5$$

To find such an x', we simply sample-and-test as in [IW01]: sample $\widetilde{O}(1/\delta(N))$ possible $x_i \in \{0,1\}^N$'s from μ and, for each of them, define the test $T_i(r) = A(x_i, r)$. For each T_i , in polynomial time we can estimate the fraction of $r \in \{0,1\}^{N^{\ell}}$ that T_i accepts on and, by making calls to G, we can estimate the fraction of G(z) for $z \in \{0,1\}^{N^{\alpha}}$ that T_i accepts on in polynomial time times TIME(G). Thus we can estimate the distinguishing gap for each T_i .

With high probability one of these T_i is a 1/5-distinguisher for G and our estimation of its distinguishing gap reveals it. Print this circuit.

Randomness-Reduced Simulations from k-OV. To finish defining a randomness-reduced simulation, we need to use a specific pseudorandom generator G that, for input length N, stretches N^{α} coins to N^{ℓ} . Thus, consider the family of simulations B_k using the standard generators $G^{f_{\sqrt{n},d,p}^k}$ of Lemma 2.11 that map \sqrt{n}^s bits to \sqrt{n}^b bits, for some fixed s and any b we choose, using $f_{\sqrt{n},d,p}^k$ as our hard function, for $d = \log^2 n$ and p the smallest prime number larger than n^k . Set $b = s\ell/\alpha$ and $\sqrt{n} = N^{\alpha/s}$. Note that $\mathsf{TIME}(G^{f_{\sqrt{n},d,p}^k}) = \mathsf{poly}(N) n^{k/2} = \mathsf{poly}(N)$ by naïvely evaluating $f_{\sqrt{n},d,p}^k$ at each oracle call, giving an efficient randomness-reduced simulation. Further, since $N = \mathsf{poly}(n)$, $\mathsf{TIME}(G^{f_{\sqrt{n},d,p}^k})$ also equals $n^{k/2+c}$ for some constant c not depending on k (this will be useful in quickly counting k-OV_n using downward self-reducibility in the following proof). Thus, given an N^{ℓ} -coin machine A, we have the N^{α} -coin machine $B_k(x, r) = A\left(x, G^{f_{\sqrt{n},d,p}^k}(r)\right)$.

We now prove our main Theorem 3.4 using this simulation and the above lemma.

Proof of Theorem 3.4. We proceed by contradiction. Assume that the weak k-OV_n conjecture holds for all but finitely many input lengths, where $\epsilon_0 = 1/2 + \gamma$ for some constant $\gamma > 0$, but that there exists $\mathcal{L} \in \mathsf{BPP}$, a polynomially samplable distribution μ , constant α , and an inverse polynomial function $\delta(N)$ such that any polynomial-time randomness-reduced algorithm with coin bound N^{α} fails in deciding \mathcal{L} on average over μ within $\delta(N)$ error for infinitely many input lengths N.

Since $\mathcal{L} \in \mathsf{BPP}$ there is a randomized algorithm A deciding \mathcal{L} with probability of error at most 1/10 over its randomness yet, since *any* polynomial-time randomness-reduced algorithm fails to decide \mathcal{L} on average, B_k , the randomness-reduced simulation of A described above, fails on average infinitely often, for *any* constant k. Thus, the antecedents of Lemma 3.8 are satisfied and we can uniformly print $D \in \mathsf{DIS}(G^{f_{\sqrt{n},d,p}}, 1/5)$ in time $n^{c_1} \mathsf{TIME}(G^{f_{\sqrt{n},d,p}}) = n^{c_1} n^{c_2} n^{k/2}$.

This uniform printing of D allows us to apply Theorem 3.3 to count k-OV_n in time $O(n^{k/2+c_3} + n^{k/2+c_1+c_2}) = O(n^{k/2+c}) = O(n^{(\frac{1}{2}+\frac{c}{k})k})$ for any k, where $c = \max\{c_1 + c_2, c_3\}$. Setting k such that $\frac{c}{k} < \gamma$ yields our contradictions: on the infinitely many input lengths where B_k fails to derandomize \mathcal{L} , the algorithm counts k-OV faster than $n^{\epsilon_0 k}$ time.

3.2.2 Fast Heuristics for BPP Over the Uniform Distribution

Here we present our second flavor of derandomization: a fully deterministic heuristic for BPP when inputs are sampled according to the uniform distribution.

Theorem 3.9. If the weak k-OV conjecture holds almost everywhere, then

$$(\mathsf{BPP},\mathcal{U})\subseteq\mathrm{HeurP}$$

This strictly improves previous uniform derandomizations over the uniform distribution. Specifically, [GW02] can be seen to achieve our derandomization identically from a worst-case uniform assumption if combined with techniques from [KvMS12] except only on infinitely many input lengths.

We proceed by showing that if a PRG fails to give a good heuristic for BPP over the uniform distribution, a seed-aware distinguisher for the PRG can be produced uniformly and efficiently, which can then be used to count k-OV solutions quickly using Theorem 3.3.

Definition 3.10 (Input-As-Seed Heuristics). Let $A : \{0,1\}^N \times \{0,1\}^{N^{\ell}} \to \{0,1\}$ be a polynomialtime randomized algorithm using N^{ℓ} random bits. Let $G : \{0,1\}^N \to \{0,1\}^{N^{\ell}}$ be a deterministic function. Define the heuristic $B : \{0,1\}^N \to \{0,1\}$ that uses its input as G's seed as B(x) = A(x, G(x)). Now recall the Main Lemma of [KvMS12] giving the consequences of failed heuristics in the *non-uniform* setting:

Lemma 3.11 (Main Lemma of [KvMS12]). Let $A : \{0,1\}^N \times \{0,1\}^{N^{\ell}} \to \{0,1\}$ and $\mathcal{L} : \{0,1\}^N \to \{0,1\}$ be functions such that

$$\Pr_{x \sim \mathcal{U}_N, r \sim \mathcal{U}_N \ell} \left[A(x, r) \neq \mathcal{L}(x) \right] \le \rho$$

Let B be the seed-as-input heuristic for A using function G. Then, if B does **not** succeed on a $(3\rho + \epsilon)$ fraction of the inputs of a given length, there **exists** an $r' \in \{0,1\}^{N^{\ell}}$ such that the test $T_{r'}(x,r) = A(x,r) \oplus A(x,r')$ is in $\mathsf{DIS}(G,\epsilon)$.

The proof of the above lemma uses *non-uniformity* to obtain a good r' for distinguishing, but we can instead *uniformly* obtain good strings r' via a sample-and-test procedure. There is some loss in the accuracy of the resulting simulation, but this can be made an arbitrarily small inverse polynomial via standard error reduction.

Intuitively, if B is a bad heuristic for \mathcal{L} , then we could use B(x) = A(x, G(x)) as a seedaware distinguisher for G by comparing B(x) to $\mathcal{L}(x)$. Unfortunately we cannot afford to print distinguishers with \mathcal{L} -oracles. But since we are guaranteed that A is a good heuristic for \mathcal{L} , we can obtain a deterministic circuit close to \mathcal{L} from A, by fixing a string of good random bits r'. If we compare B(x) and the fixed-coin algorithm A(x, r'), they will also tend to disagree, giving the necessary distinguishing gap. We can find and fix a good r' uniformly by showing that they are dense and then sampling and testing for goodness. Formally:

Lemma 3.12 (Failed Heuristics to Distinguishers). Let A, \mathcal{L} , G, and B be as in Lemma 3.11 above. Then, if B does **not** succeed on a $(5\rho + \epsilon)$ fraction of the inputs of a given length, the map $1^N \mapsto \mathsf{DIS}(G, \epsilon)$ is uniform and in randomized polynomial time, for infinitely many N.

Proof. By the assumption that A succeeds on a ρ fraction of input-coin pairs, we know that many fixings of the coins of A produce an algorithm close to \mathcal{L} . We can obtain in polynomial time and with high probability a string $r' \in \{0,1\}^{N^{\ell}}$ such that

$$\Pr_{x \sim \mathcal{U}_N}[A(x, r') \neq \mathcal{L}(x)] \le 2\rho$$

by repeatedly sampling and testing such fixings of A's coins. This is the first of several "constructive averaging arguments" used in this proof. As above, define the tests,

$$T_{r'}(x,r) = A(x,r) \oplus A(x,r')$$

which output '1' when, for input x, A on fixed coins r' disagrees with A run on input coins r. To output a distinguisher, we simply find an appropriate string r' and then print the circuit $T_{r'}$. This only takes polynomial time. To show that with high probability $T_{r'} \in \mathsf{DIS}(G, \epsilon)$, consider two cases.

- 1. T(x, G(x)): We have by definition of B = A(x, G(x)) and the assumption that B is not a good heuristic that A(x, G(x)) will tend to disagree with \mathcal{L} . So A(x, G(x)) will also tend to disagree with A(x, r'). Thus, the test will be biased towards printing 1 when run with generator output.
- 2. $T(x, \mathcal{U}_{N^{\ell}})$: The algorithm $A(x, \mathcal{U}_{N^{\ell}})$ will tend to agree with A(x, r') by our constructive averaging above. So the test will tend to output 0 when run with true random bits.

These cases correspond to the first and second terms of the distinguishing gap equation (Definition 2.10), which we substitute the test into below:

$$\Pr_{x \sim \mathcal{U}_N} \left[A(x, G(x)) \neq A(x, r') \right] - \Pr_{x \sim \mathcal{U}_N, R \sim \mathcal{U}_N^\ell} \left[A(x, r) \neq A(x, r') \right]$$

An upper bound on term 2 is straightforward by union bound and Fréchet inequality: it is at most 3ρ . For term 1, observe that we have these bounds on the relative Hamming distance from A(x, G(x)) to \mathcal{L} , and from A(x, r') to \mathcal{L} :

$$\begin{aligned} &d(A(x,G(x)), \ \mathcal{L}) \geq 5\rho + \epsilon & \text{by assumption on B} \\ &d(A(x,r'), \ \mathcal{L}) \leq 2\rho & \text{by constructive averaging} \end{aligned}$$

So, by triangle inequality, we obtain a lower bound of $3\rho + \epsilon$ on the first term of the distinguisher equation. Therefore, if our constructive averaging succeeds in finding a good r', we have $T_{r'} \in$ $\mathsf{DIS}(G, \epsilon)$. The time to print $T_{r'}$ is just the polynomial time to find a good r' by repeatedly sampling and running A to test, plus the time to print A as a circuit. Thus the *size* of the distinguisher printed is only $\tilde{O}(\mathsf{TIME}(A))$ — it does not depend on the runtime of the constructive averaging argument.

Fully Deterministic Heuristics from k-OV. Here we specify a family of heuristics B_k , by specifying the generator G, that stretches a seed of length N to N^{ℓ} , as the generators $G^{f_{\sqrt{n},d,p}^k}$ of Lemma 2.11. These map \sqrt{n}^s bits to \sqrt{n}^b bits, for some fixed s and any b we choose, using $f_{\sqrt{n},d,p}^k$, for $d = \log^2 n$ and p the smallest prime number larger than n^k . Set $b = s\ell$ and $\sqrt{n} = N^{1/s}$. All comments about the runtime of the randomness-reduced heuristic in Section 3.2.1 also apply to this fully deterministic heuristic. Thus, given an N^{ℓ} -coin machine A, we have the deterministic machine $B_k(x) = A\left(x, G^{f_{\sqrt{n},d,p}^k}(x)\right)$.

We now prove our main Theorem 3.9 using this simulation and the above lemma.

Proof of Theorem 3.9. We proceed by contradiction. Assume that the weak k-OV_n conjecture holds for all but finitely many input lengths, where $\epsilon_0 = 1/2 + \gamma$ for some constant $\gamma > 0$, but that there exists $\mathcal{L} \in \mathsf{BPP}$ and an inverse polynomial function $\delta(N)$ such that any polynomial-time deterministic algorithm fails in deciding \mathcal{L} on average over μ within $\delta(N)$ error for infinitely many input lengths N.

Namely, since $\mathcal{L} \in \mathsf{BPP}$ there is a randomized algorithm A deciding \mathcal{L} with probability of failing over its coins at most $\rho(N)$ for any inverse polynomial (by standard error reduction), yet it must be the case that our B_k simulation of A described above fails on average infinitely often as well, for any constant k. Thus, choose inverse polynomial $\epsilon(N)$ and $\rho(N)$ such that $5\rho + \epsilon \leq \delta(N)$ and this failure satisfies the preconditions of Lemma 3.12 and thus we can uniformly print $D \in \mathsf{DIS}(G^{f_{\sqrt{n},d,p}^k}, \epsilon)$ in time $n^{k/2+c_1}$.

Again this allows us to apply Theorem 3.3, which counts k-OV in time $O(n^{k/2+c_2} + n^{k/2+c_1}) = O(n^{(\frac{1}{2} + \frac{c}{k})k})$ for any k, where $c = \max\{c_1, c_2\}$. Setting k such that $\frac{c}{k} < \gamma$ yields our contradiction: on the infinitely many input lengths where B fails to derandomize \mathcal{L} , the algorithm counts k-OV_n faster than $n^{\epsilon_0 k}$ time.

4 **Open Questions**

- We derandomize under hardness conjectures about two of four 'key' problems in fine-grained complexity: k-OV and k-CLIQUE. What about k-SUM and APSP? APSP doesn't seem to have a natural hierarchy and so doesn't fit our framework (although it does reduce to ZERO-TRIANGLE which generalizes to ZERO-k-CLIQUE and should easily work in our framework using polynomials similar to those in [BRSV17]). k-SUM however is actually computable in $O(n^{\lceil k/2 \rceil})$ time and so our downward self-reducibility techniques are not fast enough to break this conjecture in the contrapositive. The clearest path we see to getting derandomization without reintroducing the io- qualifier is to find a polynomial for k-SUM that is also computable in $\tilde{O}(n^{\lceil k/2 \rceil})$ time (unlike the one found in [BRSV17]).
- Our derandomizations hold under (randomized) SETH, since SETH implies the k-OV conjecture. Can a better derandomization be obtained directly from SETH, the stronger assumption? A stumbling block here is the random self-reduction, an ingredient in all known uniform derandomization techniques: If t-SAT has a straightforward and efficient random-self-reduction, PH collapses [FF93, BT06b]. So derandomizing from SETH directly could require new ideas, or a strange random self-reduction. An *inefficient* random self-reduction for t-SAT shouldn't collapse PH except to say that t-SAT has a mildly exponential MA proof which is already known to be true [Wil16], although most random self-reductions we know are through arithmetization which seems to always have 'low' degree to the point that such a polynomial would still collapse PH.
- Is a strong "derandomization to hardness" converse possible for these heuristic simulations of BPP? In appendix B, we show a weak converse: our simulation is impossible without separting DTIME[$n^{\omega(1)}$] from BPP. But this is a very different statement from the k-OV or k-CLIQUE conjectures. In [KvMS12], they show that herusitic simulations of BPP with inverse-subexponential error rates imply circuit lower bounds, by generalizing techniques of [KI04]. Do the efficient inverse-polynomial error heuristics we obtain imply any circuit lower bounds?

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A A Polynomial For *k*-CLIQUE

We now introduce a polynomial for k-CLIQUE that will, under the weak k-CLIQUE conjecture, achieve the same results as k-OV does. We will also discuss how our results hold under an even weaker version of the k-CLIQUE conjecture. This polynomial is independently found in [GR18]. We first define the k-partite k-CLIQUE problem.

Definition A.1 (k-partite k-CLIQUE problem). For an integer $k \ge 3$, the k-CLIQUE_n problem is to, given k graphs on n nodes such that all the edges are only between the graphs, decide if there is a k-CLIQUE among them. The input is given as $\binom{k}{2}$ biadjacency matrices between the k graphs.

The k-partite k-CLIQUE problem is equivalent to the common k-CLIQUE problem on one graph (i.e. they reduce to each other in $O(n^2)$ time). We now introduce a polynomial that can count k-CLIQUE's.

For a given k, consider the family of polynomials $\left\{g_{n,p}^k : (\mathbb{F}_p^{n \times n})^{\binom{k}{2}} \to \mathbb{F}_p\right\}_{n,p \in \mathbb{N}}$. Overloading notation, let $\binom{k}{2} = \{(i,j) : 1 \le i < j \le k\}$. Then,

$$g_{n,p}^{k}(A^{(1,2)}, A^{(1,3)}, \dots, A^{(k-1,k)}) = \sum_{v_1, \dots, v_k \in [n]} \prod_{(i,j) \in \binom{k}{2}} A_{v_i, v_j}^{(i,j)}$$

Note that boolean input corresponds to biadjacency matrices that comprise a k-partite k-CLIQUE instance and that the polynomial counts the number of k-CLIQUE's so long as p is prime larger than n^k . Now, instead of following the technique of [BRSV17] to find such a prime in time $\tilde{O}(n^{k/2+c})$ for any c > 0, we can use the randomness in our contrapositive derandomization arguments to

choose many random primes so that an evaluation of the polynomial over each prime will be able reconstruct the count via the Chinese Remainder Theorem. Since choosing random primes is much faster than finding the single large prime, this along with following remark will allow us to make a weaker k-CLIQUE conjecture.

Remark A.2. $g_{n,p}^k$ is guaranteed to be $n^{\omega k/3-o(1)}$ hard under k-CLIQUE's hardness for k a multiple of three but a naïve evaluation takes $\tilde{O}(n^k)$ time. However, interpreting each matrix of field elements as the biadjacency matrix of a **weighted** graph, the polynomial can be evaluated by the methods of [NP85] as shown in [Lin18]. This faster evaluation solves an open problem of [BRSV17] of finding a polynomial whose computability is **tight** to its hardness for k-CLIQUE. Importantly, this will speed up the oracle calls in our downward self-reduction in our derandomization arugments, allowing for a weaker k-CLIQUE conjecture.

We now show that $g_{n,p}^k$ is random self-reducible and downward self-reducible as needed in our results. Random self-reducibility is automatic as with $f_{n,d,p}^k$ from Lemma 2.7 (note that our degree is the constant $\binom{k}{2}$ and so adds negligibly to the random self-reduction time), and we will show $g_{n,p}^k$ reduces to $g_{n^{\delta},p}^k$ similarly to $f_{n,d,p}^k$ (we choose δ different than 1/2 since we can now evaluate the polynomial quick enough to make weaker conjectures). Namely, we will show the following lemma.

Lemma A.3. If there exists an algorithm A that, on input 1^n , outputs a circuit C computing $g_{n^{\delta},p}^k$, then there exists an algorithm that computes $g_{n,p}^k$ in time $O(n^{(1-\delta)k}|C| + \mathsf{TIME}(A))$, for any $\delta > 0$.

Proof. Using A, we print a circuit C computing $g_{n^{\delta},p}^{k}$ in time TIME(A). To solve an instance $A^{(i,j)}$, $(i,j) \in \binom{k}{2}$, of $g_{n,p}^{k}$, we break up its input as follows.

Let $P = \{\{(j-1)n^{\delta} + 1, (j-1)n^{\delta} + 2, \dots, (j-1)n^{\delta} + n^{\delta}\} : j \in n^{1-\delta}\}$ be a partitioning of [n] into $n^{1-\delta}$ sets of size n^{δ} each. Then we can see $g_{n,p}^k$ breaks into sub-summands as follows.

$$g_{n,p}^{k}(A^{(1,2)}, A^{(1,3)}, \dots, A^{(k-1,k)}) = \sum_{P_1,\dots,P_k \in P} \left(\sum_{v_1 \in P_1,\dots,v_k \in P_k} \prod_{(i,j) \in \binom{k}{2}} A_{v_i,v_j}^{(i,j)} \right)$$
(1)

We claim the inner sum can be computed by $g_{n^{\delta},p}^{k}$ if given the right inputs. Namely, lets say we have $P_1, \ldots, P_k \in P$. Now we can make new matrices $B^{(i,j)} \in \mathbb{F}_p^{n^{\delta} \times n^{\delta}}$, $(i,j) \in \binom{k}{2}$ as new input for $g_{n^{\delta},p}^{k}$ for C to solve for us:

To create $B^{(i,j)}$ we consider P_i and P_j and fill $B^{(i,j)}$'s entries in as $A^{(i,j)}$ restricted to the submatrix on row indices P_i and column indices P_j . By inspection, these $B^{(i,j)}$ passed as input to $g_{n^{\delta},n}^k$ will yield the inner summand for P_1, \ldots, P_k .

 $g_{n^{\delta},p}^{k}$ will yield the inner summand for P_{1}, \ldots, P_{k} . Thus, feeding these inputs to C for all P_{1}, \ldots, P_{k} and summing the results that C gives will give the evaluation of $g_{n,p}^{k}$ on $A^{(i,j)}, (i,j) \in {k \choose 2}$. This takes $n^{(1-\delta)k}$ calls to C.

Remark A.4. Since constructing circuit C (from broken derandomization) for the above lemma takes time $\widetilde{O}(n^{\delta\omega k/3+c_2})$ time by using the fast/tight evaluation of $g_{n\delta,p}^k$ from Remark A.2, then evaluating $g_{n,p}^k$ using the lemma will take $\widetilde{O}(n^{(1-\delta)k+c_1} + n^{\delta\omega k/3+c_2})$ time in total, for constants c_1 and c_2 . Setting $\delta = 3/(\omega + 3)$ is optimal and yields an $\widetilde{O}(n^{\frac{\omega}{\omega+3}k+c})$ algorithm for k-CLIQUE for some constant c. Thus the k-CLIQUE conjecture can be made with $\epsilon_0 > \omega/(\omega + 3)$ instead of $\epsilon > 1/2$.

B Heuristics Imply Separations

We now show that, if a deterministic simulation of BPP succeeds infinitely-often and on average, then BPP doesn't contain any deterministic time class larger than P. Compare this to Corollary 7 of [IW01].

Theorem B.1. If $(\mathsf{BPP}, \mathcal{U}) \subseteq \mathsf{io-Heur}_{1/3}\mathsf{P}$ then, for all $t(n) = n^{\omega(1)}$ time-constructible:

$$\mathsf{DTIME}[t(n)] \nsubseteq \mathsf{BPP}$$

Intuitively, if we were able to get good enough derandomization to say that $\mathsf{BPP} \subseteq \mathsf{P}$, then we would know that $\mathsf{DTIME}[n^{\omega(1)}] \not\subseteq \mathsf{BPP}$ by the time-hierarchy theorem. Thus, proving a timehierarchy theorem that is robust to the io- and Heur qualifiers suffices to concluding $\mathsf{DTIME}[n^{\omega(1)}] \not\subseteq$ BPP from a ($\mathsf{BPP}, \mathcal{U}$) \subseteq io-HeurP derandomization. We expand ideas from [IW01] to prove the following sufficient lemma for simplicity.

Lemma B.2 (Robust Time Hierarchy Theorem). For all time-constructible t(n):

io-Heur_{1/3}DTIME[t(n)] \subset DTIME[$t(n)^3$]

Proof. We give a deterministic machine M that runs in time $t(n)^3$ but whose language it decides is not also in io-Heur_{1/3}DTIME[t(n)]:

Let $\ell(n) = \log t(n)$. On input x = u || v, where u is the first $n - \ell(n)$ bits and v is the last $\ell(n)$ bits, M simulates all Turing machines of description length $.5\ell(n)$ on every n-bit input that begins with u for t(n) steps. This creates $2^{.5\ell(n)} = \sqrt{t(n)}$ strings of length t(n) which are the truth tables of each $.5\ell(n)$ -size Turing machines that runs in t(n) steps on all of the $2^{\ell(n)} = t(n)$ inputs that begin with u.

It is easy to see with Chebyshev that a random string of length t(n) agrees with any fixed t(n)-length string on at least 2/3 of its values only with probability 1/O(t(n)). Since there are only $\sqrt{t(n)} < O(t(n))$ strings that are our truth tables though, by union bound there must exist a t(n)-length string that disagrees with *all* of our truth tables on at least 1/3 of each of their values. Of course this string might have high complexity to generate but, since our analysis here only involved a Chebyshev bound, a pairwise independent hash family will fool this analysis and have the same conclusion.

Namely, considering a random string from the pairwise independent hash family $\mathcal{H} = \{\langle r, \cdot \rangle : r \in \{0, 1\}^{\ell(n)}\}$ is sufficient for the analysis and so we have that there must exist a specific $\langle r_u, \cdot \rangle$ that disagrees with all of the truth tables on at least 1/3 of their values. Thus, since \mathcal{H} is relatively small and its functions are easy to compute, M can find that r_u by brute force and outputs its final binary value as $\langle r_u, v \rangle$.

Thus, this whole process of M on x = u || v of simulating $\sqrt{t(n)}$ Turing Machines on t(n) inputs for t(n) time and checking all t(n) r's for the one that fools all of the truth tables adequately enough takes at most $t(n)^3$ time for large enough n. However, by construction, all time t(n) Turing machines fail in deciding this language on at least 1/3 of its inputs for all sufficiently large n.

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