

## Notes for Lecture 16

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### Summary

Today we finish the analysis of a construction of a pseudorandom permutation (block cipher) given a pseudorandom function.

### 1 The Luby-Rackoff Construction

Recall that if  $F : \{0, 1\}^m \rightarrow \{0, 1\}^m$  is a function, then we define the *Feistel permutation*  $D_F : \{0, 1\}^{2m} \rightarrow \{0, 1\}^{2m}$  associated with  $F$  as

$$D_F(x, y) := y, x \oplus F(y) \tag{1}$$

Let  $F : \{0, 1\}^k \times \{0, 1\}^m \rightarrow \{0, 1\}^m$  be a pseudorandom function, we define the following function  $P : \{0, 1\}^{4k} \times \{0, 1\}^{2m} \rightarrow \{0, 1\}^{2m}$ : given a key  $\bar{K}(K_1, \dots, K_4)$  and an input  $x$ ,

$$P_{\bar{K}}(x) := D_{F_{K_4}}(D_{F_{K_3}}(D_{F_{K_2}}(D_{F_{K_1}}(x)))) \tag{2}$$

If  $\bar{F} = F_1, F_2, F_3, F_4$  are four functions, then  $P_{\bar{F}}$  is the same as the above construction but using the functions  $F_i$ :

$$P_{\bar{F}}(x) := D_{F_4}(D_{F_3}(D_{F_2}(D_{F_1}(x)))) \tag{3}$$

If  $A$  is an oracle algorithm, we define as  $S(A)$  the probabilistic process in which we run a simulation of  $A$  in which we reply to each query with a random answer.

### 2 Today's Proof

The proof of the following result is what was missing from yesterday's analysis.

**Lemma 1** For every non-repeating algorithm  $A$  of complexity  $\leq t$  we have

$$\begin{aligned} & \left| \mathbb{P}_{\overline{F}}[A^{P_{\overline{R}}, P_{\overline{R}}^{-1}}() = 1] - \mathbb{P}[S(A) = 1] \right| \\ & \leq \frac{t^2}{2 \cdot 2^{2m}} + \frac{t^2}{2^m} \end{aligned}$$

PROOF: The transcript of  $A$ 's computation consists of all the oracle queries made by  $A$ . The notation  $(x, y, 0)$  represents a query to the  $\pi$  oracle at point  $x$  while  $(x, y, 1)$  is a query made to the  $\pi^{-1}$  oracle at  $y$ . The set  $T$  consists of all valid transcripts for computations where the output of  $A$  is 1 while  $T' \subset T$  consists of transcripts in  $T$  consistent with  $\pi$  being a permutation.

We write the difference in the probability of  $A$  outputting 1 when given oracles  $(P_{\overline{R}}, P_{\overline{R}}^{-1})$  and when given a random oracle as in  $S(A)$  as a sum over transcripts in  $T$ .

$$\begin{aligned} & \left| \mathbb{P}_{\overline{F}}[A^{P_{\overline{R}}, P_{\overline{R}}^{-1}}() = 1] - \mathbb{P}[S(A) = 1] \right| \\ & = \left| \sum_{\tau \in T} \left( \mathbb{P}_{\overline{F}}[A^{P_{\overline{R}}, P_{\overline{R}}^{-1}}() \leftarrow \tau] - \mathbb{P}[S(A) \leftarrow \tau] \right) \right| \end{aligned} \quad (4)$$

We split the sum over  $T$  into a sum over  $T'$  and  $T \setminus T'$  and bound both the terms individually. We first handle the simpler case of the sum over  $T \setminus T'$ .

$$\begin{aligned} & \left| \sum_{\tau \in T \setminus T'} \left( \mathbb{P}_{\overline{F}}[A^{P_{\overline{R}}, P_{\overline{R}}^{-1}}() \leftarrow \tau] - \mathbb{P}[S(A) \leftarrow \tau] \right) \right| \\ & = \left| \sum_{\tau \in T \setminus T'} (\mathbb{P}[S(A) \leftarrow \tau]) \right| \\ & \leq \frac{t^2}{2 \cdot 2^{2m}} \end{aligned} \quad (5)$$

The first equality holds as a transcript obtained by running  $A$  using the oracle  $(P_{\overline{R}}, P_{\overline{R}}^{-1})$  is always consistent with a permutation. The transcript generated by querying an oracle is inconsistent with a permutation iff. points  $x, y$  with  $f(x) = f(y)$  are queried.  $S(A)$  makes at most  $t$  queries to an oracle that answers every query with an independently chosen random string from  $\{0, 1\}^{2m}$ . The probability of having a repetition is at most  $(\sum_{i=1}^{t-1} i)/2^{2m} \leq t^2/2^{2m+1}$ .

Bounding the sum over transcripts in  $T'$  will require looking into the workings of the construction. Fix a transcript  $\tau \in T'$  given by  $(x_i, y_i, b_i), 1 \leq i \leq q$ , with the number of queries  $q \leq t$ . Each  $x_i$  can be written as  $(L_i^0, R_i^0)$  for strings  $L_i^0, R_i^0$  of length  $m$  corresponding to the left and right parts of  $x_i$ . The string  $x_i$  goes through 4 iterations of  $D$  using the function  $F_k, 1 \leq k \leq 4$  for the  $k$ th iteration. The output of the construction after iteration  $k, 0 \leq k \leq 4$  for input  $x_i$  is denoted by  $(L_i^k, R_i^k)$ .

Functions  $F_1, F_4$  are said to be good for the transcript  $\tau$  if the multisets  $\{R_1^1, R_2^1, \dots, R_q^1\}$  and  $\{L_1^3, L_2^3, \dots, L_q^3\}$  do not contain any repetitions. We bound the probability of  $F_1$  being bad for  $\tau$  by analyzing what happens when  $R_i^1 = R_j^1$  for some  $i, j$ :

$$\begin{aligned} R_i^1 &= L_i^0 \oplus F_1(R_i^0) \\ R_j^1 &= L_j^0 \oplus F_1(R_j^0) \end{aligned}$$

$$0 = L_i^0 \oplus L_j^0 \oplus F_1(R_i^0) \oplus F_1(R_j^0) \quad (6)$$

The algorithm  $A$  does not repeat queries so we have  $(L_i^0, R_i^0) \neq (L_j^0, R_j^0)$ . We observe that  $R_i^0 \neq R_j^0$  as equality together with equation (6) above would yield  $x_i = x_j$ . This shows that equation (6) holds only if  $F_1(R_j^0) = s \oplus F_1(R_i^0)$ , for a fixed  $s$  and distinct strings  $R_i^0$  and  $R_j^0$ . This happens with probability  $1/2^m$  as the function  $F_1$  takes values from  $\{0, 1\}^m$  independently and uniformly at random. Applying the union bound for all pairs  $i, j$ ,

$$Pr_{F_1}[\exists i, j \in [q], R_i^1 = R_j^1] \leq \frac{t^2}{2^{m+1}} \quad (7)$$

We use a similar argument to bound the probability of  $F_4$  being bad. If  $L_i^3 = L_j^3$  for some  $i, j$  we would have:

$$\begin{aligned} L_i^3 &= R_i^4 \oplus F_4(L_i^4) \\ L_j^3 &= R_j^4 \oplus F_4(L_j^4) \end{aligned}$$

$$0 = R_i^4 \oplus R_j^4 \oplus F_4(L_i^4) \oplus F_4(L_j^4) \quad (8)$$

The algorithm  $A$  does not repeat queries so we have  $(L_i^4, R_i^4) \neq (L_j^4, R_j^4)$ . We observe that  $L_i^4 \neq L_j^4$  as equality together with equation (8) above would yield  $y_i = y_j$ . This shows that equation (8) holds only if  $F_4(L_j^4) = s' \oplus F_4(L_i^4)$ , for a fixed string  $s'$  and distinct strings  $L_i^4$  and  $L_j^4$ . This happens with probability  $1/2^m$  as the function  $F_4$  takes values from  $\{0, 1\}^m$  independently and uniformly at random. Applying the union bound for all pairs  $i, j$ ,

$$Pr_{F_4}[\exists i, j \in [q], L_i^3 = L_j^3] \leq \frac{t^2}{2^{m+1}} \quad (9)$$

Equations (7) and (9) together imply that

$$Pr_{F_1, F_4}[F_1, F_4 \text{ not good for transcript } \tau] \leq \frac{t^2}{2^m} \quad (10)$$

Continuing the analysis, we fix good functions  $F_1, F_4$  and the transcript  $\tau$ . We will show that the probability of obtaining  $\tau$  as a transcript in this case is the same as the

probability of obtaining  $\tau$  for a run of  $S(A)$ . Let  $\tau = (x_i, y_i, b_i), 1 \leq i \leq q \leq t$ . We calculate the probability of obtaining  $y_i$  on query  $x_i$  over the choice of  $F_2$  and  $F_3$ .

The values of the input  $x_i$  are in bijection with pairs  $(L_i^1, R_i^1)$  while the values of the output  $y_i$  are in bijection with pairs  $(L_i^3, R_i^3)$ , after fixing  $F_1$  and  $F_4$ . We have the relations (from (1)(3)):

$$\begin{aligned} L_i^3 &= R_i^2 = L_i^1 \oplus F_2(R_i^1) \\ R_i^3 &= L_i^2 \oplus F_3(R_i^2) = R_i^1 \oplus F_3(L_i^3) \end{aligned}$$

These relations imply that  $(x_i, y_i)$  can be an input output pair if and only if we have  $F_2(R_i^1), F_3(L_i^3) = (L_i^3 \oplus L_i^1, R_i^3 \oplus R_i^1)$ . Since  $F_2$  and  $F_3$  are random functions with range  $\{0, 1\}^m$ , the pair  $(x_i, y_i)$  occurs with probability  $2^{-2m}$ . The values  $R_i^1$  and  $L_i^3, (i \in [q])$  are distinct because the functions  $F_1$  and  $F_4$  are good. This makes the occurrence of  $(x_i, y_i)$  independent from the occurrence of  $(x_j, y_j)$  for  $i \neq j$ . We conclude that the probability of obtaining the transcript  $\tau$  equals  $2^{-2mq}$ .

The probability of obtaining transcript  $\tau$  equals  $2^{-2mq}$  in the simulation  $S(A)$  as every query is answered by an independent random number from  $\{0, 1\}^{2m}$ . Hence,

$$\begin{aligned} & \left| \sum_{\tau \in T'} \left( \mathbb{P}_{\overline{F}} [A^{\overline{P_R}, \overline{P_R}^{-1}}() \leftarrow \tau] - \mathbb{P}[S(A) \leftarrow \tau] \right) \right| \\ & \leq \left| \sum_{\tau \in T'} \mathbb{P}_{F_2, F_3} \left[ A^{\overline{P_R}, \overline{P_R}^{-1}}() \leftarrow \tau \mid F_1, F_4 \text{ not good for } \tau \right] \right| \tag{11} \\ & \leq \frac{t^2}{2^m} \left| \sum_{\tau \in T'} \mathbb{P}_{F_2, F_3} [A^{\overline{P_R}, \overline{P_R}^{-1}}() \leftarrow \tau] \right| \\ & \leq \frac{t^2}{2^m} \end{aligned}$$

The statement of the lemma follows by adding equations (5) and (11) and using the triangle inequality.  $\square$

This concludes the analysis of the Luby-Rackoff scheme for constructing pseudorandom permutations from a family of pseudorandom functions.