

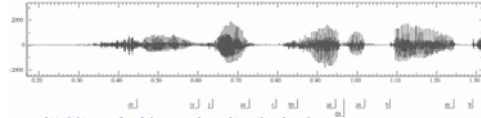
# Statistical NLP Spring 2007



## Lecture 9: Acoustic Models

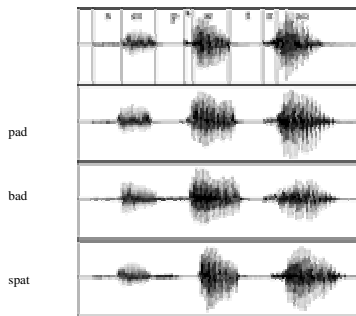
Dan Klein – UC Berkeley

### She just had a baby

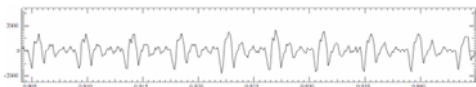


- Vowels are voiced, long, loud
- Length in time = length in space in waveform picture
- Voicing: regular peaks in amplitude
- When stops closed: no peaks: silence.
- Peaks = voicing: .46 to .58 (vowel [iy], from second .65 to .74 (vowel [ax]) and so on
- Silence of stop closure (1.06 to 1.08 for first [b], or 1.26 to 1.28 for second [b])
- Fricatives like [sh] intense irregular pattern; see .33 to .46

### Examples from Ladefoged



### Part of [ae] waveform from "had"



- Note complex wave repeating nine times in figure
- Plus smaller waves which repeats 4 times for every large pattern
- Large wave has frequency of 250 Hz (9 times in .036 seconds)
- Small wave roughly 4 times this, or roughly 1000 Hz
- Two little tiny waves on top of peak of 1000 Hz waves

### Back to Spectra

- Spectrum represents these freq components
- Computed by Fourier transform, algorithm which separates out each frequency component of wave.

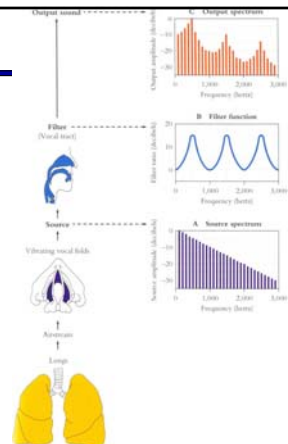


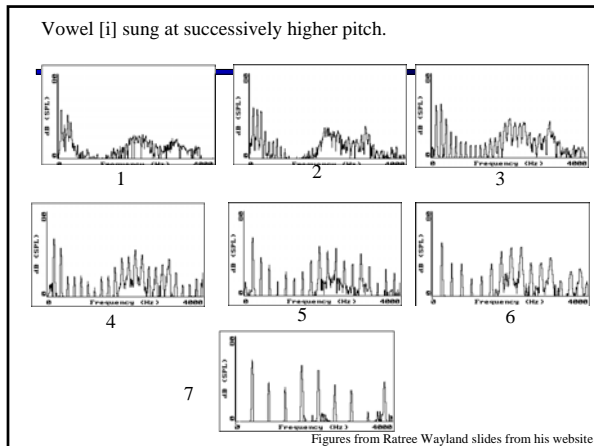
- x-axis shows frequency, y-axis shows magnitude (in decibels, a log measure of amplitude)
- Peaks at 930 Hz, 1860 Hz, and 3020 Hz.

### Why these Peaks?

#### Articulatory facts:

- The vocal cord vibrations create harmonics
- The mouth is an amplifier
- Depending on shape of mouth, some harmonics are amplified more than others



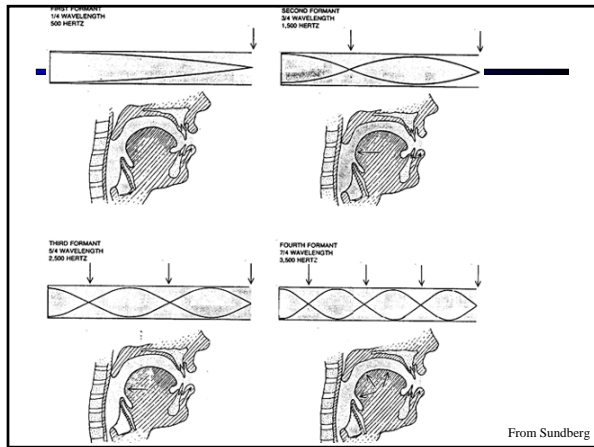


### Resonances of the vocal tract

- The human vocal tract as an open tube

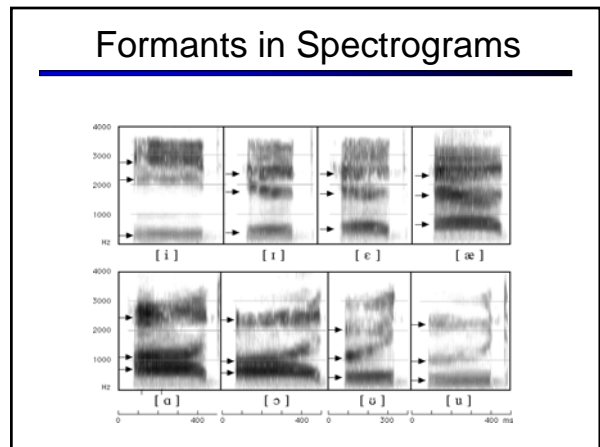
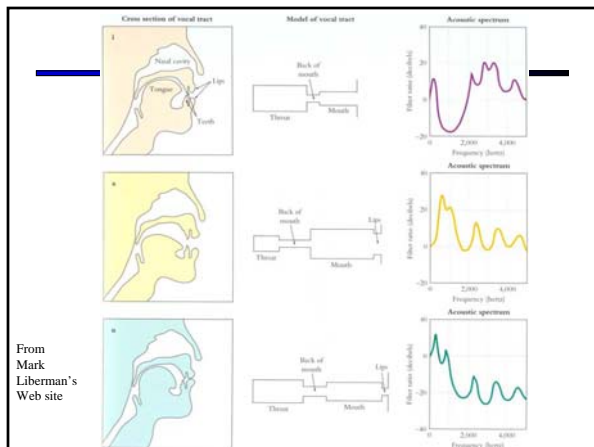
- Air in a tube of a given length will tend to vibrate at resonance frequency of tube.
- Constraint: Pressure differential should be maximal at (closed) glottal end and minimal at (open) lip end.

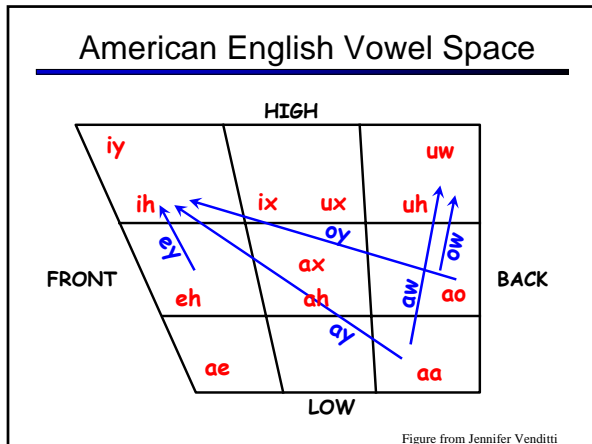
Figure from W. Barry Speech Science slides



### Computing the 3 Formants of Schwa

- Let the length of the tube be  $L$ 
  - $F_1 = c/\lambda_1 = c/(4L) = 35,000/4 \cdot 17.5 = 500\text{Hz}$
  - $F_2 = c/\lambda_2 = c/(4/3L) = 3c/4L = 3 \cdot 35,000/4 \cdot 17.5 = 1500\text{Hz}$
  - $F_3 = c/\lambda_3 = c/(4/5L) = 5c/4L = 5 \cdot 35,000/4 \cdot 17.5 = 2500\text{Hz}$
- So we expect a neutral vowel to have 3 resonances at 500, 1500, and 2500 Hz
- These vowel resonances are called **formants**





### Dialect Issues

- Speech varies from dialect to dialect (examples are American vs. British English)
  - Syntactic ("I could" vs. "I could do")
  - Lexical ("elevator" vs. "lift")
  - Phonological (butter: [ʊ] vs. [ʊ]) vs. [ʊ])
  - Phonetic
- Mismatch between training and testing dialects can cause a large increase in error rate

### Stops in Spectrograms

- bab:** closure of lips lowers all formants: so rapid increase in all formants at beginning of "bab"
- dad:** first formant increases, but F2 and F3 slight fall
- gag:** F2 and F3 come together: this is a characteristic of velars. Formant transitions take longer in velars than in alveolars or labials

From Ladefoged "A Course in Phonetics"

### She came back and started again

From Ladefoged "A Course in Phonetics"

### The Noisy Channel Model

- Search through space of all possible sentences.
- Pick the one that is most probable given the waveform.

### Speech Recognition Architecture

## Digitizing Speech

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Thanks to Bryan Pelloni for this slide!

## Frame Extraction

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- A frame (25 ms wide) extracted every 10 ms

Figure from Simon Amfield

## Mel Freq. Cepstral Coefficients

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- Do FFT to get spectral information
  - Like the spectrogram/spectrum we saw earlier
- Apply Mel scaling
  - Linear below 1kHz, log above, equal samples above and below 1kHz
  - Models human ear; more sensitivity in lower freqs
- Plus Discrete Cosine Transformation

## Final Feature Vector

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- 39 (real) features per 10 ms frame:
  - 12 MFCC features
  - 12 Delta MFCC features
  - 12 Delta ~~Delta~~ MFCC features
  - 1 (log) frame energy
  - 1 Delta (log) frame energy
  - 1 Delta ~~Delta~~ (log) frame energy
- So each frame is represented by a 39D vector

## HMMs for Continuous Observations?

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- Before: discrete, finite set of observations
- Now: spectral feature vectors are real valued!
- Solution 1: discretization
- Solution 2: continuous emissions models
  - Gaussians
  - Multivariate Gaussians
  - Mixtures of Multivariate Gaussians
- A state is progressively:
  - Context independent subphone (~3 per phone)
  - Context dependent phone (=triphones)
  - State-tying of CD phone

## Vector Quantization

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- Idea: discretization
  - Map MFCC vectors onto discrete symbols
  - Compute probabilities just by counting
- This is called Vector Quantization or VQ
- Not used for ASR any more; too simple
- Useful to consider as a starting point

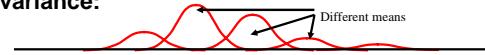
## Gaussian Emissions

- VQ is insufficient for real ASR
- Instead: Assume the possible values of the observation vectors are normally distributed.
- Represent the observation likelihood function as a Gaussian with mean  $\mu_j$  and variance  $\sigma_j^2$

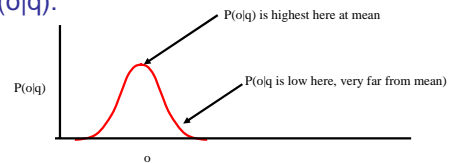
$$f(x | \mu, \sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)$$

## Gaussians for Acoustic Modeling

A Gaussian is parameterized by a mean and a variance:



- $P(o|q)$ :



## Multivariate Gaussians

- Instead of a single mean  $\mu$  and variance  $\sigma$ :

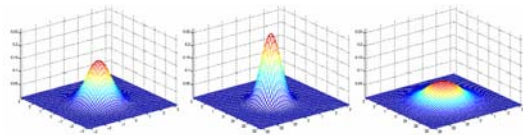
$$f(x | \mu, \sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)$$

- Vector of means  $\mu$  and covariance matrix  $\Sigma$

$$f(x | \mu, \Sigma) = \frac{1}{(2\pi)^{n/2} |\Sigma|^{1/2}} \exp\left(-\frac{1}{2}(x-\mu)^T \Sigma^{-1}(x-\mu)\right)$$

- Usually assume diagonal covariance
  - This isn't very true for FFT features, but is fine for MFCC features

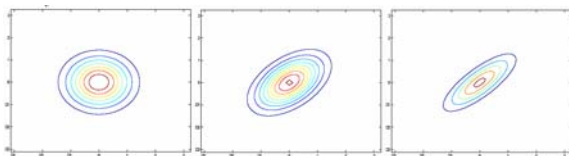
## Gaussian Intuitions: Size of $\Sigma$



- $\mu = [0 \ 0]$        $\mu = [0 \ 0]$        $\mu = [0 \ 0]$
- $\Sigma = I$              $\Sigma = 0.6I$          $\Sigma = 2I$
- As  $\Sigma$  becomes larger, Gaussian becomes more spread out; as  $\Sigma$  becomes smaller, Gaussian more compressed

Text and figures from Andrew Ng's lecture notes for CS229

## Gaussians: Off-Diagonal

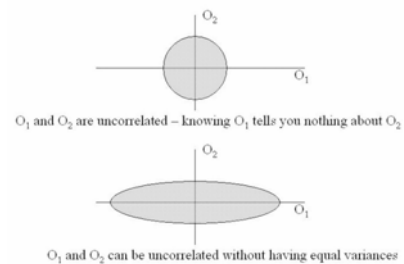


$$\Sigma = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}; \quad \Sigma = \begin{bmatrix} 1 & 0.5 \\ 0.5 & 1 \end{bmatrix}; \quad \Sigma = \begin{bmatrix} 1 & 0.8 \\ 0.8 & 1 \end{bmatrix}$$

- As we increase the off-diagonal entries, more correlation between value of  $x$  and value of  $y$

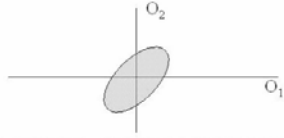
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## In two dimensions



From Chen, Picheny et al lecture slides

## In two dimensions

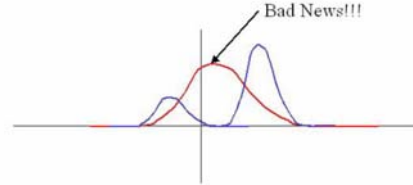


$O_1$  and  $O_2$  are correlated – knowing  $O_1$  tells you something about  $O_2$

From Chen, Picheny et al lecture slides

## But we're not there yet

- Single Gaussian may do a bad job of modeling distribution in any dimension:



- Solution: Mixtures of Gaussians

Figure from Chen, Picheny et al slides

## Mixtures of Gaussians

- M mixtures of Gaussians:

$$f(x | \mu_{jk}, \Sigma_{jk}) = \sum_{k=1}^M c_{jk} N(x, \mu_{jk}, \Sigma_{jk})$$

$$b_j(o_t) = \sum_{k=1}^M c_{jk} N(o_t, \mu_{jk}, \Sigma_{jk})$$

- For diagonal covariance:

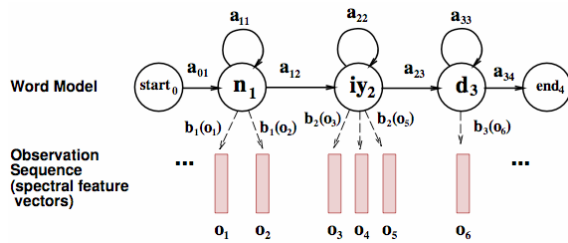
$$b_j(o_t) = \sum_{k=1}^M \frac{c_{jk}}{2\pi^{D/2} \prod_{d=1}^D \sigma_{jkd}^2} \exp\left(-\frac{1}{2} \sum_{d=1}^D \frac{(x_{jkd} - \mu_{jkd})^2}{\sigma_{jkd}^2}\right)$$

## GMMs

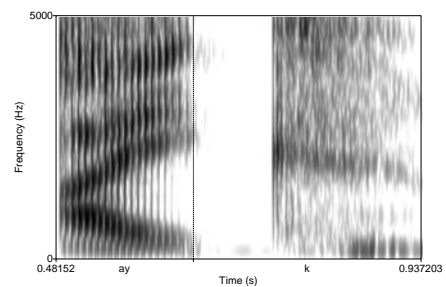
- Summary: each state has a likelihood function parameterized by:

- M mixture weights
- M mean vectors of dimensionality D
- Either
  - M covariance matrices of DxD
- Or often
  - M diagonal covariance matrices of DxD which is equivalent to
  - M variance vectors of dimensionality D

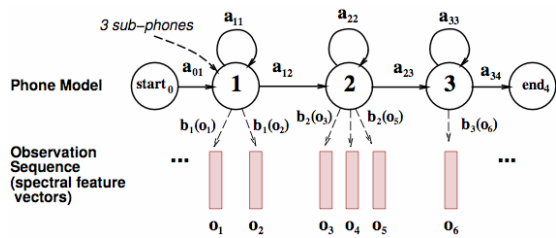
## HMMs for Speech



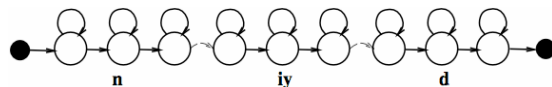
## Phones Aren't Homogeneous



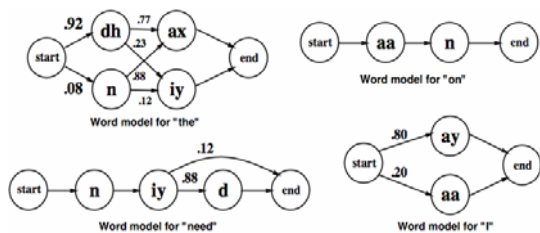
## Need to Use Subphones



## A Word with Subphones



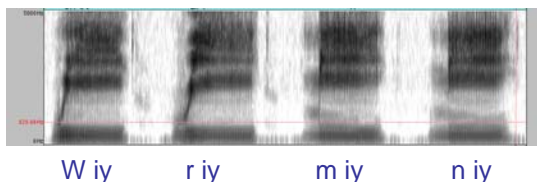
## ASR Lexicon: Markov Models



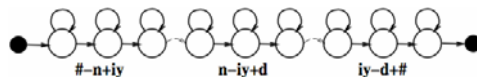
## Training Mixture Models

- Forced Alignment
  - Computing the "Viterbi path" over the training data is called "forced alignment"
  - We know which word string to assign to each observation sequence.
  - We just don't know the state sequence.
  - So we constrain the path to go through the correct words
  - And otherwise do normal Viterbi
- Result: state sequence!

## Modeling phonetic context



## "Need" with triphone models

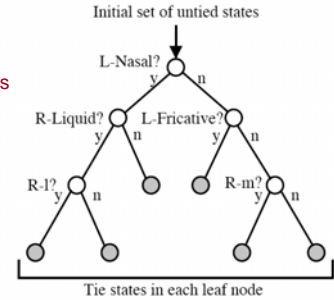


## Implications of Cross-Word Triphones

- Possible triphones:  $50 \times 50 \times 50 = 125,000$
- How many triphone types actually occur?
- 20K word WSJ Task (from Bryan Pellom)
  - Word-internal models: need 14,300 triphones
  - Cross-word models: need 54,400 triphones
  - But in training data only 22,800 triphones occur!
- Need to generalize models.

## State Tying / Clustering

- [Young, Odell, Woodland 1994]
- How do we decide which triphones to cluster together?
- Use **phonetic features** (or 'broad phonetic classes')



## State Tying

- **Creating CD phones:**
  - Start with monophone, do EM training
  - Clone Gaussians into triphones
  - Build decision tree and cluster Gaussians
  - Clone and train mixtures (GMMs)

