

# Statistical NLP

## Spring 2009

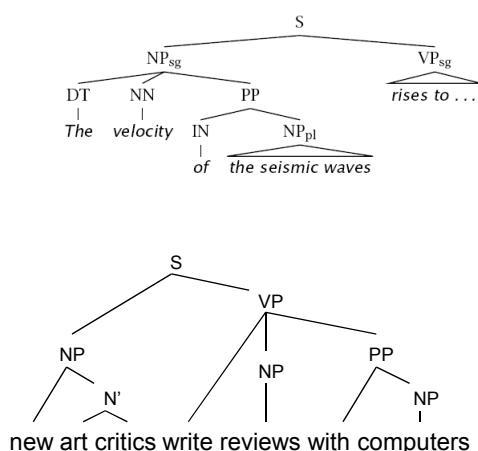


### Lecture 13: Parsing I

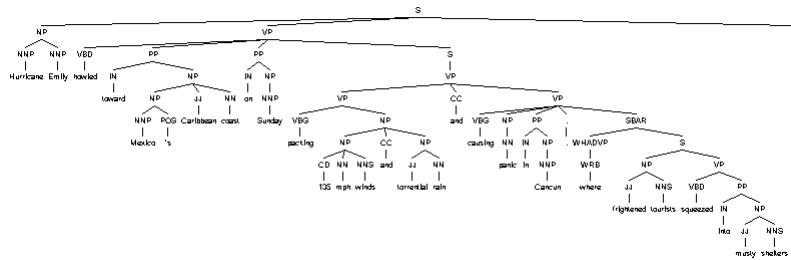
Dan Klein – UC Berkeley

## Phrase Structure Parsing

- Phrase structure parsing organizes syntax into *constituents* or *brackets*
- In general, this involves nested trees
- Linguists can, and do, argue about details
- Lots of ambiguity
- Not the only kind of syntax...



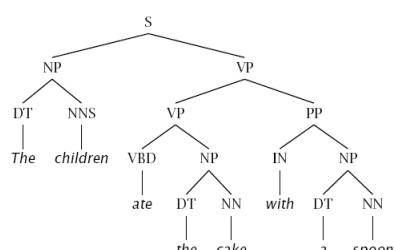
## Example Parse



Hurricane Emily howled toward Mexico 's Caribbean coast on Sunday packing 135 mph winds and torrential rain and causing panic in Cancun , where frightened tourists squeezed into musty shelters .

## Constituency Tests

- How do we know what nodes go in the tree?
- Classic constituency tests:
  - Substitution by *proform*
  - Question answers
  - Semantic grounds
    - Coherence
    - Reference
    - Idioms
  - Dislocation
  - Conjunction
- Cross-linguistic arguments, too



# Conflicting Tests

- Constituency isn't always clear

- Units of transfer:

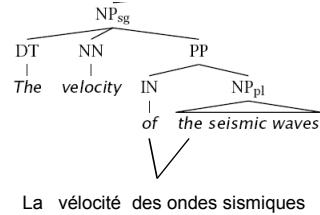
- think about ~ penser à
    - talk about ~ hablar de

- Phonological reduction:

- I will go → I'll go
    - I want to go → I wanna go
    - a le centre → au centre

- Coordination

- He went to and came from the store.



# Non-Local Phenomena

- Dislocation / gapping

- Why did the postman think that the neighbors were home?
  - A debate arose which continued until the election.

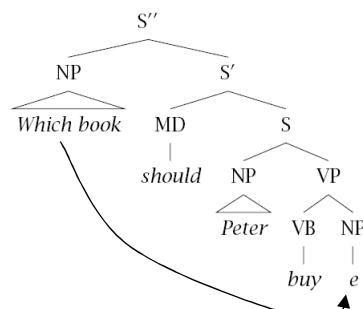
- Binding

- Reference

- The IRS audits itself

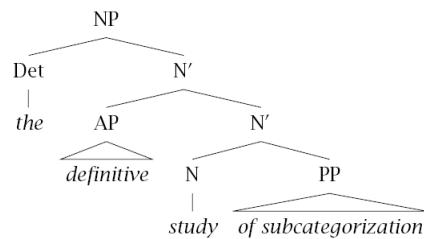
- Control

- I want to go
    - I want you to go

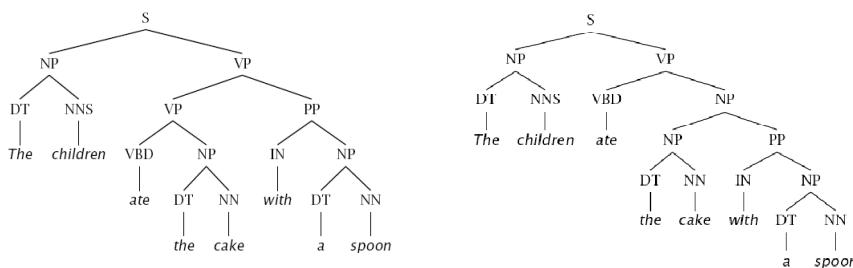


## Regularity of Rules

- Argumentation
- Adjunction
- Coordination
- X' Theory



## PP Attachment



The board approved [its acquisition] [by Royal Trustco Ltd.]  
[of Toronto]  
[for \$27 a share]  
[at its monthly meeting].

## PP Attachment

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V	N1	P	N2	Attachment
<hr/>				
join	board	as	director	V
is	chairman	of	N.V.	N
using	crocidolite	in	filters	V
bring	attention	to	problem	V
is	asbestos	in	products	N
making	paper	for	filters	N
including	three	with	cancer	N

Method	Accuracy
Always noun attachment	59.0
Most likely for each preposition	72.2
Average Human (4 head words only)	88.2
Average Human (whole sentence)	93.2

## Attachments

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- I cleaned the dishes from dinner
- I cleaned the dishes with detergent
- I cleaned the dishes in my pajamas
- I cleaned the dishes in the sink

## Syntactic Ambiguities I

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- Prepositional phrases:  
*They cooked the beans in the pot on the stove with handles.*
- Particle vs. preposition:  
*The puppy tore up the staircase.*
- Complement structures  
*The tourists objected to the guide that they couldn't hear.*  
*She knows you like the back of her hand.*
- Gerund vs. participial adjective  
*Visiting relatives can be boring.*  
*Changing schedules frequently confused passengers.*

## Syntactic Ambiguities II

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- Modifier scope within NPs  
*impractical design requirements*  
*plastic cup holder*
- Multiple gap constructions  
*The chicken is ready to eat.*  
*The contractors are rich enough to sue.*
- Coordination scope:  
*Small rats and mice can squeeze into holes or cracks in the wall.*

# Human Processing

- Garden pathing:

the man who hunts ducks out on weekends

the cotton shirts are made from grows in Mississippi

the daughter of the king's son loves himself

- Ambiguity maintenance

Have the police ... eaten their supper?  
come in and look around.  
taken out and shot.

# Classical NLP: Parsing

- Write symbolic or logical rules:

Grammar (CFG)

ROOT → S	NP → NP PP
S → NP VP	VP → VBP NP
NP → DT NN	VP → VBP NP PP
NP → NN NNS	PP → IN NP

Lexicon

NN → interest
NNS → raises
VBP → interest
VBZ → raises
...

- Use deduction systems to prove parses from words

- Minimal grammar on “Fed raises” sentence: 36 parses
- Simple 10-rule grammar: 592 parses
- Real-size grammar: many millions of parses

- This scaled very badly, didn’t yield broad-coverage tools

## Probabilistic Context-Free Grammars

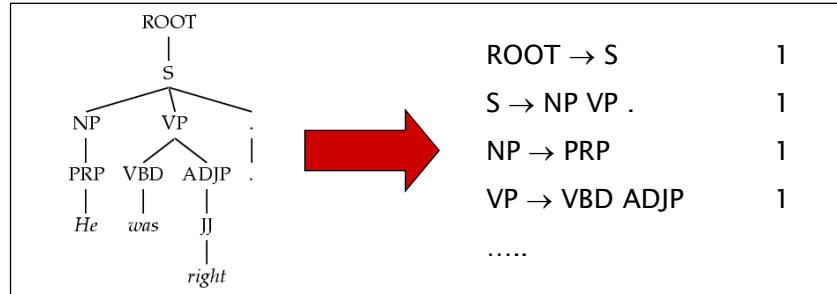
- A context-free grammar is a tuple  $\langle N, T, S, R \rangle$ 
  - $N$  : the set of non-terminals
    - Phrasal categories: S, NP, VP, ADJP, etc.
    - Parts-of-speech (pre-terminals): NN, JJ, DT, VB
  - $T$  : the set of terminals (the words)
  - $S$  : the start symbol
    - Often written as ROOT or TOP
    - Not usually the sentence non-terminal S
  - $R$  : the set of rules
    - Of the form  $X \rightarrow Y_1 Y_2 \dots Y_k$ , with  $X, Y_i \in N$
    - Examples:  $S \rightarrow NP\ VP$ ,  $VP \rightarrow VP\ CC\ VP$
    - Also called rewrites, productions, or local trees
- A PCFG adds:
  - A top-down production probability per rule  $P(Y_1 Y_2 \dots Y_k | X)$

## Treebank Sentences

```
( (S (NP-SBJ The move)
    (VP followed
        (NP (NP a round)
            (PP of
                (NP (NP similar increases)
                    (PP by
                        (NP other lenders))
                    (PP against
                        (NP Arizona real estate loans))))))
    ,
    (S-ADV (NP-SBJ *))
        (VP reflecting
            (NP (NP a continuing decline)
                (PP-LOC in
                    (NP that market))))))
.))
```

## Treebank Grammars

- Need a PCFG for broad coverage parsing.
- Can take a grammar right off the trees (doesn't work well):

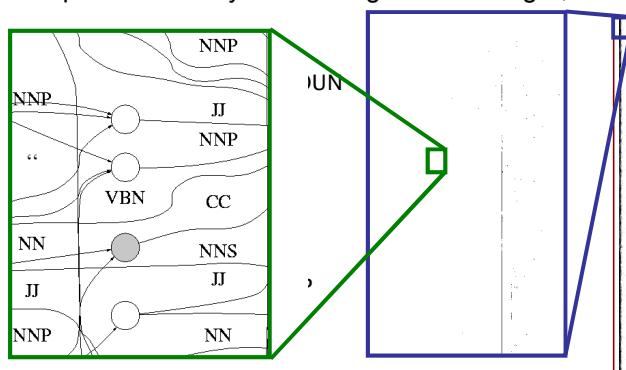


- Better results by enriching the grammar (e.g., lexicalization).
- Can also get reasonable parsers without lexicalization.

## Treebank Grammar Scale

- Treebank grammars can be enormous
  - As FSAs, the raw grammar has ~10K states, excluding the lexicon
  - Better parsers usually make the grammars larger, not smaller

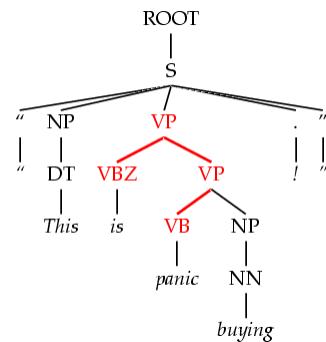
NP



# Dark Ambiguities

- *Dark ambiguities*: most analyses are shockingly bad (meaning, they don't have an interpretation you can get your mind around)

This analysis corresponds to the correct parse of  
“*This will panic buyers !*”

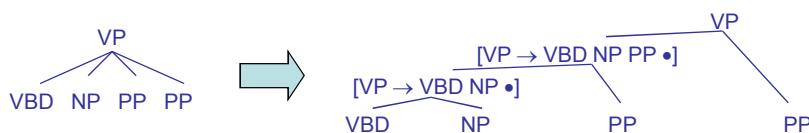


- Unknown words and new usages
- Solution: We need mechanisms to focus attention on the best ones, probabilistic techniques do this

# Chomsky Normal Form

- Chomsky normal form:

- All rules of the form  $X \rightarrow Y Z$  or  $X \rightarrow w$
- In principle, this is no limitation on the space of (P)CFGs
  - N-ary rules introduce new non-terminals



- Unaries / empties are “promoted”
- In practice it's kind of a pain:
  - Reconstructing n-aries is easy
  - Reconstructing unaries is trickier
  - The straightforward transformations don't preserve tree scores
- Makes parsing algorithms simpler!

## A Recursive Parser

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- Here's a recursive (CNF) parser:

```
bestParse(X,i,j,s)
if (j = i+1)
    return X -> s[i]
(X->YZ,k) = argmax score(X->YZ) *
              bestScore(Y,i,k,s) *
              bestScore(Z,k,j,s)
parse.parent = X
parse.leftChild = bestParse(Y,i,k,s)
parse.rightChild = bestParse(Z,k,j,s)
return parse
```

## A Recursive Parser

---

```
bestScore(X,i,j,s)
if (j = i+1)
    return tagScore(X,s[i])
else
    return max score(X->YZ) *
              bestScore(Y,i,k) *
              bestScore(Z,k,j)
```

- Will this parser work?
- Why or why not?
- Memory requirements?

# A Memoized Parser

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- One small change:

```
bestScore(X,i,j,s)
    if (scores[X][i][j] == null)
        if (j = i+1)
            score = tagScore(X,s[i])
        else
            score = max score(X->YZ) *
                bestScore(Y,i,k) *
                bestScore(Z,k,j)
        scores[X][i][j] = score
    return scores[X][i][j]
```

## Memory: Theory

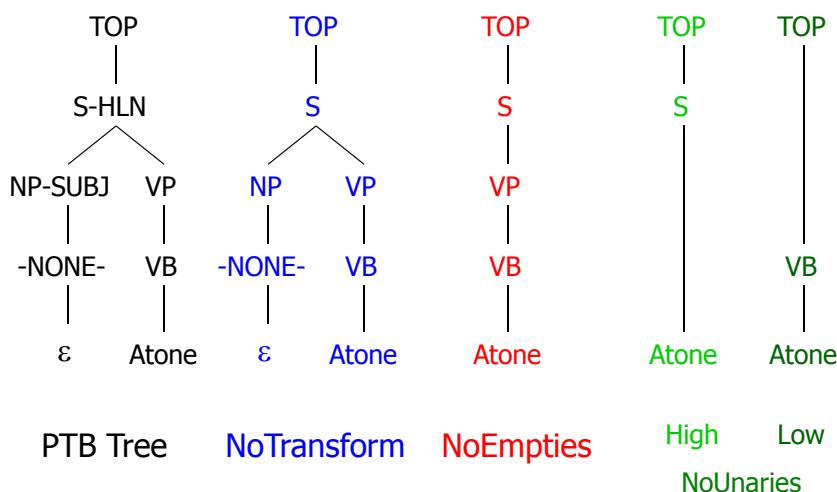
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- How much memory does this require?
  - Have to store the score cache
  - Cache size:  $|\text{symbols}| * n^2$  doubles
  - For the plain treebank grammar:
    - $X \sim 20K, n = 40, \text{double} \sim 8 \text{ bytes} = \sim 256\text{MB}$
    - Big, but workable.
- What about sparsity?

## Time: Theory

- How much time will it take to parse?
  - Have to fill each cache element (at worst)
  - Each time the cache fails, we have to:
    - Iterate over each rule  $X \rightarrow Y Z$  and split point k
    - Do constant work for the recursive calls
  - Total time:  $|rules| * n^3$
  - Cubic time
  - Something like 5 sec for an unoptimized parse of a 20-word sentences

## Unaries in Grammars



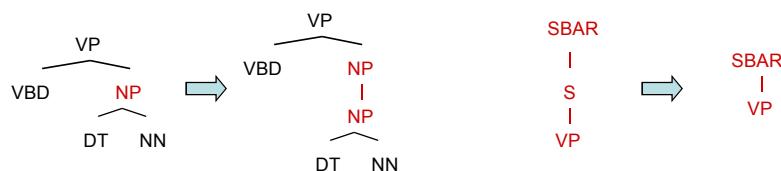
# Unary Rules

- Unary rules?

```
bestScore(X,i,j,s)
    if (j = i+1)
        return tagScore(X,s[i])
    else
        return max max score(X->YZ) *
                    bestScore(Y,i,k) *
                    bestScore(Z,k,j)
        max score(X->Y) *
                    bestScore(Y,i,j)
```

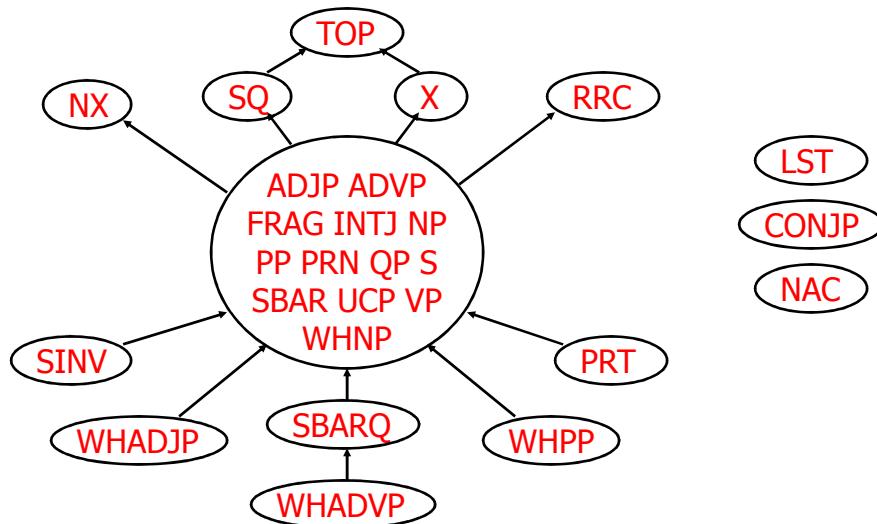
# CNF + Unary Closure

- We need unaries to be non-cyclic
  - Can address by pre-calculating the *unary closure*
  - Rather than having zero or more unaries, always have exactly one



- Alternate unary and binary layers
- Reconstruct unary chains afterwards

## Same-Span Reachability



## Alternating Layers

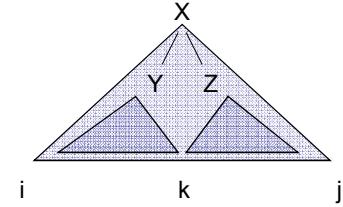
```
bestScoreB(X,i,j,s)
    return max max score(X->YZ) *
               bestScoreU(Y,i,k) *
               bestScoreU(Z,k,j)
```

```
bestScoreU(X,i,j,s)
    if (j = i+1)
        return tagScore(X,s[i])
    else
        return max max score(X->Y) *
                   bestScoreB(Y,i,j)
```

## A Bottom-Up Parser (CKY)

- Can also organize things bottom-up

```
bestScore(s)
    for (i : [0,n-1])
        for (X : tags[s[i]])
            score[X][i][i+1] =
                tagScore(X,s[i])
    for (diff : [2,n])
        for (i : [0,n-diff])
            j = i + diff
            for (X->YZ : rule)
                for (k : [i+1, j-1])
                    score[X][i][j] = max score[X][i][j],
                                         score(X->YZ) *
                                         score[Y][i][k] *
                                         score[Z][k][j]
```



## Efficient CKY

- Lots of tricks to make CKY efficient
  - Most of them are little engineering details:
    - E.g., first choose k, then enumerate through the  $Y:[i,k]$  which are non-zero, then loop through rules by left child.
    - Optimal layout of the dynamic program depends on grammar, input, even system details.
  - Another kind is more critical:
    - Many  $X:[i,j]$  can be suppressed on the basis of the input string
    - We'll see this next class as figures-of-merit or A\* heuristics

## Memory: Practice

- **Memory:**

- Still requires memory to hold the score table

- **Pruning:**

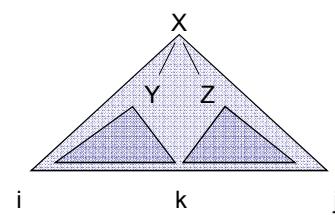
- $\text{score}[X][i][j]$  can get too large (when?)
  - can instead keep beams  $\text{scores}[i][j]$  which only record scores for the top K symbols found to date for the span  $[i,j]$

## Time: Theory

- How much time will it take to parse?

- For each diff ( $\leq n$ )

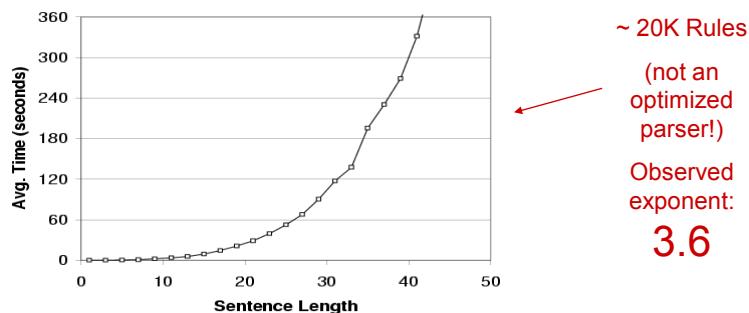
- For each  $i$  ( $\leq n$ )
    - For each rule  $X \rightarrow Y Z$
    - For each split point  $k$
    - Do constant work



- Total time:  $|\text{rules}| * n^3$

## Runtime: Practice

- Parsing with the vanilla treebank grammar:



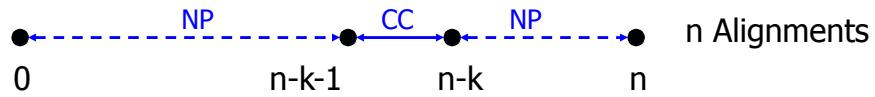
- Why's it worse in practice?
  - Longer sentences "unlock" more of the grammar
  - All kinds of systems issues don't scale

## Rule State Reachability

Example: NP CC •



Example: NP CC NP •



- Many states are more likely to match larger spans!