

# Beating the random assignment for constraint satisfaction problems of bounded degree

Boaz Barak Ankur Moitra

Massachusetts Institute of  
Technology Research  
New England Theory Group



Ryan O'Donnell

Carnegie Mellon University

Prasad Raghavendra

University of California,  
Berkeley

Oded Regev

New York University

David Steurer

Cornell University



Luca Trevisan

University of California, Berkeley



Aravindan Vijayaraghavan

Northwestern University



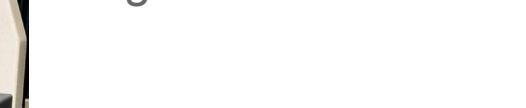
David Witmer

Carnegie Mellon University



John Wright

Carnegie Mellon University



# 3XOR

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

$$x_{61} x_{100} x_2 = 1$$

...

$$x_{47} x_{11} x_{98} = -1$$

$$x_8 x_2 x_1 = -1$$

# 3XOR

Q: How many equations can we satisfy?

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

$$x_{61} x_{100} x_2 = 1$$

...

$$x_{47} x_{11} x_{98} = -1$$

$$x_8 x_2 x_1 = -1$$

# 3XOR

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

$$x_{61} x_{100} x_2 = 1$$

...

$$x_{47} x_{11} x_{98} = -1$$

$$x_8 x_2 x_1 = -1$$

**Q:** How many equations can we satisfy?

**Random** satisfies  $\frac{1}{2}$  on average.

# 3XOR

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

$$x_{61} x_{100} x_2 = 1$$

...

$$x_{47} x_{11} x_{98} = -1$$

$$x_8 x_2 x_1 = -1$$

**Q:** How many equations can we satisfy?

**Random** satisfies  $\frac{1}{2}$  on average.

**Q:** Can we do better?

# 3XOR

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

$$x_{61} x_{100} x_2 = 1$$

...

$$x_{47} x_{11} x_{98} = -1$$

$$x_8 x_2 x_1 = -1$$

**Q:** How many equations can we satisfy?

**Random** satisfies  $\frac{1}{2}$  on average.

**Q:** Can we do better?

**[Håstad 97]:** **No**, not even when instance is  $(1-\varepsilon)$ -satisfiable.

# 3XOR

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

$$x_{61} x_{100} x_2 = 1$$

...

$$x_{47} x_{11} x_{98} = -1$$

$$x_8 x_2 x_1 = -1$$

**Q:** How many equations can we satisfy?

**Random** satisfies  $\frac{1}{2}$  on average.

**Q:** Can we do better?

**[Håstad 97]: No**, not even when instance is  $(1-\varepsilon)$ -satisfiable.

**The end.**

**There's more to the story...**

# There's more to the story...

[HV04]: Can satisfy  $\frac{1}{2} + \Omega(\mathbf{m}^{-1/2})$ -fraction if only  $\mathbf{m}$  equations.

# There's more to the story...

[HV04]: Can satisfy  $\frac{1}{2} + \Omega(\mathbf{m}^{-1/2})$ -fraction if only  $\mathbf{m}$  equations.

[KN08]: Can satisfy  $\frac{1}{2} + \Omega(\boldsymbol{\varepsilon} (\log(\mathbf{n})/\mathbf{n})^{1/2})$ -fraction if only  $\mathbf{n}$  variables if  $\text{OPT} = \frac{1}{2} + \boldsymbol{\varepsilon}$ .

# There's more to the story...

[HV04]: Can satisfy  $\frac{1}{2} + \Omega(m^{-1/2})$ -fraction if only **m** equations.

[KN08]: Can satisfy  $\frac{1}{2} + \Omega(\epsilon (\log(n)/n)^{1/2})$ -fraction if only **n** variables if  $OPT = \frac{1}{2} + \epsilon$ .

This work: What if instance is **degree bounded**, i.e. every variable appears in  $\leq D$  clauses?

**Degree bounded schmegree bounded....**

# Degree bounded schmegree bounded....

Degree-bounded instances exist **in the wild**

e.g.: PCP Theorem spits out **5**-bounded  
3Sat instances.

# Degree bounded schmegree bounded....

Degree-bounded instances exist **in the wild**

e.g.: PCP Theorem spits out **5**-bounded  
3Sat instances.

[Hås00]: Can approximate any degree  
bounded CSP to factor  **$\mu + O(1/D)$** .

# Degree bounded schmegree bounded....

Degree-bounded instances exist **in the wild**

e.g.: PCP Theorem spits out **5**-bounded  
3Sat instances.

[Hås00]: Can approximate any degree  
bounded CSP to factor  **$\mu + O(1/D)$** .

[Tre01]: For 3XOR, can't approximate better  
than  $\frac{1}{2} + O(D^{-1/2})$ .

# Our inspiration

[FG14] introduce **Quantum Approximate Optimization Algorithm**

# Our inspiration

[FG14] introduce **Quantum Approximate Optimization Algorithm**

[FGG15]: Given 3Lin instance, **QAOA** satisfies

# Our inspiration

[FG14] introduce **Quantum Approximate Optimization Algorithm**

[FGG15]: Given 3Lin instance, **QAOA** satisfies

- $\frac{1}{2} + \Omega(D^{-3/4})$ -fraction of eqn's if **D-degree bounded**

# Our inspiration

[FG14] introduce **Quantum Approximate Optimization Algorithm**

[FGG15]: Given 3Lin instance, **QAOA** satisfies

- $\frac{1}{2} + \Omega(D^{-3/4})$ -fraction of eqn's if **D-degree bounded**
- $\frac{1}{2} + \Omega(D^{-1/2})$ -fraction if also **triangle-free**

# Our inspiration

[FG14] introduce **Quantum Approximate Optimization Algorithm**

[FGG15]: Given 3Lin instance, **QAOA** satisfies

- $\frac{1}{2} + \Omega(D^{-3/4})$ -fraction of eqn's if **D-degree bounded**
- $\frac{1}{2} + \Omega(D^{-1/2})$ -fraction if also **triangle-free**

# Main theorem

Given a  $D$ -degree bounded  $k$ -Lin instance, can find (in poly-time) an assignment  $x$  such that

$$|\mathbf{val}(x) - \frac{1}{2}| \geq \Omega_k(D^{-1/2}).$$

# Main theorem

Given a  $D$ -degree bounded  $k$ -Lin instance, can find (in poly-time) an assignment  $x$  such that

$$|\mathbf{val}(x) - \frac{1}{2}| \geq \Omega_k(D^{-1/2}).$$

# Main theorem

Given a  $D$ -degree bounded  $k$ -Lin instance, can find (in poly-time) an assignment  $\mathbf{x}$  such that

$$|\mathbf{val}(\mathbf{x}) - \frac{1}{2}| \geq \Omega_k(D^{-1/2}).$$

If  $k$  is odd, then for  $\mathbf{x}$  or its negation  $-\mathbf{x}$ ,

$$\mathbf{val}(\pm\mathbf{x}) \geq \frac{1}{2} + \Omega_k(D^{-1/2}).$$

# Main theorem

Given a  $D$ -degree bounded  $k$ -Lin instance, can find (in poly-time) an assignment  $\mathbf{x}$  such that

$$|\mathbf{val}(\mathbf{x}) - \frac{1}{2}| \geq \Omega_k(D^{-1/2}).$$

If  $k$  is odd, then for  $\mathbf{x}$  or its negation  $-\mathbf{x}$ ,

$$\mathbf{val}(\pm\mathbf{x}) \geq \frac{1}{2} + \Omega_k(D^{-1/2}).$$

(and this is easily shown to be tight)

# **Somewhere in Sweden...**

Independently of us, **Johan Håstad** proved the same result.



# Somewhere in Sweden...

Independently of us, **Johan Håstad** proved the same result.



# After our work...

[FGG]: for 3Lin, the **QAOA** algo finds **x** s.t.

$$\mathbf{val}(\mathbf{x}) \geq \frac{1}{2} + \Omega(D^{-1/2} \log(D)^{-1}).$$

**Let's do the proof for  $k = 3$ .**

# Step 1: Decoupling

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

...

$$x_{47} x_{11} x_{98} = -1$$

# Step 1: Decoupling

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1 \quad (\text{transformation})$$

...

$$x_{47} x_{11} x_{98} = -1$$



# Step 1: Decoupling

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1$$

...

$$x_{47} x_{11} x_{98} = -1$$

(transformation)

$$y_6 z_4 z_{32} = 1$$

$$y_3 z_2 z_{34} = 1$$

...

$$y_{86} z_{71} z_{23} = -1$$

# Step 1: Decoupling

$$x_1 x_3 x_5 = 1$$

$$x_{10} x_{16} x_3 = -1 \quad (\text{transformation})$$

...

$$x_{47} x_{11} x_{98} = -1$$

$$y_6 z_4 z_{32} = 1$$

$$y_3 z_2 z_{34} = 1$$

...

$$y_{86} z_{71} z_{23} = -1$$

[KN08]: can assume WOLOG that instance is decoupled

# Step 2: The algorithm

$$y_6 z_4 z_{32} = 1$$

$$y_3 z_2 z_{34} = 1$$

...

$$y_{86} z_{71} z_{23} = -1$$

# Step 2: The algorithm

- 1.) Assign the  $z_i$ 's to be iid random bits.

$$y_6 z_4 z_{32} = 1$$

$$y_3 z_2 z_{34} = 1$$

...

$$y_{86} z_{71} z_{23} = -1$$

## Step 2: The algorithm

- 1.) Assign the  $\mathbf{z}_i$ 's to be iid random bits.
- 2.) Pick each  $\mathbf{y}_i$  to satisfy the maximum number of equations.

$$\mathbf{y}_6 \mathbf{z}_4 \mathbf{z}_{32} = 1$$

$$\mathbf{y}_3 \mathbf{z}_2 \mathbf{z}_{34} = 1$$

...

$$\mathbf{y}_{86} \mathbf{z}_{71} \mathbf{z}_{23} = -1$$

## Step 2: The algorithm

- 1.) Assign the  $z_i$ 's to be iid random bits.
- 2.) Pick each  $y_i$  to satisfy the maximum number of equations.

$$y_6(-1) = 1$$

$$y_3 z_2 z_{34} = 1$$

...

$$y_{86} z_{71} z_{23} = -1$$

## Step 2: The algorithm

- 1.) Assign the  $z_i$ 's to be iid random bits.
- 2.) Pick each  $y_i$  to satisfy the maximum number of equations.

$$y_6(-1) = 1$$

$$y_3(1) = 1$$

...

$$y_{86} z_{71} z_{23} = -1$$

## Step 2: The algorithm

- 1.) Assign the  $z_i$ 's to be iid random bits.
- 2.) Pick each  $y_i$  to satisfy the maximum number of equations.

$$y_6(-1) = 1$$

$$y_3(1) = 1$$

...

$$y_{86}(1) = -1$$

Given a set of **m** equations

$$y_{i1}z_{i2}z_{i3} = b_i$$

Given a set of **m** equations

$$y_{i1}z_{i2}z_{i3} = b_i$$

total # of satisfied equations is

$$\sum_i \left( \frac{1}{2} + \frac{1}{2} b_i y_{i1} z_{i2} z_{i3} \right)$$

Given a set of **m** equations

$$\mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} = b_i$$

total # of satisfied equations is

$$\begin{aligned} & \sum_i (\frac{1}{2} + \frac{1}{2} b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3}) \\ &= \mathbf{m}/2 + \frac{1}{2} \sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} \end{aligned}$$

Given a set of  $\mathbf{m}$  equations

$$\mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} = b_i$$

total # of satisfied equations is

$$\begin{aligned} & \sum_i (\frac{1}{2} + \frac{1}{2} b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3}) \\ &= \mathbf{m}/2 + \frac{1}{2} \sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} \end{aligned}$$

**Goal:** want this to be  $\mathbf{mD}^{-1/2}$  larger than  $\mathbf{m}/2$

Given a set of  $\mathbf{m}$  equations

$$\mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} = b_i$$

total # of satisfied equations is

$$\begin{aligned} & \sum_i (\frac{1}{2} + \frac{1}{2} b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3}) \\ &= \mathbf{m}/2 + \frac{1}{2} \sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} \end{aligned}$$

**Goal:** want this to be  $\mathbf{mD}^{-1/2}$  larger than  $\mathbf{m}/2$

$$\Rightarrow \text{want } \sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} \geq \mathbf{mD}^{-1/2}$$

Rewrite  $\sum_i b_i \textcolor{red}{y_{i1}} \textcolor{blue}{z_{i2}} z_{i3}$

Rewrite  $\sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

$G_j(\mathbf{z})$  is a quadratic with  $\deg(\mathbf{y}_j)$  many  $\pm 1$  terms

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

$G_j(\mathbf{z})$  is a quadratic with  $\deg(\mathbf{y}_j)$  many  $\pm 1$  terms

$\therefore \text{Var}(G_j(\mathbf{z})) = \deg(\mathbf{y}_j)$

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

$G_j(\mathbf{z})$  is a quadratic with  $\deg(\mathbf{y}_j)$  many  $\pm 1$  terms

$\therefore \text{Var}(G_j(\mathbf{z})) = \deg(\mathbf{y}_j)$

$\therefore E_{\mathbf{z}} |G_j(\mathbf{z})| \geq \deg(\mathbf{y}_j)^{1/2}$

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

$G_j(\mathbf{z})$  is a quadratic with  $\deg(\mathbf{y}_j)$  many  $\pm 1$  terms

$\therefore \text{Var}(G_j(\mathbf{z})) = \deg(\mathbf{y}_j)$

$\therefore E_{\mathbf{z}} |G_j(\mathbf{z})| \geq \deg(\mathbf{y}_j)^{1/2}$

$\therefore E_{\mathbf{z}} \sum_j |G_j(\mathbf{z})| \geq \sum_j \deg(\mathbf{y}_j)^{1/2}$

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

$G_j(\mathbf{z})$  is a quadratic with  $\deg(\mathbf{y}_j)$  many  $\pm 1$  terms

$\therefore \text{Var}(G_j(\mathbf{z})) = \deg(\mathbf{y}_j)$

$\therefore E_{\mathbf{z}} |G_j(\mathbf{z})| \geq \deg(\mathbf{y}_j)^{1/2}$

$\therefore E_{\mathbf{z}} \sum_j |G_j(\mathbf{z})| \geq \sum_j \deg(\mathbf{y}_j)^{1/2}$   
 $\geq \sum_j \deg(\mathbf{y}_j) / D^{1/2}$

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

$G_j(\mathbf{z})$  is a quadratic with  $\deg(\mathbf{y}_j)$  many  $\pm 1$  terms

$\therefore \text{Var}(G_j(\mathbf{z})) = \deg(\mathbf{y}_j)$

$\therefore E_{\mathbf{z}} |G_j(\mathbf{z})| \geq \deg(\mathbf{y}_j)^{1/2}$

$\therefore E_{\mathbf{z}} \sum_j |G_j(\mathbf{z})| \geq \sum_j \deg(\mathbf{y}_j)^{1/2}$   
 $\geq \sum_j \deg(\mathbf{y}_j) / D^{1/2} = m D^{-1/2}$

Rewrite  $\sum_i b_i \mathbf{y}_{i1} z_{i2} z_{i3} = \sum_j \mathbf{y}_j \cdot G_j(\mathbf{z})$

After picking  $\mathbf{z}$ 's, best  $\mathbf{y}_j = \text{sgn}(G_j(\mathbf{z}))$ .

$\therefore$  can always achieve  $\sum_j |G_j(\mathbf{z})|$ .

$G_j(\mathbf{z})$  is a quadratic with  $\deg(\mathbf{y}_j)$  many  $\pm 1$  terms

$\therefore \text{Var}(G_j(\mathbf{z})) = \deg(\mathbf{y}_j)$

$\therefore E_{\mathbf{z}} |G_j(\mathbf{z})| \geq \deg(\mathbf{y}_j)^{1/2}$

$\therefore E_{\mathbf{z}} \sum_j |G_j(\mathbf{z})| \geq \sum_j \deg(\mathbf{y}_j)^{1/2}$   
 $\geq \sum_j \deg(\mathbf{y}_j) / D^{1/2} = m D^{-1/2}$

□

# Generalizing to general **k**-XOR

Can generalize this approach.

# Generalizing to general **k**-XOR

Can generalize this approach.

**Or**

# Generalizing to general $k$ -XOR

Can generalize this approach.

**Or:** [DFKO07]: Given a low degree poly  $p(\mathbf{x})$  where all variables are low-influence, there exists an  $\mathbf{x}$  s.t.  $|p(\mathbf{x})|$  is large.

# Generalizing to general $k$ -XOR

Can generalize this approach.

**Or:** [DFKO07]: Given a low degree poly  $p(\mathbf{x})$  where all variables are low-influence, there exists an  $\mathbf{x}$  s.t.  $|p(\mathbf{x})|$  is large.

$$\sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3}$$

# Generalizing to general $k$ -XOR

Can generalize this approach.

**Or:** [DFKO07]: Given a low degree poly  $p(\mathbf{x})$  where all variables are low-influence, there exists an  $\mathbf{x}$  s.t.  $|p(\mathbf{x})|$  is large.

$$\sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} \quad - \text{ degree 3}$$

# Generalizing to general $k$ -XOR

Can generalize this approach.

**Or:** [DFKO07]: Given a low degree poly  $p(\mathbf{x})$  where all variables are low-influence, there exists an  $\mathbf{x}$  s.t.  $|p(\mathbf{x})|$  is large.

$$\sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3}$$

- degree 3
- all variables have **small** inf.

# Generalizing to general $k$ -XOR

Can generalize this approach.

**Or:** [DFKO07]: Given a low degree poly  $p(\mathbf{x})$  where all variables are low-influence, there **exists** an  $\mathbf{x}$  s.t.  $|p(\mathbf{x})|$  is large.

$$\sum_i b_i \mathbf{y}_{i1} \mathbf{z}_{i2} \mathbf{z}_{i3} \quad \begin{aligned} &\text{- degree 3} \\ &\text{- all variables have **small** inf.} \end{aligned}$$

# Generalizing to general $k$ -XOR

Can generalize this approach.

**Or:** [DFKO07]: Given a low degree poly  $p(\mathbf{x})$  where all variables are low-influence, there **exists** an  $\mathbf{x}$  s.t.  $|p(\mathbf{x})|$  is large.

(if you work out parameters, actually immediately yields existential version of our main result!)

# Algorithmic DFKO

**Thm:** Let  $g: \{-1, 1\}^n \rightarrow \mathbb{R}$  have degree  $k$  and variance  $1$ . Suppose  $\text{Inf}_i[g] \leq O_k(t^{-2})$  for all  $i$ . Then can find an  $x$  s.t.

$$|g(x)| \geq t$$

in poly-time.

# Algorithmic DFKO

**Thm:** Let  $g: \{-1, 1\}^n \rightarrow \mathbb{R}$  have degree  $k$  and variance  $1$ . Suppose  $\text{Inf}_i[g] \leq O_k(t^{-2})$  for all  $i$ . Then can find an  $x$  s.t.

$$|g(x)| \geq t$$

in poly-time.

**Pf:** Line-by-line [DFKO], note that it constructivizes.

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints.

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints. Define

$$g(\mathbf{x}) = \sum_i C_i(\mathbf{x}).$$

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints. Define

$$g(\mathbf{x}) = \sum_i C_i(\mathbf{x}).$$

- $g$  is **low degree** if  $C_i$ 's have low arity

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints. Define

$$g(\mathbf{x}) = \sum_i C_i(\mathbf{x}).$$

- $g$  is **low degree** if  $C_i$ 's have low arity
- when is  $g$  **low influence**?

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints. Define

$$g(\mathbf{x}) = \sum_i C_i(\mathbf{x}).$$

- $g$  is **low degree** if  $C_i$ 's have low arity
- when is  $g$  **low influence**?

$C_i$ 's are XORs:

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints. Define

$$g(\mathbf{x}) = \sum_i C_i(\mathbf{x}).$$

- $g$  is **low degree** if  $C_i$ 's have low arity
- when is  $g$  **low influence**?

$C_i$ 's are XORs: Fourier expansions don't overlap,  
so **influence** = **degree** of var.

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints. Define

$$g(\mathbf{x}) = \sum_i C_i(\mathbf{x}).$$

- $g$  is **low degree** if  $C_i$ 's have low arity
- when is  $g$  **low influence**?

$C_i$ 's are **not** XORs:

# Generalizing to all CSPs?

Suppose  $C_1, \dots, C_m$  are constraints. Define

$$g(\mathbf{x}) = \sum_i C_i(\mathbf{x}).$$

- $g$  is **low degree** if  $C_i$ 's have low arity
- when is  $g$  **low influence**?

$C_i$ 's are **not** XORs: Fourier expansions may overlap,  
so can get weird cancellation

# Counterexample

Maj(**x,y,z**)

Maj(**x,y,z**)

-**x**

-**y**

-**z**

XOR(**x,y,z**)

# Counterexample

$\text{Maj}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

$\text{Maj}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

- $\mathbf{x}$

- $\mathbf{y}$

- $\mathbf{z}$

- 3-degree bounded

$\text{XOR}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

# Counterexample

$\text{Maj}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

$\text{Maj}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

- $\mathbf{x}$

- 3-degree bounded
- random gets  $\frac{1}{2}$

- $\mathbf{y}$

- $\mathbf{z}$

$\text{XOR}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

# Counterexample

$\text{Maj}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

$\text{Maj}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

- $\mathbf{x}$

- 3-degree bounded
- random gets  $\frac{1}{2}$
- **every** assignments gets  $\frac{1}{2}$

- $\mathbf{y}$

- $\mathbf{z}$

$\text{XOR}(\mathbf{x}, \mathbf{y}, \mathbf{z})$

# Counterexample

$\text{Maj}(x,y,z)$

$$\text{Maj}(x,y,z) = \frac{1}{2} (x + y + z - xyz)$$

$\text{Maj}(x,y,z)$

- $x$

- 3-degree bounded

- random gets  $\frac{1}{2}$

- $y$

- **every** assignments gets  $\frac{1}{2}$

- $z$

$\text{XOR}(x,y,z)$

# Counterexample

Maj( $\mathbf{x}, \mathbf{y}, \mathbf{z}$ )

$$\text{Maj}(\mathbf{x}, \mathbf{y}, \mathbf{z}) = \frac{1}{2} (\mathbf{x} + \mathbf{y} + \mathbf{z} - \mathbf{xyz})$$

Maj( $\mathbf{x}, \mathbf{y}, \mathbf{z}$ )

- $\mathbf{x}$

- 3-degree bounded
- random gets  $\frac{1}{2}$
- **every** assignments gets  $\frac{1}{2}$
- so can't beat random

- $\mathbf{y}$

- $\mathbf{z}$

XOR( $\mathbf{x}, \mathbf{y}, \mathbf{z}$ )

# Generalizing to all CSPs?

**In summary:** no CSPs beyond XORs immediately comes to mind. So this is a theorem about XORs.

# What about that weird odd/even stuff?

Recall: for odd  $k$ ,  $\text{val}(x) \geq \frac{1}{2} + \Omega_k(D^{-1/2})$ .

For even  $k$ ,  $|\text{val}(x) - \frac{1}{2}| \geq \Omega_k(D^{-1/2})$ .

# What about that weird odd/even stuff?

Recall: for odd  $k$ ,  $\text{val}(x) \geq \frac{1}{2} + \Omega_k(D^{-1/2})$ .

For even  $k$ ,  $|\text{val}(x) - \frac{1}{2}| \geq \Omega_k(D^{-1/2})$ .

Max-Cut on  $n$ -vertex complete graph:

# What about that weird odd/even stuff?

Recall: for odd  $k$ ,  $\text{val}(x) \geq \frac{1}{2} + \Omega_k(D^{-1/2})$ .

For even  $k$ ,  $|\text{val}(x) - \frac{1}{2}| \geq \Omega_k(D^{-1/2})$ .

Max-Cut on  $n$ -vertex complete graph:

- $n$ -degree-bounded
- $\text{OPT} = \frac{1}{2} + O(1/n)$ .

# Triangle-free CSPs

Given a CSP instance, consider the graph:

- vertices  $x_i$  for every variable

# Triangle-free CSPs

Given a CSP instance, consider the graph:

- vertices  $x_i$  for every variable
- the edge  $(x_i, x_j)$  if  $x_i$  and  $x_j$  appear in a constraint together

# Triangle-free CSPs

Given a CSP instance, consider the graph:

- vertices  $x_i$  for every variable
- the edge  $(x_i, x_j)$  if  $x_i$  and  $x_j$  appear in a constraint together

**Def:** the instance is **triangle-free** if this graph is triangle-free

# Some triangle-free algos

[FGG15]: given a  $\mathbf{D}$ -degree-bounded  
**triangle-free** 3Lin instance, **QAOA** finds  $\mathbf{x}$  s.t.

$$\mathbf{val}(\mathbf{x}) \geq \frac{1}{2} + \Omega(\mathbf{D}^{-1/2}).$$

# Some triangle-free algos

[FGG15]: given a **D-degree-bounded triangle-free** 3Lin instance, **QAOA** finds **x** s.t.

$$\mathbf{val(x)} \geq \frac{1}{2} + \Omega(D^{-1/2}).$$

[Us]: the same guarantee for **any** CSP

# Open directions

Characterize CSPs for which

- you can get a  $\mathbf{D}^{-1/2}$  advantage
- you can get  $\mathbf{D}^{-1/2}$ -far from the mean (even if in the wrong direction)

# Open directions

Characterize CSPs for which

- you can get a  $\mathbf{D}^{-1/2}$  advantage
- you can get  $\mathbf{D}^{-1/2}$ -far from the mean (even if in the wrong direction)

# Open directions

Characterize CSPs for which

- you can get a  $\mathbf{D}^{-1/2}$  advantage
- you can get  $\mathbf{D}^{-1/2}$ -far from the mean (even if in the wrong direction)

Prove that **QAOA** gets  $\frac{1}{2} + \Omega(\mathbf{D}^{-1/2})$ .



# Thanks!

