

Carry-free models and beyond

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Abstract—The generalized deterministic models recently proposed by Niesen and Maddah-Ali [1] successfully capture real-interference alignment as observed in Gaussian models. Simpler deterministic models, like ADT models [2], cannot demonstrate this phenomenon because they are limited in the set of channel gains they can model. This paper reinterprets the Niesen and Maddah-Ali models through the lens of carry-free operations. We further explore these carry-free models by considering i.i.d. unknown fading networks. In the unknown fading context, a carry-free model can be further simplified to a max-superposition model, where signals are superposed by a nonlinear max operation. Unlike in relay-networks with known fading and linear superposition, we find that decode-and-forward can perform arbitrarily better than compress-and-forward in max-superposition relay networks with unknown fading.

I. INTRODUCTION

Channel models have always formed the foundation for understanding and engineering wireless communication systems. The choice of model involves a tradeoff between simplicity and “distance” from the real-world. Fig. 1 shows a spectrum of these models. Rayleigh-faded Gaussian models are among the most commonly used and have undoubtedly furthered the state-of-the-art in the field. However, these models are complex, and this complexity can obscure the essential interaction between channels and the information flowing through them.

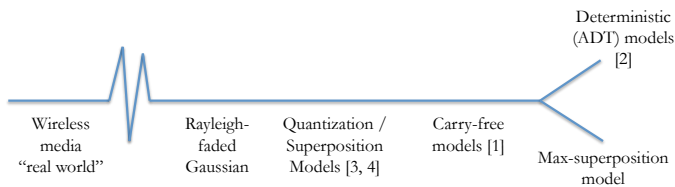


Fig. 1. Models for wireless media. Carry-free models lie between the Rayleigh-faded Gaussian and quantization models on one side, and ADT models on the other.

This led to the development of the simple deterministic “bubble-model” by Avestimehr, Diggavi and Tse (ADT model) [2], which pictorially represents information flows. The simplicity of these models led to progress on the relay and interference channels. However, the same simplicity prevents them from capturing the interference alignment phenomena observed in Gaussian fading channels. ADT models only model gains that are powers of two—they are limited in their ability to capture real-valued channel gains.

Real interference alignment exploits channel diversity and channel state information at the transmitter. Channel gains that

TABLE I
 A COMPARISON OF COMMUNICATION MODELS

	Faded Gaussian	Quantization [3], [4]	Carry-free [1]	ADT [2]
2×2 IC	✓	✓	✓	✓
Relay channel	✓	✓	✓	✓
$(\frac{1}{2}, \frac{1}{2})$ d.o.f. in MAC w/o timesharing & CSIT	✓	✓	✓	×
Real IA	✓	✓	✓	×
Direct map to Gaussian Networks	✓	✓	×	×
Beamforming gain	✓	✓	×	×
Unknown fading	✓	✓	✓	×

are not just powers of two are essential to capture it. However, the naive approach leads to a different problem: the “carries” in the multiplication of the channel gain and the signal break the bit-wise linearity (and hence the simplicity) of the model. To circumvent this issue, the models recently proposed by Maddah-Ali and Niesen [1] ignore these carries to preserve linearity and yet model generic channel gains. This inspires us to call these models “carry-free” models. Essentially, these carry-free models replace a single real channel input by a discrete valued signal and a real channel gain by an LTI system (Fig. 2). Sections II and III give details of the carry-free interpretation of [1]. Section IV deals with MIMO channels.

As a summary, Table I provides a comparison of the different families of models. While neither ADT nor carry-free models provide direct mappings for individual instances of Gaussian networks, carry-free models are able to capture fractional degrees of freedom and real interference alignment.

Armed with this new richer model, Section V attempts to understand another Gaussian phenomenon: communication over unknown i.i.d fading channels. A first curiosity about such channels was conjectured in 1969 [5]—even for continuous fading distributions the optimal input distribution is discrete. Since then many works have followed up to characterize unknown point-to-point models [6], [7], [8]. Gaussian channel capacities in this case behave as $\log(\log SNR)$, as opposed to the $\log SNR$ scaling for channels with known fading.

However, carry-free models for unknown fading channels are not deterministic. The input-output relationship in these models still contains the randomness from the unknown channel fading. We propose the max-superposition model in Section V that removes this randomness. The model builds from the observation that only the highest non-zero bit in a signal conveys information when the channel is unknown. The max-model explains how the energy level modulation

scheme, which is optimal in the case of real channels [8], can in fact be thought of as simple pulse-position-modulation. A similar fact is true in networks with unknown fading. In the max-superposition model, superimposed signals are combined through the non-linear max-operator, as opposed to a linear sum (hence, the name).

Finally, we note that the max-superposition model of the relay channel offers a surprise: partial-decode-and-forward can offer an unbounded gain over compress-and-forward.

II. CARRY-FREE OPERATIONS

Just as in [2], the generalized deterministic models of [1] consider the binary-string representation of real numbers as a starting point. To interpret these models as “carry-free” models we define carry-free arithmetic. Consider such a string $a_n a_{n-1} \dots a_1 a_0 a_{-1} \dots$. Let \mathbb{B} be the set of all binary strings of infinite length. We define carry-free addition, \oplus_c , and multiplication, \otimes_c , over \mathbb{B} in the obvious way.

A. Addition and Multiplication

Let $c_n \dots c_1 c_0 c_{-1} \dots = a_n \dots a_1 a_0 a_{-1} \dots \oplus_c b_n \dots b_1 b_0 b_{-1} \dots$. Then $c_i = a_i + b_i$, where the sum is over \mathbb{F}_2 . The addition operation involves no carryovers unlike in real addition. We derive the name “carry-free” from this property. Bit interactions at one level do not affect higher level bits.

Let $c_n \dots c_1 c_0 c_{-1} \dots = a_n \dots a_1 a_0 a_{-1} \dots \otimes_c b_n \dots b_1 b_0 b_{-1} \dots$. Then $c_i = \sum_k a_k b_{i-k}$, where the summations are in \mathbb{F}_2 . Thus, multiplication is basically “convolution” (Fig. 2), as noted in [1]. We note that it is commutative and associative.

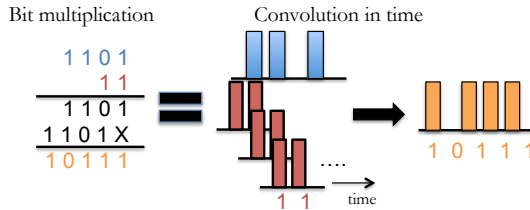


Fig. 2. Carry-free multiplication as “convolution”

B. Signal notation

The bit levels of carry-free numbers are like a fake “time”. Signals convolve to interact—just as carry-free strings multiply. The string $a_n \dots a_1 a_0 a_{-1} \dots$ can also be denoted as signal samples from $-\infty$ to n : $a[n]a[n-1] \dots a[0]a[-1] \dots$

C. Polynomial notation

Under the multiplication and addition defined above, $(\mathbb{B}, \oplus_c, \otimes_c)$ forms a commutative ring. There is a natural mapping from this ring to the polynomial ring. $a_n \dots a_1 a_0 a_{-1} \dots$ in \mathbb{B} corresponds to $a_n z^n + \dots + a_1 z + a_0 + a_{-1} z^{-1} \dots$. We can check the natural addition and multiplication in the polynomial ring is equivalent to \oplus_c and \otimes_c of \mathbb{B} .

D. Truncation

We will use a truncation operation similar to [2], [3], [4] to capture the effect of noise in a channel. For a polynomial $a(z) = \sum_i a_i z^i$, we define $\lfloor a(z) \rfloor = \sum_{i \geq 0} a_i z^i$.

III. CARRY-FREE COMMUNICATION MODELS

A. The point-to-point carry-free model

Consider a real Gaussian point-to-point channel, $y = 2^n h x + w$. h is a fixed realization of a random channel gain uniform in $[0, 1)$. The noise w is $\mathcal{N}(0, 1)$. For simplicity, we assume signal x has a peak-power constraint of 1 as in [2], and $(2^n)^2$ represents the signal-to-noise ratio (SNR). We develop a carry-free model for this channel, parallel to the development of the ADT model, and consider bounded noise.

Considering the binary expansions of h and x , we have $y = 2^n (h_{-1} 2^{-1} + h_{-2} 2^{-2} + \dots) (x_{-1} 2^{-1} + x_{-2} 2^{-2} + \dots) + (w_{-1} 2^{-1} + w_{-2} 2^{-2} + \dots)$. Replacing the binary expansion with polynomial notation, we get $y(z) = z^n (h_{-1} z^{-1} + h_{-2} z^{-2} + \dots) (x_{-1} z^{-1} + x_{-2} z^{-2} + \dots) + (w_{-1} z^{-1} + w_{-2} z^{-2} + \dots)$, which we will shorten as $y(z) = z^n h(z)x(z) + w(z)$. We can think of w_{-i} as fair coin flips and write the channel equation as $y(z) = \lfloor z^n h(z)x(z) \rfloor$. Here, $h(z)$ is the channel polynomial, $n = \frac{1}{2} \log(\text{SNR})$ and $x(z)$ is the message polynomial. In matrix form¹ this is:

$$\begin{bmatrix} y_0 \\ y_1 \\ \vdots \\ y_{n-3} \\ y_{n-2} \end{bmatrix} = \begin{bmatrix} h_{-1} & h_{-2} & \cdots & h_{-(n-2)} & h_{-(n-1)} \\ 0 & h_{-1} & \cdots & h_{-(n-3)} & h_{-(n-2)} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & h_{-1} & h_{-2} \\ 0 & 0 & \cdots & 0 & h_{-1} \end{bmatrix} \begin{bmatrix} x_{-(n-1)} \\ x_{-(n-2)} \\ \vdots \\ x_{-2} \\ x_{-1} \end{bmatrix},$$

or $y = H \cdot x$. Thus, the capacity of the channel is the rank of the $(n-1) \times (n-1)$ matrix H , which is determined by the highest location where h_i is non-zero.

Theorem 3.1: The capacity of the carry-free point-to-point channel with the channel coefficient $h(z) = \sum_i h_i z^i$ and $\frac{1}{2} \log(\text{SNR}) = n$ is $\text{Rank}(H) = n - \min\{i | h_{-i} \neq 0\}$, where H is the matrix as above.

Proof: See Niesen and Maddah-Ali [1]. ■

B. Real-interference alignment in carry-free models

The crux of real interference alignment lies in understanding fractional degrees of freedom. A minimal model to understand this is the multiple-access channel. An interesting question about fractional degrees of freedom was implicitly posed and answered positively in [9], [10]: can we achieve $(\frac{1}{2}, \frac{1}{2})$ d.o.f. without time-sharing or CSIT² (channel state information at transmitters)? This question is answered for carry-free models by Niesen and Maddah-Ali [1].

¹The triangular structure of this matrix motivates the name lower triangular models in [1].

²Successive-decoding schemes in Gaussian models are inherently asymmetric. For instance, consider a scheme where one encoder reduces its power to \sqrt{P} while the other transmits at full power P . This requires a pre-coordination on which encoder must reduce power and is equivalent to a time-sharing scheme in cooperation burden. The same must occur in ADT models since the bit shift alignments at the receiver are unknown to the transmitter.

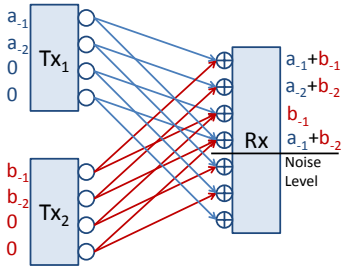


Fig. 3. MAC with channel gains $h(z) = z^4(1 + z^{-3})$, $h'(z) = z^4(1 + z^{-2})$. $(\frac{1}{2}, \frac{1}{2})$ d.o.f. are achievable using the scheme described.

Consider a carry-free MAC with no CSIT: $y(z) = [2^n h(z)a(z) + 2^n h'(z)b(z)]$ with $n = \frac{1}{2} \log(SNR)$. In other words, $h(z)$ and $h'(z)$ are only known to the receiver, and transmitters are not allowed any cooperation or common randomness for time-sharing. Clearly, the cut-set bound implies that the total d.o.f. of the channel is bounded by 1. The scheme follows [9], [1], and sets the lower order bits to zero as described in Fig. 3.

Theorem 3.2: Each transmitter can achieve $\frac{1}{2}$ d.o.f. without timesharing or CSIT for almost all channel gains.

Proof: See Niesen and Maddah-Ali [1]. ■

The natural next channel to explore is the X-channel, and here too, carry-free models can capture the notion of fractional degrees of freedom as in the Gaussian case, as shown in [1].

IV. MODELING POINT-TO-POINT MIMO

The following 2×2 MIMO example from [2] illustrates a limitation of the ADT model:

$$Y = 2^k \begin{bmatrix} \frac{3}{4} & 1 \\ 1 & 1 \end{bmatrix} X + N \quad (1)$$

This channel has 2 d.o.f. under the Gaussian model, but only one under ADT models. However, carry-free models represent the channel as $h_{11}(z) = z^{k-1} + z^{k-2}$, $h_{12}(z) = z^k$, $h_{21}(z) = z^k$, $h_{22}(z) = z^k$ and can capture the 2 d.o.f.

Unfortunately, the carry-free model cannot solve this problem generally. There is no simple correspondence between a specific instance of a real 2×2 MIMO model and a specific carry-free model. Consider the channel

$$Y = 2^k \begin{bmatrix} 9 & 3 \\ 3 & 1 \end{bmatrix} X + N, \quad (2)$$

with 1 d.o.f. under the real Gaussian model. However, the corresponding carry-free model with $h_{11}(z) = z^3 + 1$, $h_{12}(z) = z + 1$, $h_{21}(z) = z + 1$, $h_{22}(z) = 1$ has 2 d.o.f., since its determinant in the polynomial ring is non-zero. But

$$Y = 2^k \begin{bmatrix} 5 & 2 \\ 2 & 1 \end{bmatrix} X + N, \quad (3)$$

has 2 d.o.f. under the real Gaussian model. Under the carry-free model, the channel coefficients are $h_{11}(z) = z^2 + 1$, $h_{12}(z) = z + 1$, $h_{21}(z) = z + 1$, $h_{22}(z) = 1$, which lead to determinant 0.

This inconsistency between the carry-free model and the Gaussian model seems to arise from the fact that numbers behave differently under the two algebras because of the lack of carryovers. For instance, 5, a prime integer, is composite in the carry-free ring ($101 = 11 \otimes_c 11$). This is the primary difficulty in building a direct map from Gaussian instances to their carry-free counterparts.

V. CHANNELS WITH UNKNOWN I.I.D. FADING

A. Point-to-point channel with unknown i.i.d. fading

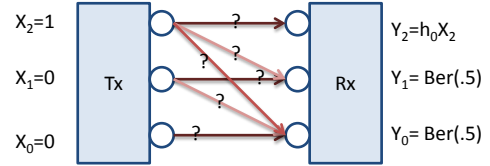


Fig. 4. This figure shows a carry-free model for an unknown fading channel. The maximal non-zero bit of the input contaminates output at all lower-levels. $y_2 = h_0 x_2$ remains correlated to $x(z)$. However, $y_1 = h_0 x_1 + h_{-1} x_2$, is now a Bernoulli- $\frac{1}{2}$ random variable independent of $x(z)$ if $x_2 = 1$. The same is true for all lower levels of $y(z)$.

1) *Point-to-point carry-free model:* Consider the carry-free model for a channel with unknown i.i.d fading as in Fig. 4. The basic setup builds from earlier sections³: $y(z) = [h(z)x(z)]$ where $h(z) = h_0 + h_{-1}z^{-1} + \dots$ and $x(z) = x_n z^n + x_{n-1} z^{n-1} + \dots + x_0$. Here, h_i are i.i.d. Bernoulli- $\frac{1}{2}$ random variables which are unknown to both the transmitter and the receiver, and $x_i \in \{0, 1\}$.

The first thing to note is that this carry-free channel is not deterministic—the randomness from the fading introduces randomness in the input-output relationship. Next, observe that once the maximum bit level in the output is fixed, the randomness introduced by the channel forces all bits below the maximum level to be Bernoulli- $\frac{1}{2}$ random variables which are independent from the input. Therefore, we focus our attention on the highest 1 received in $y(z)$. Define,

$$y_q = \begin{cases} -1 & \text{if } y(z) = 0 \\ \max\{i | y_i = 1\} & \text{if } y(z) \neq 0 \end{cases} \quad (4)$$

x_q is similarly defined.

The key observation is that x_q and y_q are sufficient statistics of $x(z), y(z)$ for $y(z), x(z)$ respectively, and this is captured in the following lemma.

Lemma 5.1: $P(y_q = x_q - i) = (\frac{1}{2})^{i+1}$ for $0 \leq i \leq x_q$ and $P(y_q = -1) = (\frac{1}{2})^{x_q+1}$. Moreover, $x(z) - x_q - y_q - y(z)$ form a Markov chain, and $I(y(z); x(z)) = I(y_q; x(z)) = I(y_q; x_q)$.

The proof of this lemma is simple and omitted for space constraints. We use the lemma to calculate the capacity of this channel, $C_{cf} = \max_{p(x(z))} I(x(z); y(z))$.

Theorem 5.2: The capacity of the carry-free point-to-point channel is $\log(n+2) - 3 \leq C_{cf} \leq \log(n+2)$.

³For ease of exposition we adopt slightly different model parameters and incorporate the channel power gain z^{n+1} (not z^n) into $x(z)$ and $h(z)$.

The achievable scheme involves using monomials z^{x_q} as the channel input $x(z)$ and parallels pulse-position modulation. The converse follows from Lemma 5.1.

2) *Point-to-point max-model*: The observations above suggest that the essence of communicating over unknown fading channels is captured by the highest non-zero bits of the input and output. Hence, we propose the max-model, which depends only on the maximal bit of the signal. Let $x \in \{0, 1, 2 \cdots n\}$ represent the position of the maximal bit in the input signal. Then, y , the position of the maximal bit in the output signal is represented as simply as

$$y = x. \quad (5)$$

It is easy to see that the capacity of this channel is $C_{max} = \log(n+1)$.

3) *Point-to-point real model*: The key point of this section is to give an intuitive explanation of the $\log \log SNR$ result for communication over unknown fading channels. For a channel with uniform distribution: $Y = H \cdot X + W$, unknown i.i.d. fading $H \sim U[0, 1]$, input power constraint $\mathbb{E}[X^2] \leq P$ and noise $W \sim U[0, 1]$.

The optimal achievable scheme for this channel is to modulate the energy-level, and there are $n = \log P$ of those available. The different signal values (logarithmically spaced) which are used as inputs can be thought of as pulse-positions for standard pulse-position-modulation. The observation that $[\log |Y|]^+$ is an approximate sufficient statistic for Y , clearly illustrates the insight and applicability of the max-model to this problem.

B. Multiple-access channel with unknown i.i.d fading

1) *The carry-free MAC*: Next we move to the carry-free unknown MAC. $y(z) = \lfloor h(z)a(z) + h'(z)b(z) \rfloor$ where $h(z) = h_0 + h_{-1}z^{-1} + \cdots$ and $h'(z) = h'_0 + h'_{-1}z^{-1} + \cdots$ are unknown at the transmitter and receiver. Let $a(z) = a_{n_1}z^{n_1} + \cdots + a_0$ and $b(z) = b_{n_2}z^{n_2} + \cdots + b_0$, and $y_q, a_q,$ and b_q are defined as in the previous section.

Just as in the point-to-point case, the randomness introduced by the fading restricts the information communicated across the channel to the maximal power level over the two input signal, and y_q depends only on $\max\{a_q, b_q\}$. This intuition is captured in Lemma 5.3. We omit the proof.

Lemma 5.3: $P(y_q = \max\{a_q, b_q\} - i) = \left(\frac{1}{2}\right)^{i+1}$ for $0 \leq i \leq \max\{a_q, b_q\}$ and $P(y_q = -1) = \left(\frac{1}{2}\right)^{\max\{a_q, b_q\}+1}$. Further, $a(z), b(z) \rightarrow a_q, b_q \rightarrow \max\{a_q, b_q\} \rightarrow y_q \rightarrow y(z)$ form a Markov chain. Hence, $I(y(z); a(z), b(z)) = I(y_q; a(z), b(z)) = I(y_q; a_q, b_q)$.

Just as in the point-to-point case, Lemma 5.3 suggests a deterministic model, described in the next section.

2) *The max-superposition MAC*: Finally, we come to the example which gives the max-superposition model its name. Let $a, b \in \{0, 1, 2 \cdots n\}$ represent the highest non-zero bits of the two input signals in a MAC. Then the output, y , of the channel depends only on the maximum of the two signals:

$$y = \max\{a, b\}. \quad (6)$$

The very nature of this model suggests that it is not possible for both encoders to simultaneously communicate information. Theorem 5.4 below proves that the timesharing rate region is optimal to within one bit.

Theorem 5.4: The achievable rate region for the max-superposition MAC consists of all positive rate pairs (R_1, R_2) such that

$$\log(n_2 + 1)R_1 + \log(n_1 + 1)R_2 \leq \log(n_1 + 1)\log(n_2 + 1) \quad (7)$$

Further, this region is tight to within 1 bit for both R_1 and R_2 — namely all achievable pairs must satisfy:

$$\log(n_2 + 1)(R_1 - 1) + \log(n_1 + 1)(R_2 - 1) \leq \log(n_1 + 1)\log(n_2 + 1) \quad (8)$$

3) *The real MAC*: Consider the real valued MAC: $Y = H \cdot A + H' \cdot B + W$, with H, H' and W are independent $U[0, 1]$ and unknown at the transmitter and receiver, and A, B are subject to quadratic power constraints P_1 and P_2 . The maximum bit-levels n_1, n_2 from the carry free model are equal to $n_1 = \log P_1, n_2 = \log P_2$. Like the max-superposition MAC, we can prove a theorem similar to Thm. 5.4 and prove that timesharing is approximately optimal.

C. Relay network

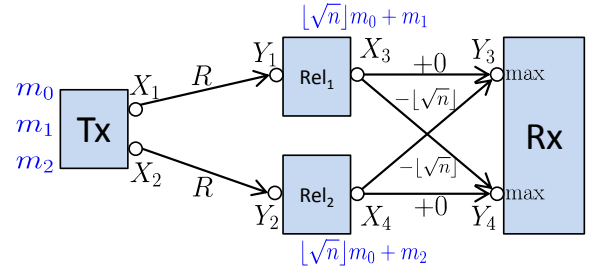


Fig. 5. A max-superposition relay network with an approximately optimal partial-decode-and-forward scheme. The cross-links between X_3, X_4 and Y_3, Y_4 are weaker than the direct links and lead to downward bit-level shifts by $\lfloor \sqrt{n} \rfloor$. $X_1 = \lfloor \sqrt{n} \rfloor m_0 + m_1$ and $X_2 = \lfloor \sqrt{n} \rfloor m_0 + m_2$ are transmitted by the two relays.

At first glance, it would seem that there is no point to even thinking about coordination or beam-forming style gains by the relays in an unknown fading network. Compress-and-forward seems like the right approximately optimal scheme. However, this section gives an example of a relay network where surprisingly, partial-decode-and-forward can infinitely outperform compress-and-forward style schemes, and there is a significant coordination gain—traditionally known as beam-forming gain—to be exploited. This observation is quite interesting when we remind ourselves that the beam-forming gain is usually bounded by a constant in known-fading Gaussian networks with linear superposition, and compress-and-forward is the optimal in linear ADT relay networks [2].⁴

⁴It was already known that partial-decode-and-forward is also optimal in semi-deterministic single-relay channels [11].

In the previous section, we saw that the max operation essentially captures superposition in i.i.d. unknown fading networks. We focus on a specific max-superposition relay network shown in Fig. 5. Channels connecting X_1 to Y_1 and X_2 to Y_2 are orthogonal noiseless links with rate R each. The MIMO channel connecting X_3, X_4 to Y_3, Y_4 is a max-superposition deterministic model. We consider $X_3 \in \{0, 1, \dots, n\}$, $X_4 \in \{0, 1, \dots, n\}$ and

$$Y_3 = \max(X_3, (X_4 - \lfloor \sqrt{n} \rfloor)^+) \quad (9)$$

$$Y_4 = \max((X_3 - \lfloor \sqrt{n} \rfloor)^+, X_4) \quad (10)$$

The shifted max-operation captures the difference between the direct-link and cross-link gains in the original Gaussian MIMO channel. The cross links are weaker than the direct links, but not by much in a proper scaling.

First, observe that when $X_3 - X_4 \geq \sqrt{n}$, $Y_3 = X_3$ and $Y_4 = (X_3 - \lfloor \sqrt{n} \rfloor)^+$. Thus, both the received signals only depend on X_3 , and X_4 is useless. Moreover, when X_3 and X_4 are independent and their ranges are large, with high probability the difference between X_3 and X_4 is greater than \sqrt{n} and both Y_3 and Y_4 only depend on either X_3 or X_4 . Therefore, in compress-and-forward (where X_3 and X_4 are chosen independently), the throughput is essentially bounded by $\log n$ which is the maximum entropy of a single input.

However, decode-and-forward can exploit the coordination gain between X_3 and X_4 . We can keep the difference within \sqrt{n} and let Y_3, Y_4 depend on both X_3, X_4 . The key observation is that when the quotients of X_3, X_4 divided by $\lfloor \sqrt{n} \rfloor$ are the same, X_3, X_4 have to be within \sqrt{n} . To keep the quotients the same, we transfer a common message m_0 to both relays as the common quotient.

An approximately optimal partial-decode-and-forward scheme is described in Fig. 5. The transmitter divides the message into three parts $m_0, m_1, m_2 \in \{0, 1, \dots, \lfloor \sqrt{n} \rfloor - 1\}$. The common message m_0 is sent to both relays and used as a quotient. The private messages m_1 and m_2 are transmitted to Rel_1 and Rel_2 respectively and used as remainders. In other words, X_3 and X_4 are chosen as $\lfloor \sqrt{n} \rfloor m_0 + m_1$ and $\lfloor \sqrt{n} \rfloor m_0 + m_2$ respectively. Since the signals are within \sqrt{n} , Y_3 and Y_4 also have to equal X_3 and X_4 (eqs. (9), (10)). Moreover, since the ranges of m_0, m_1, m_2 are $\lfloor \sqrt{n} \rfloor$, the achievable rate of this partial-decode-and-forward can increase to $\frac{3}{2} \log n$.

We summarize our understanding in Thm. 5.5 and Fig. 6. The real-channel counterparts are not hard to derive.

Theorem 5.5: Let C_{rel} be the capacity of the relay channel given as above. The cut-set bound gives the upperbound

$$C_{rel} \leq \min\{2R, R + \frac{1}{2} \log(n+1) + 2 \log 3, \frac{3}{2} \log(n+1) + \frac{3}{2} \log 2\}$$

The proposed partial-decode-and-forward scheme gives the following lower bound.

$$C_{rel} \geq \min\{2R, R + \log(\lfloor \sqrt{n} \rfloor), 3 \log(\lfloor \sqrt{n} \rfloor)\} \quad (11)$$

This scheme is approximately optimal within a constant gap. Since compress-and-forward cannot exploit a “beam-forming

gain”, it can perform infinitely worse than the partial-decode-and-forward scheme.

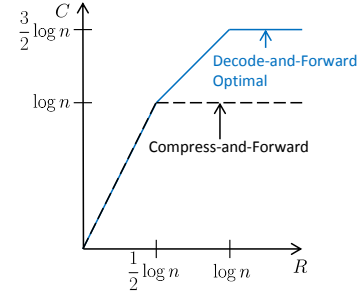


Fig. 6. Generalized d.o.f. plot for the relay network in Fig. 5. $\frac{3}{2}$ d.o.f. capacity is only achievable by partial-decode-and-forward, not by compress-and-forward. Therefore, the gap between the two goes to infinity as n grows.

VI. CONCLUSION

In this paper, we explore carry-free models and their various applications. The ability of carry-free models to capture diverse channel gains illustrates how real-interference alignment works in Gaussian models. This diversity also allows us to model unknown fading. We also observe that in unknown fading networks the carry-free models can be further simplified to max-superposition models. These max-superposition networks show a curious property—arbitrarily large “beam-forming” like gains—that needs more exploration.

VII. ACKNOWLEDGMENTS

The authors would like to thank the NSF for grants NSF-07291222, NSF-0917212, and NSF-0932410, Guy Bresler and Abolfazl Motahari for helpful discussions, and the reviewers for suggested changes to the results.

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