

1. *Money Changing.* Fix a set of positive integers called *denominations*  $x_1, x_2, \dots, x_n$  (think of them as the integers 1, 5, 10, and 25). The problem you want to solve for these denominations is the following: Given an integer  $A$ , express it as

$$A = \sum_{i=1}^n a_i x_i$$

for some nonnegative integers  $a_1, \dots, a_n \geq 0$ .

- Under which conditions on the denominations  $x_i$  are you able to do this for all integers  $A > 0$ ?
  - Given any set of denominations  $x_i$ , not necessarily satisfying the conditions in the first part, describe the set of *sufficiently large* integers  $A$  that you can express as  $A = \sum_{i=1}^n a_i x_i$  with nonnegative  $a_i$ . In other words you should prove a statement like “If  $A$  exceeds  $X$ , then we can write  $A = \sum_{i=1}^n a_i x_i$  for  $a_i \geq 0$  if and only if  $A$  has the following simple property....” You do not have to give  $X$  explicitly, but prove it exists.
  - Suppose that you want, given  $A$ , to find the nonnegative  $a_i$ ’s that satisfy  $A = \sum_{i=1}^n a_i x_i$ , and such that the sum of all  $a_i$ ’s is minimal —that is, you use the smallest possible number of coins. Define a *greedy algorithm* for this problem.
  - Show that the greedy algorithm finds the optimum  $a_i$ ’s in the case of the denominations 1, 5, 10, and 25, and for any amount  $A$ .
  - Give an example of a denomination where the greedy algorithm fails to find the optimum  $a_i$ ’s for some  $A$ . Do you know of an actual country where such a set of denominations exists?
  - How far from the optimum number of coins can the output of the greedy algorithm be, as a function of the denominations?
2. *Shannon’s Theorem.* In the lecture notes we defined the set  $F$  of all possible files such that

- each file contains  $m$  characters
- there are  $c$  distinct characters  $C_1, \dots, C_c$ .
- $C_i$  appears exactly  $f_i$  times in each file, where  $\sum_{i=1}^c f_i = m$ .

Let  $|F|$  be the number of members (files) of the set  $F$ . In class we said that at least  $\log_2 |F|$  bits are needed to encode  $F$  by *any* algorithm, just to distinguish among all possible different files. In this question you will devise an encoding that actually uses  $\log_2 |F| + O(\log m)$  bits.

In particular you should devise a function  $f_{enc} = \text{Encode}(f, C_1, C_2, \dots, C_c)$  that takes a file  $f$  meeting the above criteria with the list of characters, and returns  $f_{enc}$ , an encoded file at most  $\log_2 |F| + O(\log m)$  bits long. You should also devise a function  $\text{Decode}(f_{enc})$  that takes  $f_{enc}$  and returns  $f$ . The point of  $O(\log m)$  is that you can include a header in  $f_{enc}$  to include useful information such as  $m$  and possibly other data. You may assume for simplicity that the character set is either fixed length or has the prefix (free) property to make it easy to write  $\text{Encode}$ . You may assume that  $c$  and the length of each  $C_i$  is  $O(1)$ . Do not worry about trying to make  $\text{Encode}$  and  $\text{Decode}$  very efficient.

3. (From the Spring 1998 Midterm). In this question we will consider how much Huffman coding can compress a file  $F$  of  $m$  characters taken from an alphabet of  $n = 2^s$  characters  $x_0, x_2, \dots, x_{n-1}$ .

- How many bits does it take to store  $F$  without using Huffman coding?
- Suppose  $m = 1000$  and  $n = 8$ , with characters 0,1,2,3,4,5,6, and 7. Give an example of a file  $F$  (a string of 1000 digits from 0 through 7) in which every character  $x_i$  appears at least once, which compresses *the most* under Huffman coding. How many bits does it take to store the compressed file?
- Let  $f(x_i)$  denote the frequency of  $x_i$ , i.e. the number of times  $x_i$  appears in  $F$ . Prove that there exist frequencies  $f(x_i) > 0$  such that the number of bits needed to store  $F$  without Huffman coding is  $\Omega(\log n)$  times the number of bits to store  $F$  when it is Huffman encoded. You can assume that the length of the file  $m$ , is much larger than  $n$ . Be sure to exhibit the bit patterns representing each character, both with and without Huffman coding, as well as explicit formulas for each  $f(x_i)$ .

4. CLR 16-2

5. CLR 16-3