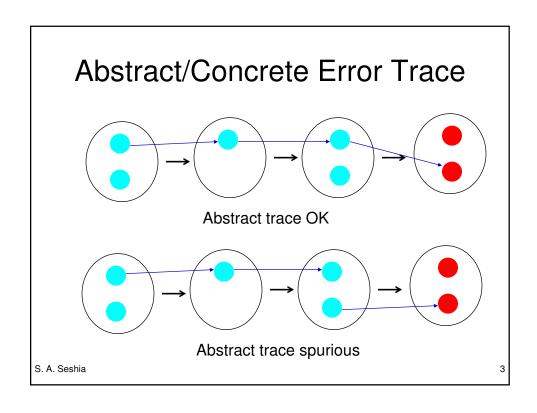
Abstraction &
Simulation and other
Equivalences

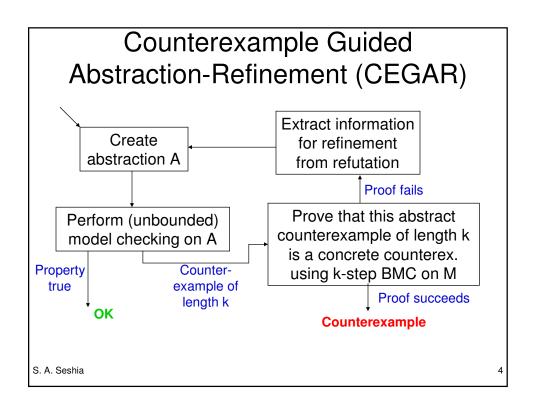
Sanjit A. Seshia EECS, UC Berkeley

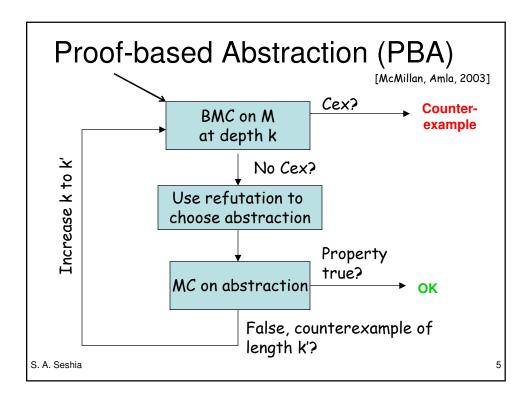
Acknowledgments: Kenneth McMillan

# Today's Lecture

- · Abstraction in Model Checking
  - Interpolation-based model checking
- Automata-based Property Specification
  - Properties as (Buchi) automata
  - Notions of Trace Containment, Simulation, Bisimulation, Refinement







# Abstraction and Reachability

- An abstraction expands the set of states reachable from the initial state
  - OVER-APPROXIMATION
- Instead of starting by abstracting states, one can directly abstract the transition relation
  - Each time you compute the set of next states, you get an over-approximation of the actual set of next states
  - Gives a way of computing an overapproximation of the set of reachable states

# Abstraction using Interpolation

- Abstraction is extracting sufficient/relevant information from a system to prove a given property.
- This notion is in some sense closely related to a notion of "interpolant" and a lemma called "Craig's interpolation lemma"

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# Interpolation Lemma (Craig, 57)

If A \( \text{B} = \text{false}, \text{ there exists an interpolant A'} \)
for (A,B) such that:

$$A \Rightarrow A'$$
  
  $A' \wedge B = false$ 

A' refers only to common variables of A,B

• Example:

$$-A = p \land q$$
,  $B = \neg q \land r$ ,  $A' = q$ 

# Interpolants from Proofs

(Pudlak, Krajicek, 97)

Interpolant A' for A ∧ B:

$$A \Rightarrow A'$$
  
A'  $\wedge B = false$ 

A' refers only to common variables of A,B

- Interpolants can be obtained from proofs
  - given a resolution-based refutation (proof of unsatisfiability) of A ∧ B,

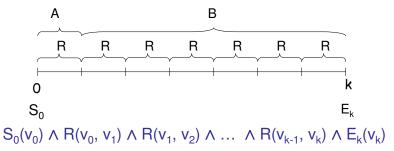
A' can be derived in time linear in the proof

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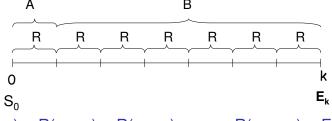
# Interpolation based Model Checking (McMillan, 2003)

 Main Idea: Pose the problem of overapproximating the set of next states as finding an interpolant



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### Interpolation based Model Checking



$$S_0(v_0) \wedge R(v_0, v_1) \wedge R(v_1, v_2) \wedge ... \wedge R(v_{k-1}, v_k) \wedge E_k(v_k)$$

$$\begin{split} A &= S_0(v_0) \ \land \ R(v_0, \, v_1) \\ B &= R(v_1, \, v_2) \ \land \ \dots \ \land \ R(v_{k\text{-}1}, \, v_k) \ \land \ E_k(v_k) \end{split}$$

A' is a function of  $v_1$  s.t. 1. A  $\rightarrow$  A' 2. A'  $\wedge$  B is unsat

What set of states does A' represent?

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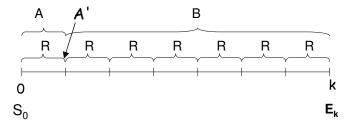
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# Interpolation based MC

#### For a fixed k:

- 1. Set Z initially to S<sub>0</sub>
- 2. Do BMC starting from Z for k steps
  - If SAT: have we found a counterexample?
  - · If UNSAT, continue
- 3. Use interpolation to compute overapproximation of next states of Z and add them back into Z
  - Can newly added states lead to error states in k-1 steps? In k steps?
- 4. If Z does not increase
  - We've reached a fixed point. Is the property true?
- 5. Otherwise, back to step 2

### Intuition

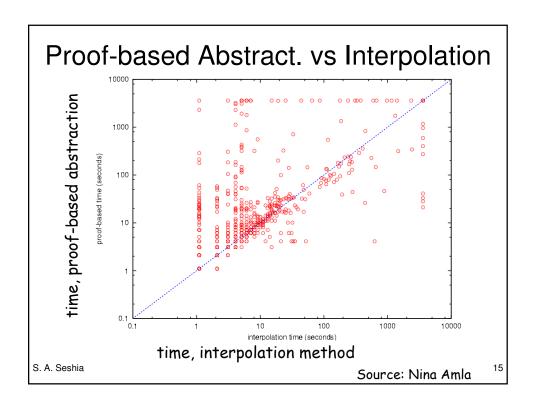


- A' tells us everything the prover deduced about the image of S<sub>0</sub> in proving it can't reach an error in k steps.
- Hence, A' is in some sense an abstraction of the image relative to the property and the bound k

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### Refinement

- Model checking may fail for a fixed k
  - May add a state that reaches error in k steps (getting SAT in step 2 with Z != S<sub>0</sub>)
- Refinement is just increasing k
  - How big can k get?



# Properties as Automata

- Often properties themselves are finitestate machines
  - E.g. two versions of the same system, an optimized "implementation", and a simpleand-correct "specification"
- How do we formalize the notion of "implementation satisfies specification"?

# Properties as Automata

- Often your properties themselves are finite-state machines
  - E.g. two versions of the same system, an optimized "implementation", and a simpleand-correct "specification"
- How do we formalize the notion of "implementation satisfies specification"?
  - All behaviors (traces) of the implementation are also traces of the specification

#### TRACE CONTAINMENT

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# Abstraction A and Original System M

- All traces of M are also traces of A
- If A satisfies an LTL property, does M also satisfy that property?
- How about for CTL\*?

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# Abstraction A and Original System M

- · All traces of M are also traces of A
- So any LTL property that A satisfies will also be satisfied by M
- Holds good for any CTL\* property that
  - Has all negations appearing only over atomic propositions
  - Has only the "A" quantifier, not the "E" quantifier
  - ACTL\*

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### Simulation --- Intuition

- Two finite state machines M and M'
- · M' simulates M if
  - M' can start in a similarly labeled state as M
  - For every step that M takes from s to t, M' can mimic it by stepping to a state with similar label as t

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### Simulation

- $M = (S, S_0, R, L)$  and  $M' = (S', S_0', R', L')$
- A relation H ⊆ S x S' is a simulation relation between M and M' means that:

For all (s, s'), if H(s, s') then:

- $-L'(s') = L(s) \cap AP'$
- For every state t s.t. R(s, t) there is a state t' such that R'(s', t') and H(t, t')
- · M' simulates M if
  - there exists a simulation relation H between them, and
  - For each  $s_0 \in S_0$ , there exists  $s_0' \in S_0'$  s.t.  $H(s_0, s_0')$

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#### Simulation and Trace Containment

Are they the same? If not, which implies which?

### **Bisimulation**

- M and M' are bisimulation equivalent (bisimilar) if
  - M simulates M' and vice-versa
  - Note: atomic proposition sets must be identical
- Are bisimulation and trace equivalence the same thing?

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# (Bi)Simulation and (A)CTL\*

- If M' simulates M, then any ACTL\* property satisfied by M' is satisfied by M
- If M' and M are bisimilar, any CTL\*
   property satisfied by one is also satisfied
   by the other

### Verification

- How do we check for:
  - Trace containment?
  - Simulation?
  - Bisimulation?
- Assume that your machines are given as Kripke structures/Buchi automata
  - For the latter, all accepting paths correspond to runs

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### Verification

- · How do we check for:
  - Trace containment?
    - Can be done using LTL model checking (see MC Sec. 9.6)
  - Simulation?
    - Iterative computation → next slide
  - Bisimulation?
    - Effectively same as simulation check (just done in two directions) [see Ch. 11 of MC]

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# Simulation Checking

 We attempt to compute the largest relation H such that

```
For all (s, s'), if H(s, s') then:
```

- $-L'(s') = L(s) \cap AP'$
- For every state t s.t. R(s, t) there is a state t' such that R'(s', t') and H(t, t')
- Then, check whether every initial state of M is related by H to an initial state of M'

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# Simulation Checking

- We attempt to compute the largest relation H such that For all (s, s'), if H(s, s') then:
  - $-L'(s') = L(s) \cap AP'$
  - For every state t s.t. R(s, t) there is a state t' such that R'(s', t') and H(t, t')
- Compute sequence H<sub>0</sub>, H<sub>1</sub>, ..., H<sub>k</sub> where:
  - $-H_0(s, s')$  iff  $L'(s') = L(s) \cap AP$
  - $-H_{n+1}(s, s')$  iff
    - H<sub>n</sub>(s, s'), and
    - $\forall t \{ R(s, t) \rightarrow \exists t' (R(s', t') \land H_n(t, t')) \}$

( How to implement this? Why will it terminate? )

### Simulation vs. Trace Containment

Why would we want to use one over the other?

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### Next class

- Other optimizations in model checking:
  - Compositional reasoning
  - Symmetry reduction
- Mu-calculus

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