

# Powering 3 Dimensional Microrobots: Power Density Limitations \*

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## Abstract

*Many types of electrostatic and electromagnetic microactuators have been developed. It is important to understand the fundamental performance limitations of these actuators for use in micro-robotic systems. The most important consideration for micro mobile robots is the effective power density of the actuator. As inertia and gravitational forces become less significant for small robots, typical metrics for macro-robots, such as torque-to-weight ratio, are not appropriate. A very significant problem with micro-actuators for robotics is the need for efficient transmissions to obtain large forces and torques at low speeds from inherently high speed low force actuators.*

## 1 Introduction

Many varieties of micro-actuators based on electrostatic and electromagnetic principles have been developed. This paper examines limitations of actuator force, speed and stroke, and compares representative examples of current micro-actuators. Electrostatic actuators have been simpler to fabricate, but have limited energy density compared to magnetic actuators. Piezo-electric actuators have orders of magnitude more force than electrostatic actuators due to the higher dielectric constant, but have limited strain. An important consideration for selecting actuators for micro-robots is the use of mechanical transformers such as wobble motors and inch worm drives which provide larger forces or displacements at lower speed.

For a very good introduction to the size scaling issues of magnetic and electric actuators, the paper by Trimmer and Jebens [1989] is recommended. A good survey of fully or partly IC-processed actuators can be found in Fujita and Gabriel [1991]. For a good general survey including thermal and chemical actuators, see

[Dario et al 1992]. This survey considers two groups: electric field driven and magnetic field driven actuators.

For macro-scale applications, magnetic actuators are dominant. It is not clear yet which technology will be dominant at the micro-scale. One scaling law that is helpful for micro-actuators is the increased break down field strength of very small gaps due to the Paschen effect. Thus for small sizes, the obtainable electrostatic forces can be stronger than electromagnetic forces [Trimmer and Jebens, 1989]. Since electrostatic devices can be constructed using only conductors and insulators, they can be made compatible with silicon micromachining techniques. However, recent improvements in materials processing now allow deposition of thin-film magnetic materials, so integrated micro-magnetics are now possible.

For micro-robotics applications, it seems that ideal actuators would have reasonable speed, long strokes, and high force (high torque). However, many of the available actuation principles such as piezoelectric effect and electrostatic actuators tend to have a very short stroke, but high resonant frequency. Methods such as used in the ultrasonic motor and harmonic micromotor provide, in effect, a gear reduction which increases available torque.

### 1.1 Power Requirements for Tasks

As motivation for study of actuator scaling, let's consider example micro robot tasks: pick and place assembly, material removal (machining), and mobile robot swimming and flying.

#### 1.1.1 Power for pick and place

A simple pick and place robot system is shown in Figure 1. To keep task completion time constant as the robot size  $l$  decreases while the workspace size  $L$  remains the same, then the acceleration  $\ddot{x}$  should be

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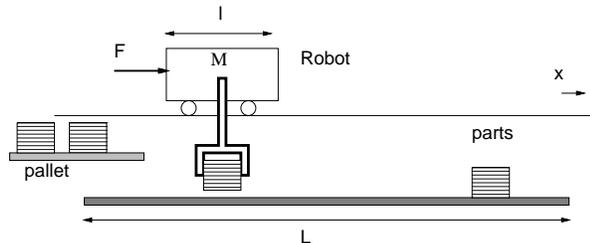


Figure 1: One dimensional pick and place robot model.

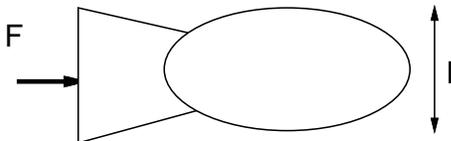


Figure 2: Simple swimming robot model.

independent of size. Since mass  $M = \rho l^3$ , the actuator force needs to be proportional to volume to maintain the same performance. The robot power density  $\frac{P}{V} = \frac{F\dot{x}}{l^3}$  must also be independent of size to maintain the same performance. Of course, if the work space dimension  $L$  also scales with robot dimension, higher performance could be obtained for the smaller system.

### 1.1.2 Power for material removal

For a micro-robot to modify material shape such as by cutting or milling metal takes cutting energy of  $4 \times 10^3 Jcm^{-3}$  [Nieble et al 89]. A conventional AC motor has power density of  $200 Wkg^{-1}$  or approximately  $5 \times 10^5 Wm^{-3}$ . Thus each  $cm^3$  of actuator removes  $0.1 mm^3 sec^{-1}$  of metal. Microrobot motors typically have much less than this power density, so machining operations could be much slower at the micro-scale.

### 1.1.3 Power for swimming, running and flying micro-vehicles

Power for locomotion is predominantly drag limited at the microscale as inertial and gravitational forces become insignificant. For a swimming vehicle with laminar flow:

$$P_{drag} = F \cdot v = 6\pi r \mu v^2, \quad (1)$$

where  $\mu$  is the viscosity of water. If velocity and size are proportional to  $l$ , then  $P_{drag}$  is proportional to  $l^3$ . With  $l = 1cm$  and velocity  $v < 0.2ms^{-1}$  (laminar flow)  $P_{drag} \approx 10^{-5}W$ , or  $20Wm^{-3}$ , a very small power density requirement.

For a legged micro-robot (following [Shimoyama 1991, 1995]) with walking velocity of  $5mms^{-1}$ , the

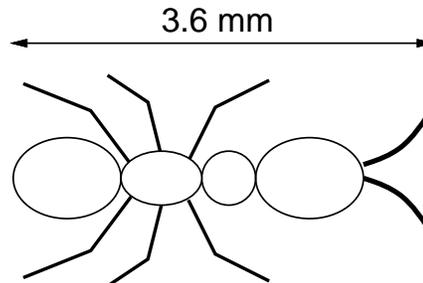


Figure 3: Ant-like micro-robot.

required joint torque is  $5 \times 10^{-9} Nm$  and joint velocity  $10 rads^{-1}$ . Thus the average power for 3 DOF each is  $5 \times 10^{-7} W$  or  $2 \times 10^3 Wm^{-3}$ . The required static torque is a product of leg length and body weight, so required torque scales as  $l^4$ . The joint velocity scales as  $l^{-\frac{1}{2}}$ , thus the required power density  $\frac{P}{V}$  is proportional to  $\sqrt{l}$ . Hence smaller robots require lower power density for appropriate scale speed.

For a flying micro-vehicle, following [Shimoyama 1995], the drag force on the wings is:

$$F_D = C_D \frac{1}{2} \rho U^2 A, \quad (2)$$

where  $C_D, \rho, U, A$  are the drag coefficient, air density, relative air velocity, and wing area, respectively. This drag force needs to balance the weight  $F_g = mg$  of the robot. With  $U$  proportional to  $\sqrt{l}$ , the required power is  $P = F_D U$  which is proportional to  $L^{\frac{3}{2}}$ , and power density  $\frac{P}{V}$  is proportional to  $\sqrt{l}$ . Thus, the required power density is favorable for small flying robots.

### 1.1.4 Power density for microactuators

As seen above, a constant power density with scale is required for high performance micro-robot pick-and-place, walking and flying micro-robots. As this survey shows, most current micro-actuators are far removed from the power density achieved by macro size actuators.

## 2 Electric Field Driven Actuators

There are a variety of electric field driven actuators, based on principles of electrostatic attraction, piezoelectricity, or quasi-static induction. Many types of micro-actuators have been designed, both linear and rotary, using tangential drive and normal drive.

Small tools impractical to fabricate by other methods, for example, an electrostatically driven gripper able to handle  $10 \mu m$  diameter parts [Kim et al, 1992], can be made by surface and bulk micromachining of silicon. Among the many other novel devices made

possible, are planar rotary micro motors [Fan et al, 1989], Trimmer and Gabriel [1987] and dielectric induction motors [Fuhr et al 1992a, 1992b]. The drawback to these devices, even with small gaps, is the low force and torque obtainable. The gripper built by Kim has a grasping force on the order of  $1\mu N$ , while the electrostatic micromotor has a diameter of  $100\mu m$  and torque of about  $10pNm$  which may be much too small for micro-robotic applications. Friction is also a problem in the rotary micromotors. Possible ways to build improved rotary actuators with higher torque are to use a vibration-to-rotation conversion [Lee and Pisano, 1991], or a harmonic micromotor [Price, Wood, Jacobsen, 1989], [Furuhata et al 1993], which can achieve torques on the order of  $10\mu Nm$  with a 1 mm radius motor.

For many micro-robot applications a linear actuator may be more useful than a rotary one. Recently, integrated force arrays have been described (Bobbio et al [1993], [Kornbluh et al 1991] and Yamaguchi et al [1993]), which take advantage of the very strong normal attractive force between two parallel charged surfaces. Another type of planar mechanism, an electrostatic surface drive is described by Egawa et al [1991] and Niino, Egawa, Higuchi [1993].

Multi DOF actuators can also be driven electrostatically. Fukuda and Tanaka [1990] describe a 3 DOF actuator made using copper foil, and Wood, Jacobsen, and Grace [1987] controlled the position of an optical fiber driven electrostatically. In an important innovation, Shimoyama et al [1991], Suzuki et al [1992] have developed electrostatic actuators fabricated from polysilicon and polyimide which can act out of the plane of the wafer and have multiple degrees of freedom. These actuators are being developed to emulate insect wing joints and muscles.

## 2.1 Linear Electrostatic Actuators

Figure 4 shows the basic geometry of the parallel plate capacitor which is at the heart of most tangential and normal drive electrostatic actuators. (Even for the induced charge actuators, the parallel plate capacitor model provides an upper bound estimate of the available force). With a potential  $V$  applied across the top and bottom plates, the stored energy in the capacitor is given by:

$$W = \frac{1}{2}CV^2, \quad (3)$$

where the capacitance  $C$  (neglecting fringing fields) is

$$C(z) = \epsilon \frac{L_x L_y}{z} \quad (4)$$

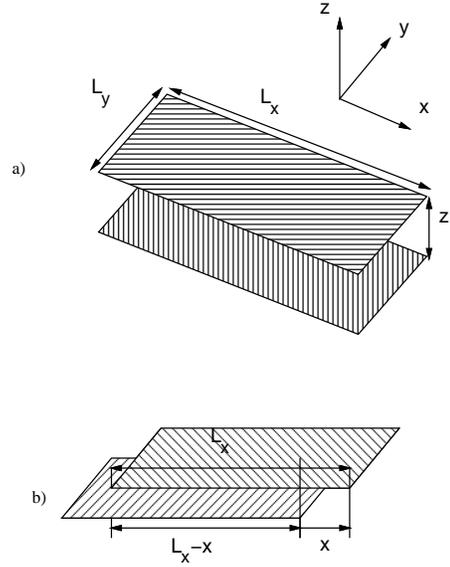


Figure 4: Parallel plate capacitor geometry. a) normal drive b) tangential drive

with  $z$ ,  $\epsilon$ ,  $L_x$  and  $L_y$  the plate separation, permittivity, and plate dimensions respectively.

### 2.1.1 Normal Force Actuators

The force in the normal direction is given by:

$$F_z(z) = -\frac{\partial W}{\partial z} = \frac{1}{2}\epsilon \frac{L_x L_y}{z^2} V^2. \quad (5)$$

The equivalent ‘‘electrostatic pressure’’ is calculated by the normal force per area or:

$$P_{electrostatic} = \frac{F_z}{L_x L_y} = \frac{1}{2}\epsilon |E|^2. \quad (6)$$

Figure 5 shows a typical technique for building a normal drive electrostatic actuator on a planar substrate. Multiple sections can be ganged together to increase the effective area, and the actuator is bidirectional. Typically, about two thirds of the actuator is taken up by structural materials. Note that to obtain high fields and large forces, the stroke for the actuator will be very small, typically around  $2\mu m$ . One approach to getting substantial forces and large stroke from a parallel plate type actuator uses a sandwich of layers with a compliant suspension as shown in Figure 6. Although the peak strain of the actuator is typically less than 30%, the stack could in principle be made substantially thick.

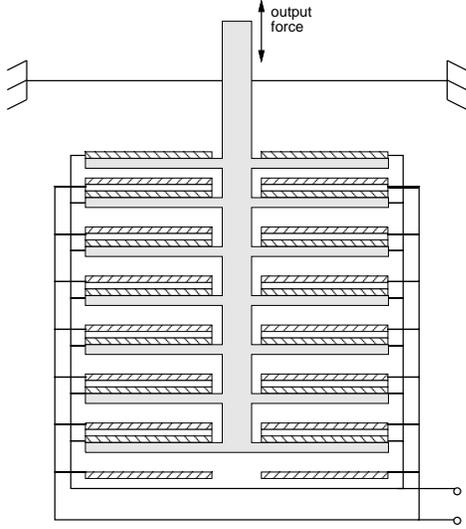


Figure 5: Parallel plate comb drive actuator.

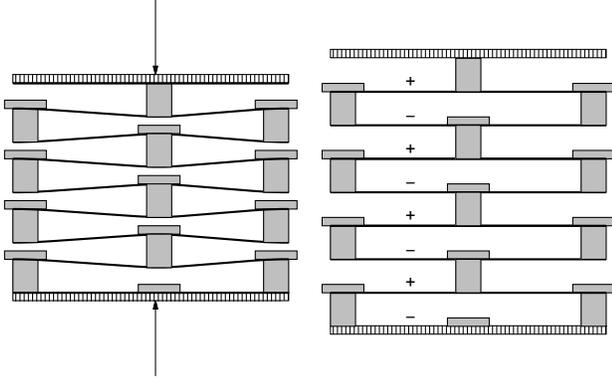


Figure 6: Integrated Force Array similar to Bobbio et al [1993]. Actuator is unidirectional, with maximum stroke of about 30%.

### 2.1.2 Tangential Force Actuators

For a tangential drive actuator (which requires a strong bearing to resist the  $F_z$  force, or balanced top and bottom drive), the capacitance can be written

$$C(x) = \epsilon \frac{(L_x - x)L_y}{z} \quad (7)$$

and thus the force in the tangential direction is:

$$F_x(x) = -\frac{\partial W}{\partial x} = \frac{1}{2}\epsilon \frac{L_y}{z} V^2. \quad (8)$$

Note that (again neglecting fringing fields) the tangential force is independent of the length of the plate  $L_x$ . Thus the tangential force can be increased by having many narrow plates of width  $L_y$  connected in parallel. A typical side drive actuator is shown in Figure 7,

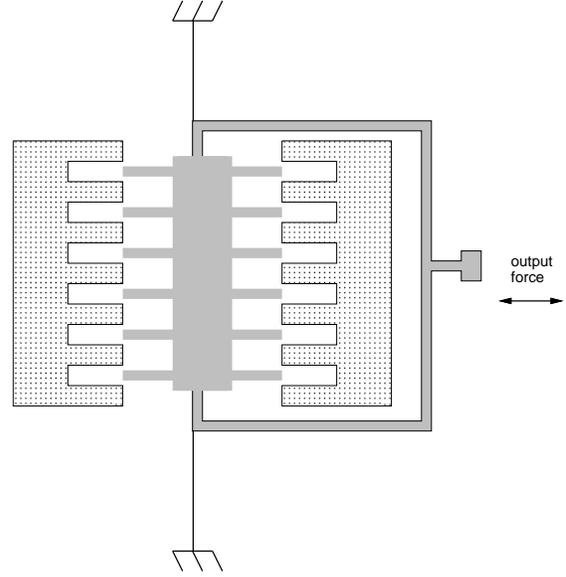


Figure 7: Side drive comb actuator.

where the moving member is attached to the substrate using a suspension. A feature of the side drive comb actuator is that the gaps can be made very small (increasing field strength), and the force is relatively constant over the length of the stroke.

A potential limitation of comb drives is the rather short stroke. Figure 8 shows a planar electrostatic actuator, where a mobile platform is levitated on an air bearing [Pister et al 1990]. The total capacitance seen by the power source is

$$C(x, z) = C_o + \frac{C_1(z)C_2(x, z)}{C_1(z) + C_2(x, z)}. \quad (9)$$

The tangential force can be calculated as in part b) of the figure assuming that  $C_1$  is constant:

$$F_x(x) = \frac{1}{2}V^2 \frac{\partial C(x, z)}{\partial x} = \frac{1}{2}V^2 \epsilon_o \frac{L^2(L + 2x)}{z(L + x)^2}, \quad (10)$$

where  $L$  is the electrode width. Normalizing by the area orthogonal to the direction of motion, the equivalent pressure is:

$$\frac{F_x(0)}{zL} = \frac{1}{2} \frac{V^2 \epsilon_o}{z^2} = \frac{1}{2} \epsilon_o |E|^2 \quad (11)$$

as expected. Acceleration is

$$\ddot{x} = \frac{F_x(0)}{m} = \frac{1}{2} \frac{V^2 \epsilon_o}{zL\delta\rho}, \quad (12)$$

where  $\delta$  is the thickness of the platform and  $\rho$  is its density. Note that improved acceleration is possible with gratings on the platform.

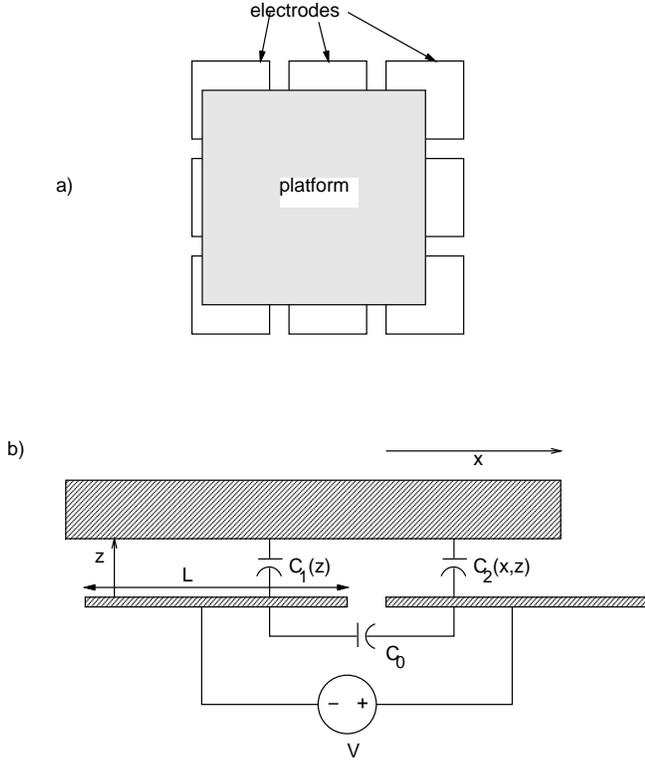


Figure 8: Planar electrostatic drive with levitated platform. a) Electrode configuration. b) Equivalent circuit.

### 2.1.3 Force Limits for Linear Electrostatic Actuators

It is useful to estimate the maximum force which can be obtained by a linear actuator. As we have seen, the force is proportional to the square of the field strength. With smaller gaps, there are fewer ionizable gas molecules available for breakdown, resulting in higher sustainable field strengths (the Paschen effect). As an indication of the larger fields which are sustainable at smaller dimensions, Figure 9 shows the maximum local field near a charged sphere before breakdown occurs. Experiments have shown that with sub-micron gaps and very smooth surfaces, air will breakdown at fields of  $9 \times 10^8 \text{Vm}^{-1}$  [Horn and Smith, 1992]. In a vacuum, or with smaller gaps, the absolute limit is set by field emission at  $10^9 \text{Vm}^{-1}$  for typical materials [Lang et al, 1987]. In practice, thin films such as  $\text{SiO}_2$  withstand  $2 \times 10^8 \text{Vm}^{-1}$  [Fujita and Omodaka, 1987].

Let's estimate the available force from an actuator such as the parallel plate comb drive shown in Figure 5. New surface micromachining techniques such as HEXSIL allow vertical features approaching

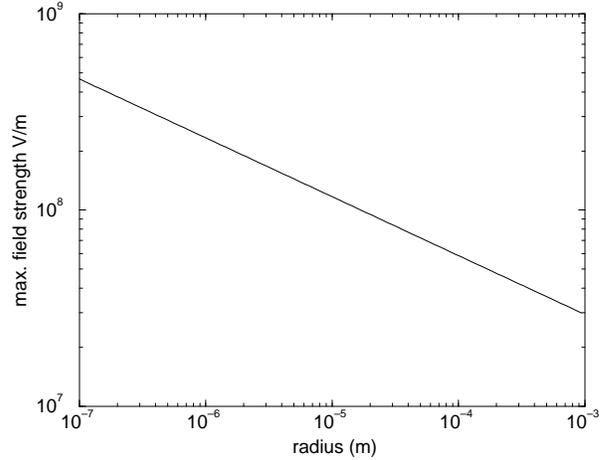


Figure 9: Surface field at breakdown for spheres [Harper, 1967].

$100\mu\text{m}$  [Keller and Ferrari, 1994]. Assuming a field of  $5 \times 10^7 \text{Vm}^{-1}$ , with  $10\mu\text{m}$  per comb, the effective area is  $10\text{cm}^2$  giving 10 N force per actual  $\text{cm}^2$ . This is starting to be enough force to be worthwhile for milli-size robots.

Some representative actuators are summarized in Table 1. In addition to clever mechanical design, such as minimizing contrary forces from suspension springs, the most important limit on peak force is the maximum field strength obtained in practice before breakdown. The maximum field strength is a function of gap size as well as surface roughness.

## 2.2 Rotary Actuators

A typical rotary side drive motor is shown in Figure 10. These motors are characterized by high rotational speeds (thousands of RPM) and very small torques. By using an offset rotor in the motor (called a wobble or harmonic drive motor), more torque at lower speeds can be obtained, as seen in Figure 11. The equivalent gear ratio is given by:

$$ratio = \frac{d_{inner}}{d_{inner} - d_{outer}}. \quad (13)$$

With typical control of gap sizes, gear ratios up to several hundred have been obtained. Note that the inner rotor is in rolling contact with the outer stator. High field strengths can be used with thin film insulating materials.

Some representative rotary motors are shown in Table 3. Again, the important parameter is the maximum field strength which was actually sustained.

Table 1: Comparison of some representative electrostatic linear actuators. (no load speed).

| volume<br>$10^{-9}m^3$     | speed<br>$s^{-1}$ | force<br>$N$        | stroke<br>m          | power density<br>$Wm^{-3}$ | peak field<br>$Vm^{-1}$ | reference            |
|----------------------------|-------------------|---------------------|----------------------|----------------------------|-------------------------|----------------------|
| $7 \times 10^4$            | ?                 | ?                   | $3.9 \times 10^{-6}$ | ?                          | $3 \times 10^6$         | [Fukuda et al 90]    |
| $1.2 \times 10^5$          | 100               | 0.4                 | $4 \times 10^{-4}$   | 200                        | $4 \times 10^5$         | [Egawa et al 90]     |
| $110 \times 120 \times 2$  | 10                | 4.4                 | 0.1                  | $1 \times 10^5$            | $2 \times 10^7$         | [Niino et al 93]     |
| $70 \times 20 \times 14$   | 1000              | 8                   | $240 \times 10^{-6}$ | $2 \times 10^4$            | $2 \times 10^7$         | [Niino et al 94]     |
| $6 \times 10^3$            | ?                 | $70 \times 10^{-6}$ | $9 \times 10^{-6}$   | ?                          | $10^8$                  | [Matsubara et al 91] |
| 400                        | 5000              | $10^{-7}$           | $6 \times 10^{-6}$   | 200                        | $2 \times 10^7$         | [Kim et al 92]       |
| $.07 \times .05 \times .5$ | 50                | $6 \times 10^{-5}$  | $160 \times 10^{-9}$ | 300                        | $2 \times 10^8$         | [Akiyama&Fujita 95]  |

Table 2: Scaling for representative electrostatic linear actuators. (Assuming peak field of  $E = 1 \times 10^8Vm^{-1}$  for  $2\mu m$  gap).

| Actuator Type   | Strain<br>$\frac{m}{m}$ | Force<br>$N$                 | Stroke<br>m | pressure<br>$Nm^{-2}$     | Energy Density<br>$Jm^{-3}$ |
|-----------------|-------------------------|------------------------------|-------------|---------------------------|-----------------------------|
| parallel plate  | $\leq 90\%$             | $\frac{\epsilon_0}{2}L^2E^2$ | $z_0$       | $\frac{\epsilon_0}{2}E^2$ | $\frac{\epsilon_0}{2}E^2$   |
| Ideal 1 mm cube | 30%                     | 44 mN                        | 0.3 mm      | $44 \times 10^3Nm^{-2}$   | $44 \times 10^3Jm^{-3}$     |

Table 3: Comparison of Electrostatic Rotary Actuators.

| dimensions<br>$r \times h$ (mm) | rot. speed<br>$rads^{-1}$ | torque<br>$Nm$     | power density<br>$Wm^{-2}$ | peak field<br>$Vm^{-1}$ | reference.                 |
|---------------------------------|---------------------------|--------------------|----------------------------|-------------------------|----------------------------|
| $65 \times 10^{-6}$             | 1500                      | $10^{-11}$         | $4 \times 10^{-3}$         | $10^8$                  | [Mehregany et al 90]       |
| $1.6 \times 10^{-3}$            | ?                         | $7 \times 10^{-4}$ | ?                          | $6 \times 10^7$         | [Trimmer and Jebens, 1989] |
| $05 \times 3$                   | 40                        | $2 \times 10^{-7}$ | 900                        | $6 \times 10^7$         | [Nakamura et al 95]        |

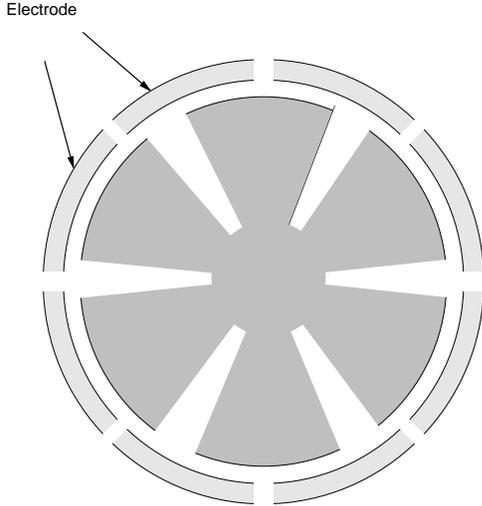


Figure 10: Side drive rotary electrostatic motor.

### 3 Piezoelectric Actuators

Piezoelectric actuators in their normal mode of operation have too short a stroke to be useful for micro-robots. However, since the piezoelectric actuator can respond at high frequencies, many small displacements can be added together to give large net motion. In one example, an array of piezoelectrically driven “cilia”

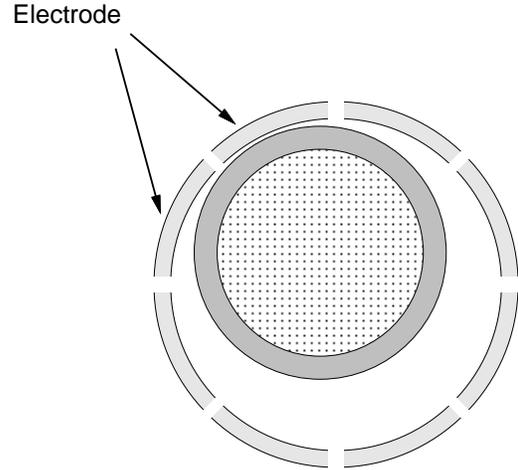


Figure 11: Wobble motor using insulated rotor.

can be used to sweep objects along in any direction in the plane (Furuhata et al [1991]). Or transverse surface vibrations can be set up in the surface of a piezo-electric disk which will rotationally displace a disk which is clamped to its top (Raine et al [1993], Udayakumar et al, [1991]). The motor described by Raine et al achieved a torque of 50 nNm at a speed of 20 rad  $s^{-1}$ , with a motor diameter of 3.5 mm.

As a piezoelectric element works as both an gener-

Table 4: Properties of common piezoelectrics. From Linvill [1978] and Dario et al [1983]. Notes: (\*) breakdown strength rather than polarization limit.

|                                       | PVDF                 | PZT-5H                 | thin film PZT<br>[Udayakumar et al 1991] |
|---------------------------------------|----------------------|------------------------|--|
| $d_{31}$ ( $CN^{-1} = mV^{-1}$ )      | $27 \times 10^{-12}$ | $-274 \times 10^{-12}$ | $-88 \times 10^{-12}$                    |
| $d_{33}$ ( $CN^{-1} = mV^{-1}$ )      | $31 \times 10^{-12}$ | $593 \times 10^{-12}$  | $220 \times 10^{-12}$                    |
| stiffness ( $Nm^{-2}$ )               | $3.6 \times 10^9$    | $50 \times 10^9$       |  |
| $E_{max}$ ( $Vm^{-1}$ )               | $30 \times 10^6$     | $0.3 \times 10^6$      | $10^8$ (*)                               |
| permittivity<br>(constant tension)    | $12\epsilon_o$       | $3400\epsilon_o$       | $700\epsilon_o$                          |
| density ( $kgm^{-3}$ )                | $1.8 \times 10^3$    | $7.5 \times 10^3$      |  |
| maximum strain ( $E_{max} * d_{33}$ ) | $1 \times 10^{-3}$   | $2 \times 10^{-4}$     |  |
| energy density ( $Jm^{-3}$ )          | $5 \times 10^4$      | $10^3$                 | $6 \times 10^5$                          |

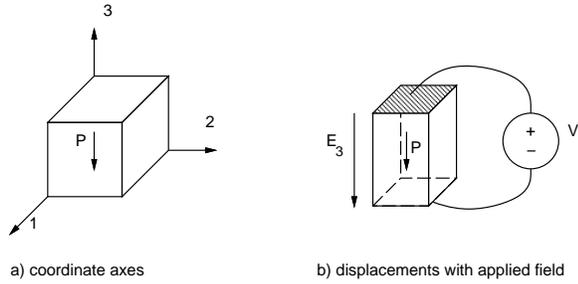


Figure 12: Piezo electric actuator coordinate system.

ator and a motor, there is a coupled set of equations describing charge and strain. In general form [Berlincourt et al 1964], the strain  $S$  as a function of applied stress and applied field is:

$$S = s^E T + dE \quad (14)$$

and the charge displacement  $D$  is given by:

$$D = dT + \epsilon^T E, \quad (15)$$

where  $S$  is the strain,  $s^E$  is the compliance with electrodes shorted (0 field),  $T$  is the applied surface stress,  $d$  are the piezo electric constants in strain per applied field,  $E$  is the applied field, and  $\epsilon^T$  is the permittivity at constant stress. For the special case of unclamped sides (stress  $T = 0$ ), and the electric field  $E_3$  applied parallel to the poling direction, the above equations simplify to:  $s_3 = E_3 d_{33}$  and  $s_1 = E_3 d_{31}$ . The maximum field strength which can be applied is limited by depolarization, with a field strength of just  $3 \times 10^5 Vm^{-1}$  for PZT. Electrical break down of the piezo electric element is another limitation.

## 4 Mechanical Transformers

Electrostatic and piezoelectric devices can have large forces with high response frequencies, but small

strokes. The small stroke limitations are usually due to the desire to take advantage of greater field strengths at small gaps. Two methods for converting small stroke, high force and high frequency actuation into larger stroke are the inchworm mechanism and traveling mechanical wave mechanisms. The inchworm drive linear actuator of Figure 13 is composed of three linear actuators. Actuators 1 and 3 are simply binary clamps. Actuator 2 is a linear actuator which is controlled longitudinally. By alternately clamping actuators 1 and 3 and extending actuator 2, large effective strokes can be obtained. Note that in principle, the peak force of actuator (assuming no slip) is determined by actuator 2, with no loss in force. The inchworm drive can be used with piezoelectric, electrostatic, or magnetic actuation.

Ultrasonic motors use a piezoelectric element (driven in resonance for higher efficiency) to set up traveling waves (Figure 14). Since points on the surface of the piezo material move elliptically, they drag the slider along with it. While the elliptical motion amplitude is only on the order of nm, the high drive frequencies give relatively high velocities, on the order of cm per second.

One of the classical transformer methods with piezo-electric transducers is the bimorph, made by creating a sandwich of two polarized layers of piezoelectric material (Figure 15). The two types of connection are series [Piezo Systems, 1994]:

$$y = \frac{2L^2}{T} E_3 d_{31} \quad (16)$$

and parallel:

$$y = \frac{4L^2}{T} E_3 d_{31}, \quad (17)$$

with  $L$  the length of the cantilever and  $T$  the thickness. Significant strokes can be achieved, for example, with

Table 5: Comparison of Piezoelectric Rotary Actuators. (speed at no load, peak torque).

| dimensions<br>$\frac{\pi}{4}\phi^2 \times h \times 10^{-9}m^3$ | rot. speed<br>$rads^{-1}$ | torque<br>$Nm$      | power density<br>$Wm^{-3}$ | peak field<br>$Vm^{-1}$ | reference.        |
|--|---------------------------|---------------------|----------------------------|-------------------------|-------------------|
| $1.5 \times 0.5$   | 30                        | $2 \times 10^{-11}$ | 0.7                        | $10^7$                  | [Udayakumar 91]   |
| $30 \times 14$   | 150                       | $10^{-4}$           | 1000                       | —                       | [Uchiki 91]       |
| $6 \times 6 \times 2$  | 60                        | $50 \times 10^{-9}$ | 40                         | $2 \times 10^6$         | [Racine et al 93] |
| $17 \times 6$  | 70                        | $8 \times 10^{-3}$  | $4 \times 10^5$            | —                       | USM-17D [Ueha 93] |

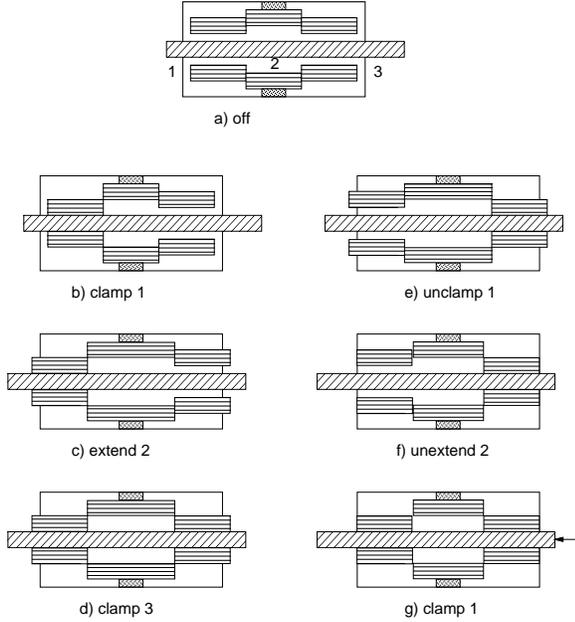


Figure 13: Inchworm drive linear actuator [King et al 1990].

a 25 mm long bimorph one can achieve free deflections of several hundred  $\mu m$  and blocked forces of several hundred  $mN$  [Piezo Systems, 1994].

## 5 Magnetic Field Driven Actuators

Although many of the first micro-actuators have been electrostatic or piezo-electric, electromagnetic actuators have recently received more more attention. One of the particular benefits of electromag-

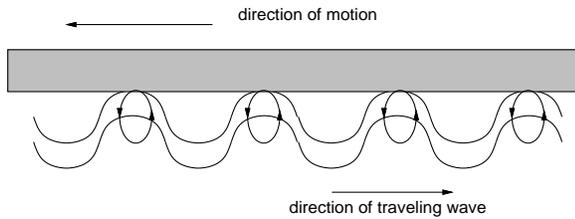


Figure 14: Traveling wave drive. Elliptical motion of contact points in vibrating body applies tangential force to slider.

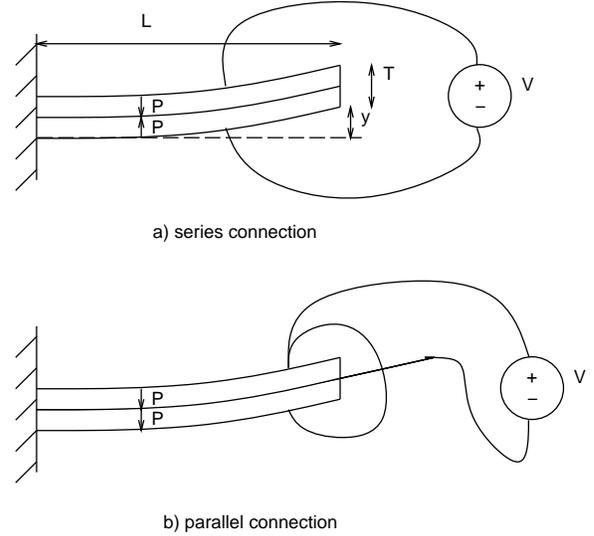


Figure 15: Piezo electric bender.

netic actuation is the comparatively large displacements which can be obtained with moderate force levels. Electrostatic actuation may have problems with charge accumulation in the dielectric if the two charged surfaces make contact, see for example [Anderson and Colgate, 1991]. Another problem is that electrostatic drive won't work in a conductive fluid medium such as water. Magnetic actuation may thus be an attractive alternative, see for example [Busch-Vishniac, 1991]. Magnetics may also be attractive when using an internal high current, low voltage source like a single cell battery, since the coil will usually be fairly low impedance. One of the most significant drawbacks to (non-superconducting) magnetic actuators is the thermal dissipation in coils while maintaining a constant force; in contrast, electrostatic actuators require no power to maintain a constant force with no displacement.

### 5.1 Linear Electromagnetic Actuators

The simplest magnetic actuator consists simply of a current carrying wire in a constant magnetic field, as shown in Figure 16, with the force per unit current element:

$$d\vec{F} = I d\vec{l} \times \vec{B}. \quad (18)$$

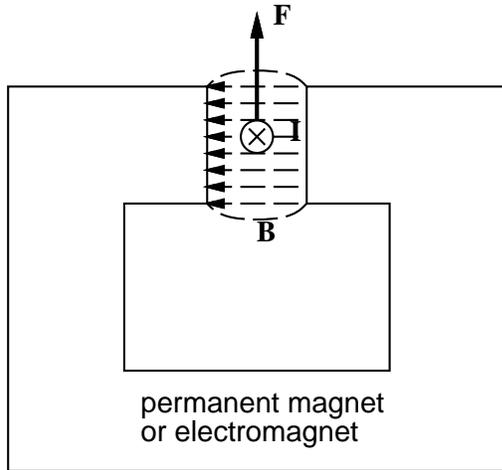


Figure 16: Lorentz force on a wire in constant magnetic field.

Since very strong fixed magnetic fields can be easily provided by miniature permanent magnets, this type of actuator could be attractive for the micro scale. Recently Holzer et al [1995] demonstrated a single turn thin film aluminum coil, with  $20 \mu\text{m}$  displacement, and estimated force of  $0.1 \mu\text{N}$  using only 20 mA. This compares quite favorably to electrostatic actuator force and stroke. Moving coil type actuators typically use an externally mounted permanent magnet (Figure 17a) while small permanent magnets can be manually placed or deposited on moving structures (Figure 17b).

A 3 DOF actuator was built by attaching a permanent magnet to an elastic suspension above a set of coils (Wagner and Benecke, [1991], Wagner, Kreutzer and Benecke, [1991]). They obtained a deflection of  $70 \mu\text{m}$  with a force of  $450 \mu\text{N}$ . A linear actuator using superconducting levitation yielded a force of  $30 \mu\text{N}$  and a 5 mm stroke (Kim, Katsurai, Fujita [1990]). A linear actuator using a self-pressurizing air-bearing gave a peak force of about  $2\text{mN}$  on a 6.3 mm diameter, 5.0 mm length magnet, with a total travel distance of 20 mm [Fearing, 1992]. (See Figure 18).

A more conventional electromagnetic actuator is shown in Figure 19. This type of device relies more on concentrating the magnetic flux in a narrow gap. The stored magnetic energy in the gap is given by:

$$W = \frac{1}{2} \mu_o H^2 = \frac{1}{2} \mu_o \left( \frac{NI}{z} \right)^2 (Az), \quad (19)$$

where  $H$  is the magnetic field,  $\mu_o = 4\pi \times 10^{-7} \text{Hm}^{-1}$  is the permeability of free space,  $N$  is the number of turns,  $z$  is the total gap length, and  $A$  is the gap area

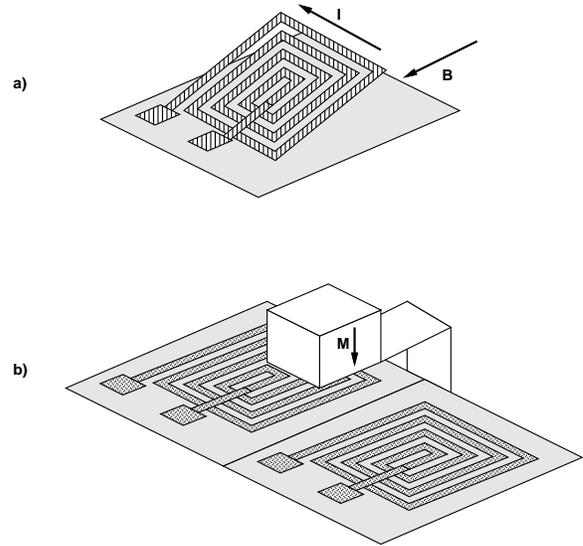


Figure 17: Typical moving coil and moving magnet type Lorentz force actuators.

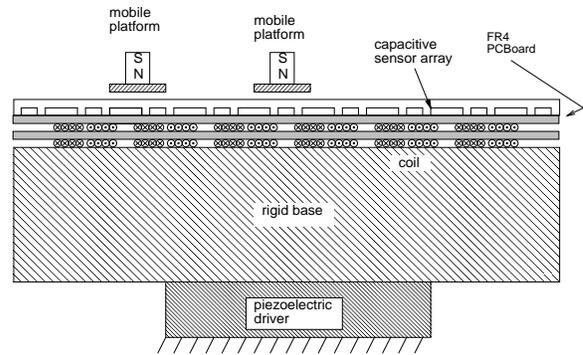


Figure 18: Air bearing levitated planar magnetic actuator.

. The force in the normal direction is given by:

$$F_z(z) = -\frac{\partial W}{\partial z} = \frac{1}{2} \mu_o \frac{A}{z^2} (NI)^2. \quad (20)$$

The equivalent “magnetic pressure” is calculated by the normal force per area or:

$$P_{magnetic} = \frac{1}{2} \mu_o \left( \frac{NI}{z} \right)^2. \quad (21)$$

It is interesting to note that in conventional size machines with flux density on the order of 1 Tesla, pressures of  $10^6 \text{Nm}^{-2}$  are easily obtained.

A summary of some linear electro-magnetic actuators is shown in Table 6. It is interesting to note that all of the listed actuators except for Ahn and Allen [1993] and Hosaka and Kuwano [1995] use Lorentz type actuation with either moving permanent mag-

Table 6: Comparison of some representative linear magnetic actuators. (Stroke at no load, peak force).

| dimensions<br>$10^{-9}m^3$   | speed<br>$s^{-1}$ | force<br>$N$         | stroke<br>$m$        | power density<br>$Wm^{-3}$ | current<br>amp-turns | peak field<br>$Am^{-1}$ | reference          |
|------------------------------|-------------------|----------------------|----------------------|----------------------------|----------------------|-------------------------|--------------------|
| $0.2 \times .02 \times .004$ | $10^4$            | $10^{-9}$            | $2 \times 10^{-8}$   | 10                         | 1                    | -                       | [Yanagisawa 91]    |
| $0.3 \times 0.3 \times 2$    | 2500              | $10^{-7}$            | $5 \times 10^{-5}$   | 100                        | .02                  | -                       | [Holzer et al 95]  |
| $0.4 \times 0.4 \times 0.5$  | 1000              | $2.9 \times 10^{-6}$ | $10^{-4}$            | 3000                       | 0.12                 | $10^5$                  | [Liu et al 94]     |
| $1.6 \times 1.4 \times 2.4$  | 50                | $1.3 \times 10^{-4}$ | $9 \times 10^{-4}$   | 1000                       | 1.95                 | 400                     | [Wagner et al 93]  |
| $1 \times 1 \times 0.5$      | -                 | $10^{-4}$            | $10^{-3}$            | -                          | -                    | $2 \times 10^4$         | [Liu et al 1995]   |
| $2 \times 2 \times 0.3$      | -                 | $8 \times 10^{-7}$   | $8 \times 10^{-6}$   | -                          | 0.9                  | $6 \times 10^5$         | [Ahn & Allen 93]   |
| $3 \times 3 \times 3$        | 10                | $10^{-3}$            | $5 \times 10^{-4}$   | 200                        | 1                    | -                       | [Robichaux 92]     |
| $2 \times 2 \times 2$        | 600               | $2 \times 10^{-4}$   | $2.2 \times 10^{-4}$ | 3000                       | 6                    | -                       | [Tabat et al 97]   |
| $7 \times 2 \times 2$        | -                 | $1.5 \times 10^{-2}$ | $4 \times 10^{-4}$   | -                          | 50                   | -                       | [Hosaka 95]        |
| $1 \times 7 \times 7$        | 200               | $3 \times 10^{-4}$   | $1 \times 10^{-5}$   | 10                         | 3                    | -                       | [Wagner et al 91]  |
| $5 \times 5 \times 3$        | 3                 | $2 \times 10^{-6}$   | $2.5 \times 10^{-3}$ | 0.2                        | .65                  | -                       | [Maeda et al 93]   |
| $10 \times 10 \times 3$      | 5                 | $4 \times 10^{-3}$   | $6.6 \times 10^{-3}$ | 400                        | 16                   | 1000                    | [Fearing 95]       |
| $4 \times 6 \times 0.6$      | 300               | $1 \times 10^{-3}$   | $300 \times 10^{-6}$ | 6000                       | 50                   | -                       | [Guckel et al 96]  |
| $2 \times 10^5$              | 30                | 1.2                  | $3 \times 10^{-3}$   | 500                        | -                    | -                       | [Salcudean Yan 94] |
| $\pi(15)^2 \times 20$        | .5                | 0.9                  | $20 \times 10^{-3}$  | 4                          | -                    | 8000                    | [Iizuka&Fujita 96] |
| $\pi(6.4)^2 \times 13$       | 600               | 0.35                 | $5 \times 10^{-4}$   | -                          | $6.5 \times 10^4$    | -                       | BEI Voice coil     |

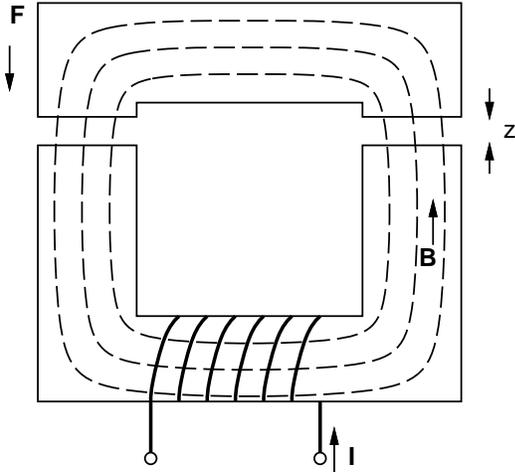


Figure 19: Electromagnetic actuator.

net or moving coil. The two exceptions use permeable materials for the magnetic circuit and an air gap.

## 5.2 Rotary Electromagnetic Actuators

Recently, electromagnetic motors have been fabricated using lithographic and thick film techniques (Ahn et al [1993], Guckel et al [1993]). The motor of Ahn et al has a predicted torque of  $1.2\mu Nm$  with a motor diameter of 1.5 mm.

Conventional electromagnetic motors are also shrinking in size. An electric motor was built into the 4.6 mm car designed by Hisanaga et al [1991].

## 5.3 Magnetostrictive Devices

There are also analogs to the piezoelectric effect for magnetic materials. One advantage of the magnetic field actuation is the comparatively long range which

is good for tetherless drive, such as the milli-robot described in Fukuda et al [1991].

## 6 Power Limits

To determine an upper bound on achievable power delivery to a load, consider an actuator which provides constant force with respect to position such as the tangential electrostatic drive given in Eqn. 8. The maximum power will be delivered to the load when the load impedance is equal to the source impedance. In the simplest case, the source impedance is just the inherent viscous damping of the actuator  $b$ . Thus with source plus load impedance equal to  $2b$ , the final velocity is  $\frac{F}{2b}$ , and the power delivered to the load impedance is just

$$P = \frac{F^2}{4b} \quad (22)$$

As a crude approximation to the drag force, assuming only viscous drag between a sliding horizontal plate as in Figure 20, the drag force is:

$$F_{drag} = \frac{\mu_{air} l^2}{z} \dot{x} = b \dot{x} \quad (23)$$

where  $\mu_{air} = 1.8 \times 10^{-5} Nsm^{-2}$  is the viscosity of air at room temperature, and  $l$  is the length of the side of a slider. A more accurate viscous damping model is discussed in Cho et al [1993].

Substituting in the expression for the force (eqn. 8), and assuming an air gap  $z = 2\mu m$  and electric field strength  $2 \times 10^7 Vm^{-1}$ , we obtain power of:

$$P = \frac{\epsilon_o^2 n^2 V_o^4}{16\mu_{air} z} = 350 \times 10^{-9} W \quad (24)$$

Table 7: Comparison of some representative rotary magnetic actuators. (speed at no load, peak torque).

| dimensions<br>$r \times h(mm)$ | speed<br>$rads^{-1}$ | torque<br>$Nm$       | efficiency<br>% | power density<br>$Wm^{-3}$ | current<br>amp-turns | peak field<br>$Am^{-1}$ | reference            |
|--------------------------------|----------------------|----------------------|-----------------|----------------------------|----------------------|-------------------------|----------------------|
| $6 \times 1.5$                 | 200                  | $1 \times 10^{-7}$   | $< 10^{-4}$     | 500                        | 2.5                  | 90                      | [Wagner et al 93]    |
| $3 \times 0.5$                 | 1200                 | $10^{-9}$            | $10^{-6}$       | 300                        | 10                   | ?                       | [Guckel et al 1993]  |
| $1.5 \times 0.5$               | 50                   | $10^{-6}$            | $> 10^{-4}$     | $5 \times 10^4$            | 20                   | $6 \times 10^5$         | [Ahn et al 93]       |
| $2 \times 3.7 \times 0.5$      | 150                  | $10^{-6}$            | .002            | $3 \times 10^3$            | 20                   | ?                       | [Teshigaraha 95]     |
| $10 \times 2.5$                | 20                   | $350 \times 10^{-6}$ | 8               | $3 \times 10^4$            | 15                   | ?                       | [Stefanini et al 96] |
| $2.8 \times 5$                 | 1200                 | $10^{-6}$            | .002            | $10^4$                     | ?                    | ?                       | Seiko E-HM0305       |
| $.95 \times 5.5$               | $10^4$               | $7.5 \times 10^{-6}$ | 50?             | $1.2 \times 10^6$          | ?                    | ?                       | Faulhaber BL 1900    |
| $1.5 \times 8.32$              | $10^4$               | $3 \times 10^{-5}$   | $> 90$          | $2 \times 10^6$            | ?                    | ?                       | RMB Smoovy SYV30001  |
| $2.5 \times 13$                | $10^4$               | $150 \times 10^{-6}$ | $> 90$          | $1.3 \times 10^6$          | ?                    | ?                       | RMB Smoovy SYVP50002 |
| $19 \times 62$                 | 700                  | $4 \times 10^{-2}$   | 75              | $4 \times 10^5$            | ?                    | ?                       | Dynetic MS1509       |
| $17 \times 57$                 | 1500                 | 0.1                  | 76              | $3 \times 10^6$            | 210                  | ?                       | Astroflight 603      |
| $12 \times 43$                 | 1500                 | $4.6 \times 10^{-2}$ | 80              | $4.5 \times 10^6$          | 100                  | ?                       | Astroflight 802      |

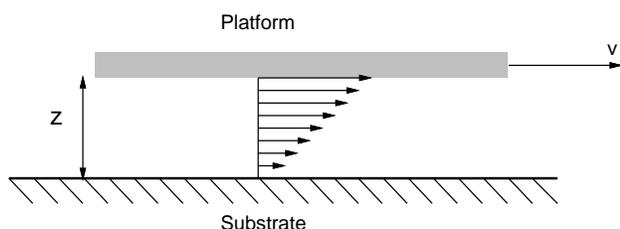


Figure 20: Drag force on slider.

where  $V_o = 40V$ , and  $n$  is the number of electrodes. For a film actuator  $100\mu m$  thick with  $100\mu m$  wide electrodes, the power density is independent of size, and is shown in Figure 21.

## 7 Summary

The performance of electrostatic and magnetic actuators can best be compared by considering idealized actuators for each case of the same dimension, for example, a 1 mm cube. While maximum pressure and strain are easy to estimate, the maximum frequency response while be strongly dependent on the actuator's stiffness, mass, and internal damping, and hence strongly dependent on construction techniques. For example, a vacuum gap electrostatic actuator could have significantly higher frequency response than an air gap actuator. Table 8 compares best case assumptions for the various actuator technologies. It is interesting to compare various micro-actuators with each other and with estimated physical limits. Figure 21 summarizes the actuators detailed in the previous tables for which data is available to calculate a power density. The general trend is towards lower power density for the smallest actuators. The main reason for lower power density is the comparatively large supporting structure, typically the thickness of a silicon wafer (0.5mm). A significant improvement could be obtained simply by reducing the excess supporting

structure volume.

While there is currently much research activity in micro-actuators, there are very few off-the-shelf actuators available in the millimeter size region. Hopefully the demand for actuators for micro-robotic applications will stimulate their further development.

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Table 8: Scaling for representative linear actuators. (Frequency is calculated for 1 mm cube actuator).

| Actuator Type | Strain<br>$\frac{m}{m}$ | Frequency<br>$\frac{m}{m.s}$ | Pressure<br>$Nm^{-2}$ | Power Density<br>$Jm^{-3}s^{-1}$ | Assumptions                 |
|---------------|-------------------------|------------------------------|-----------------------|----------------------------------|-----------------------------|
| electrostatic | 30%                     | 5000?                        | $4 \times 10^4$       | $10^4$                           | $E = 1 \times 10^8 Vm^{-1}$ |
| PZT           | $2 \times 10^{-4}$      | $10^6$                       | $10^3$                | $2 \times 10^5$                  | $E = 3 \times 10^6 Vm^{-1}$ |

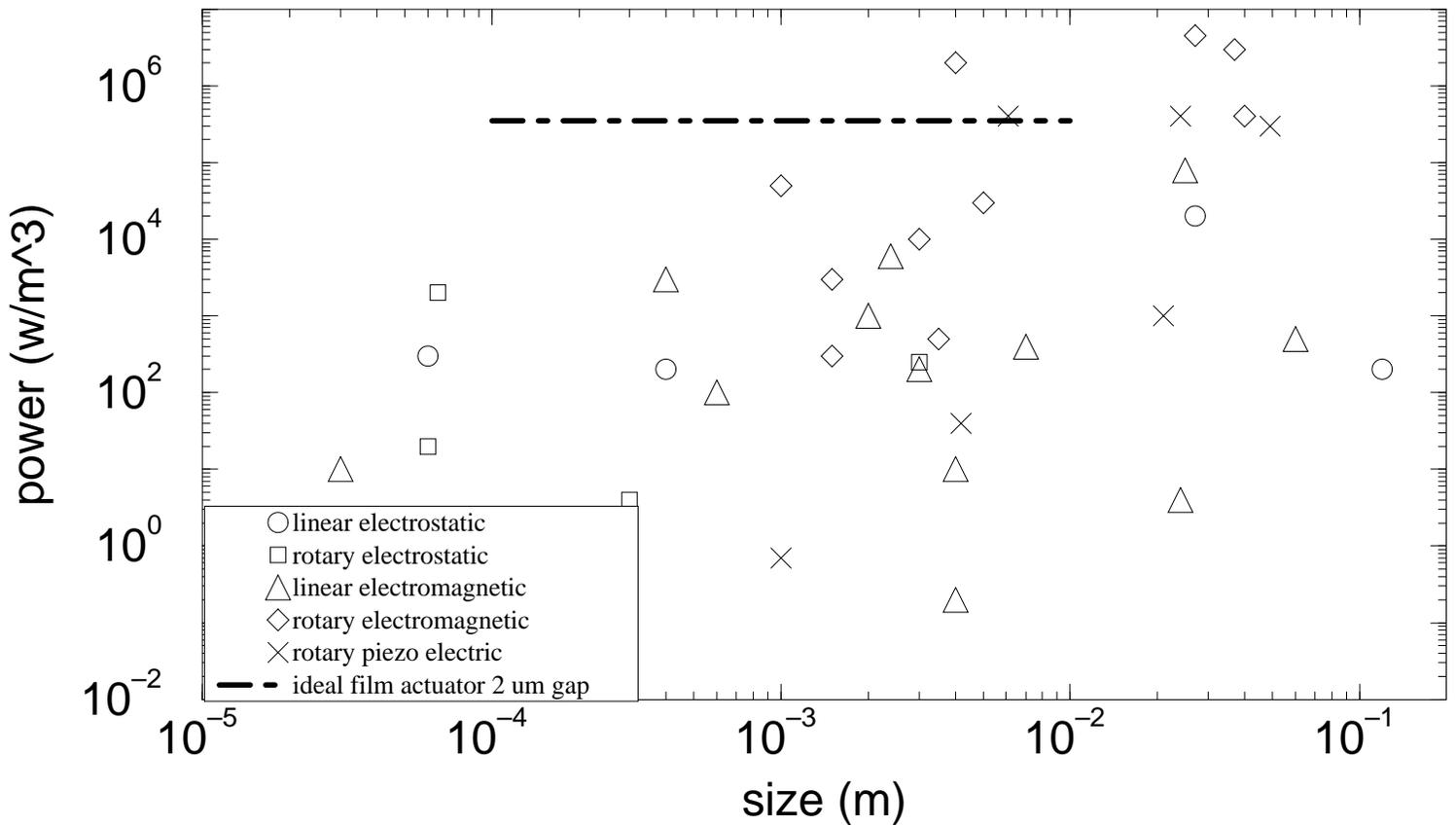


Figure 21: Comparison of implemented micro-actuators. Power is calculated as an upper bound using  $force \times \frac{stroke}{sec}$ . Volume includes substrate thickness. Only points are shown for which experimental data exists. The dot-dash line shows a calculated bound for a 100  $\mu m$  thick film actuator with 2  $\mu m$  air gap assuming laminar air drag forces.

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