

# cs294-5: Statistical Natural Language Processing

## Assignment 4: Word Alignment Models

**Due: Nov 2nd**

**Setup:** The code for assignment 4 is in the same source directories as the previous assignments. The data for this assignment is in `CS294PATH/corpora/assignment4`, where the base path depends on the file system you have been using.

The assignment harness is in the java file at

```
.../assignments/WordAlignmentTester.java
```

Make sure you can run the main method of the `WordAlignmentTester` class. There are a few more options to start out with, specified using command line flags. Start out running

```
java -server -mx500m edu.berkeley.nlp.assignments.WordAlignmentTester  
-path ../assignment4 -model baseline -data miniTest -verbose
```

You should see a few toy sentence pairs fly by, with baseline (diagonal) alignments. The verbose flag controls whether the alignment matrices are printed. For the `miniTest`, the baseline isn't so bad. Look in the data directory to see the source `miniTest` sentences. They are:

"English"	"French"	Alignments (snum, e, f, sure/possible)
<s snum=1> A B C </s>	<s snum=1> X Y Z </s>	1 1 1 S
<s snum=2> A B </s>	<s snum=2> X Y </s>	1 1 1 S
<s snum=3> B C </s>	<s snum=3> Y W Z </s>	1 2 2 S
<s snum=4> D F </s>	<s snum=4> U V W </s>	1 3 3 S
		2 1 1 S
		2 2 2 S
		3 1 1 S
		3 2 3 S
		4 1 1 S
		4 2 2 S

The intuitive alignment is  $X=A, Y=B, Z=C, U=D, V=F$ , and  $W=\text{null}$  (convince yourself of this). The baseline will get most of this set right, missing only the mid-sentence null:

```
[#]   | Y  
     # | W  
     [ ] | Z  
-----'  
B   C
```

The hashes indicate the proposed alignment pairs, while the brackets indicate reference pairs (parentheses for possible alignment positions). Note that at the end of the test

output you get an overall precision (with respect to possible alignments), recall (with respect to sure alignments), and alignment error rate, which is something like 1.0-F<sub>1</sub>.

You should also try running the code with `-data validate` and `-data test`, which will give the validation set and test set respectively. Baseline AER on the test set should be 68.7 (lower is better). If you want to learn alignments on more than the test set, you can get an additional `k` sentences with the flag `-sentences k`. Maximum values of `k` usable by your code will probably be between 10000 and 100000, depending on how much memory you have, and how you encode things. (There are over a million sentence pairs there if you want them – to use anywhere near that much, you’ll have to change some of the harness code.)

You’ll notice that the code is hardwired to English-French, just as the examples in class were presented. If you don’t speak any French, this assignment may be a little less fun, but French has enough English cognates that you should still be able to sift usefully through the data. For example, if you see the matrix

```
[#]          | ils
  [ ]( )    # | connaissent
              # | très
              # | bien
            [#] | le
              [#] | problème
              # ( ) | de
                [#] | surproduction
                  [#] | .
-----
t k a t o p .
h n b h v r
e o o e e o
y w u   r b
      t   p l
          r e
          o m
          d
          u
          c
          t
          i
          o
          n
```

you should be able to tell that “problem” got handled correctly, as did “overproduction”, but something went very wrong with the “know about” region, even without speaking any French.

**Description:** In this assignment, you will build several word-level alignment systems. As a first step, and to get used to the data and support classes, you should build a heuristic replacement for `BaselineWordAligner`. Your first model should not be a translation model, but rather should match up words on the basis of some statistical measure of association, using simple statistics taken directly from the training corpora.

One common heuristic is to pair each French word  $f$  with the English word  $w$  for which the ratio

$$P(f, e) / [P(f)P(e)]$$

is greatest. Another is the Dice coefficient, described in several of the readings. Other possibilities exist; play a little and see if you can find reasonable alignments in this way.

Once you've gotten a handle on the data and code, the first real model to implement is IBM model 1. Recall that in models 1 and 2, the probability of an alignment  $a$  for a sentence pair  $(f, e)$  is

$$P(f, a | e) = \prod_{i=1}^{len_f} P(a_i | i, len_f, len_e) P(f_i | e_{a_i})$$

where the null English word is at position 0 (or -1, or whatever is convenient in your code). The simplifying assumption in model 1 is that

$$P(a_i | i, len_f, len_e) = P(a_i) = 1 / (len_e + 1)$$

That is, all positions are equally likely. In practice, the null position is often given a different likelihood, say 0.2, which doesn't vary with the length of the sentence, and the remaining 0.8 is split evenly amongst the other locations.

The iterative EM update for this model is very simple and intuitive. For every English word type  $e$  you count up how many French words are aligned with tokens of  $e$  (the answer will be a fraction), as well as the distribution over those French words' types. That will give you a new estimate of the translation probabilities  $P(f|e)$ , which leads to new alignment posteriors, and so on. For the miniTest, your model 1 should learn most of the correct translations, including aligning  $W$  with null. However, it will be confused by the DF / UV block, putting each of U and V with each of D and F with equal likelihood (probably resulting in a single error, depending on how ties are resolved).

Look at the alignments produced on the validation or test sets with your model 1. You can improve performance by training on additional sentences as mentioned above. However, even if you do, you will still have many alignments which have errors sprayed all over the matrices, errors which would be largely fixed by concentrating guesses near the diagonals. To address this trend, you should now implement IBM model 2, which changes only a single term from model 1:  $P(a_i | i, len_f, len_e)$  is no longer independent of  $i$ . A common choice is to have

$$P(a_i | i, len_f, len_e) \propto d(\text{bucket}(a_i - i \frac{len_e}{len_f}))$$

Here,  $P(a_i | i, len_f, len_e)$  is parameterized by a rough displacement from the diagonal. Again, to make this work well, one generally needs to treat the null alignment as a special case, giving a constant chance for a null alignment (independent of position), and leaving

the other positions distributed as above. How you bucket those displacements is up to you; there are many choices and most will give similar behavior. If you run your model 2 on the miniTest, it should get them all right (you may need to fiddle with your null probabilities). Whether or not you learn the parameters  $d$  is up to you.

Everyone should now have at least three systems, a non-iterative surface-statistics method, an implementation of model 1, and an implementation of model 2. At this point, you should do another piece of investigation, but the field is wide open. Some options:

- Implement the HMM alignment model from Vogel, Ney and Tillmann, “HMM-based word alignment in statistical translation”, Proceedings of COLING 1996  
<http://acl.ldc.upenn.edu/C/C96/C96-2141.pdf>
- Implement the competitive linking algorithm from I. Dan Melamed, “Models of Translational Equivalence among Words”, Computational Linguistics, 2000.  
<http://www.cs.nyu.edu/~melamed/ftp/papers/clmote.pdf>
- Invent a phrase-based alignment heuristic to model multi-word trends in some way
- Scale your system up to a large amount of data (defined as, say, running on at least 100K training sentences)
- Substantial data analysis about the error trends (you should do some basic discussion of errors in any case)

Using some extra sentences and model 2 or better, you should be able to get your best AER down below 40% very easily and below 35% fairly easily, but getting it much below 30% will likely require either more data or better models.